

Yasuaki Einaga *Editor*

# Diamond Electrodes

Fundamentals and Applications

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*Editor*

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# Preface

The application development of “utilizing diamond as a chemical electrode” has made rapid progress in both fundamental physical properties and application development in the last 10–20 years. In particular, this “diamond electrode” was mainly used for waste water treatment and purification in the early years for environmental improvement, but in these days, this is utilized for electrochemical analysis and sensors such as environmental monitoring and biomedical measurement. Then, it has been found that the application range is extremely diverse, including as an electrode for ozone generation, an electrode for synthesizing organic compounds that lead to drug discovery, and an electrode for synthesizing useful chemicals by CO<sub>2</sub> reduction, etc. In that sense, it is becoming more attractive than before. On the other hand, the “diamond electrode” that contributes to such applications exhibits superior electrochemical properties that are unique compared to conventional electrode materials, so the fundamental studies on physical properties of the electrode interface and the correlation between physical properties and electrochemical properties are attracting increasing attention.

In particular, since 2011, national projects for Strategic Basic research Programs on diamond electrodes (CREST (2011–2015) and ACCEL (2014–2020)), whose director is Prof. Yasuaki Einaga, have been adopted by Japan Science and Technology Agency (JST). Then, we could accelerate the “diamond electrodes” research with relevant active researchers. In the meantime, although research activity on diamond electrodes has been increasing year by year worldwide, this book especially focuses on the attractive development of diamond electrodes on fundamentals and applications in Japan related to these national projects.

In “[Introduction](#)” part, current status of diamond electrochemistry and the future prospects are described. In this book, fundamentals are described in the first half and developments on electrochemical applications are described in the second half. In chapter “[Electrochemical Properties of BDD Electrodes by Surface Control](#)”, Einaga et al. discuss the importance of surfaces on Boron-Doped Diamond (BDD) electrodes. In chapter “[Localized Surface Characterization of Boron-Doped Diamond Film Electrodes](#)”, Catalan et al. examined localized surface of BDD electrodes. In chapter “[Electrochemical Imaging of BDD](#)”, Takahashi et al. focused on the imaging

of BDD surface. In chapter “[Computational Aspects of Surface and Interface of BDD Electrode](#)”, Tateyama et al. applied the theoretical calculation to understand the superior electrochemical properties of BDD electrodes. In chapter “[Porous Diamond Electrodes and Application to Electrochemical Capacitors](#)”, Kondo prepared porous diamond electrodes and reported the application to electrochemical capacitors. In chapter “[Boron-Doped Diamond Powder](#)”, Kondo introduced the advances of boron-doped diamond powder for electrochemical sensor application. In chapter “[Electro-generated Chemiluminescence at Diamond Electrode](#)”, Irkham et al. developed an application for electrochemiluminescence using BDD electrodes. In chapter “[Photoelectrocatalytic and Photocatalytic Reduction Using Diamond](#)”, Nakata et al. focused on photoelectrocatalytic and photocatalytic reduction using BDD electrodes. In chapter “[Electrochemical CO<sub>2</sub> Reduction](#)”, Tomisaki et al. summarized the recent development of CO<sub>2</sub> reduction by BDD electrodes to produce useful compounds. In chapter “[Electro-Organic Synthesis](#)”, Yamamoto et al. focused on electrochemical organic synthesis application. In chapter “[Industrial Application of Electrochemical Chlorine Sensor](#)”, Einaga et al. introduced a recent development of practical electrochemical sensor application for free chlorine detection. In chapter “[Modified Boron-Doped Diamond Electrodes for Sensors and Electroanalysis](#)”, Jiwanti et al. focused on modified BDD electrodes for sensors and electroanalysis application. In chapter “[In Vivo Real-Time Measurement of Drugs](#)”, Ogata et al. discussed in vivo real-time measurement of drugs for biomedical application. Finally, in chapter “[Influenza Virus](#)”, Matsubara et al. discussed the application of influenza virus detection.

Lastly, I would very much like to acknowledge Prof. Akira Fujishima (Distinguished Professor, Tokyo University of Science), who is my supervisor and led me to this research area of diamond electrochemistry. Furthermore, I would like to thank Prof. Kohei Tamao (Research supervisor of JST-CREST) and Prof. Yoichiro Matsumoto (Chair, R&D Management Committee of JST-ACCEL and President of Tokyo University of Science) for giving us a chance to accelerate the researches on diamond electrochemistry. Finally, I would like to thank all the authors for their efforts and great contributions.

Yokohama, Japan

Yasuaki Einaga

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# Introduction



Yasuaki Einaga

## 1 History of Research on Diamond Electrodes

A first example of electrochemical measurement using diamond as an electrode is a study by Iwaki et al. (RIKEN) in 1983. They are investigating the electrochemical properties as a semiconducting electrode. However, the conductivity was due to the damaged surface by ion implantation into insulating diamond [1]. Although this is the first example of using diamond as a working electrode, it is a little different from “diamond electrode” (basically consisting of  $sp^3$  carbon) in this book, which is doped with impurities to make it conductive. In fact, research into this direction has not been pursued since then.

On the other hand, diamond materials have been actively studied and developed as wide bandgap semiconductor. From the viewpoint, photoelectrochemical properties as a semiconducting diamond are discussed after 1987. At first, Pleskov et al. observed the photocurrent by photoexciting semiconducting diamond [2], and then, Fujishima et al. carefully investigated the behavior of photoelectrochemistry [3]. On the other hand, Swain et al. showed the possibility of applying boron-doped polycrystalline diamond to electrodes for electrochemical analysis (1993) [4], and Ramesham et al. used this electrode for wastewater treatment [5]. Most of the diamond used at the periods, which was called “Diamond electrode,” was mainly p-type semiconducting diamond with a low boron doping level.

Then, several companies in Europe have begun commercializing water treatment systems using diamond electrodes. In terms of “industrialization” of diamond electrodes, this application to wastewater treatment and water purification is the first one [6]. Around the periods, the number of reports for fundamental researches and application of electrochemical analysis has begun to increase along with application to the waste water treatment.

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Since then, also, the number of research papers has continued to increase since 2000, and most of them are related to electrochemical analysis such as sensor applications [7, 8], wastewater treatment and purification [9, 10]. Especially around this time, Fujishima and Einaga have mainly focused on highly boron-doped diamond, which exhibits metal-like conductivity, and has been developing them for electrochemical applications. Especially in these days, for many applications, the “highly boron-doped diamond” with metal-like conductivity can be developed as “boron-doped diamond (BDD) electrodes.”

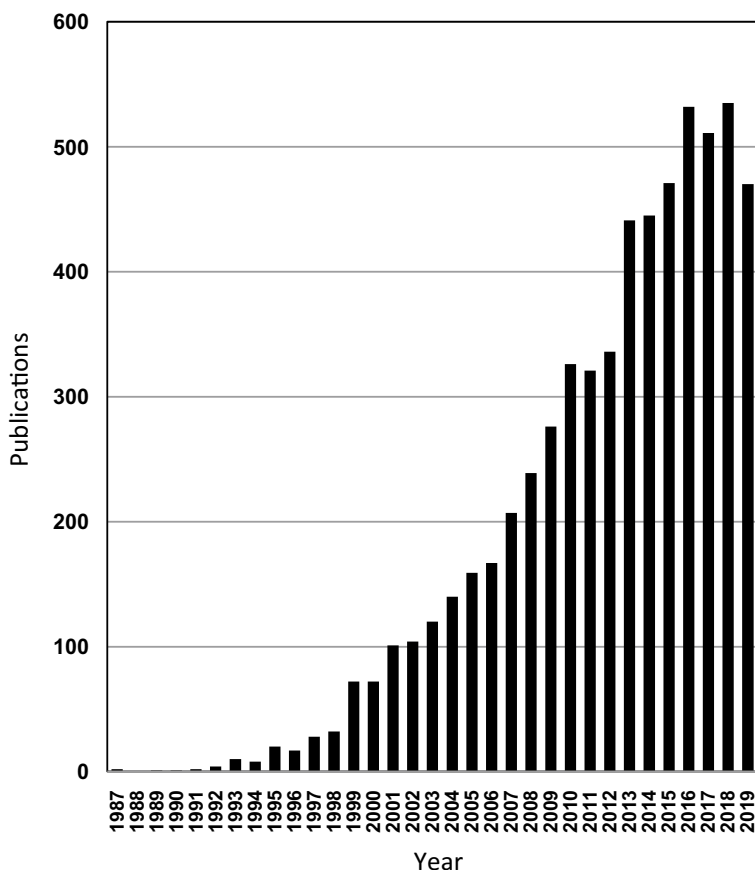
The first industrialization of electrochemical sensor application was done by HORIBA, Ltd. and Einaga’s collaboration as a production of prototype for heavy metal sensing in 2010, and it has been expanding significantly since then.

On the other hand, along with these developments on applications, studies on the fundamental electrochemical properties of BDD electrodes have attracted much attention. For example, because surface chemical terminations (hydrogen, oxygen) have a great influence on the electrochemical properties of BDD, the study on the physicochemical properties of electrode surface is becoming more and more important [11, 12].

Furthermore, after 2012, we report a possibility of “useful chemicals can be synthesized by electrochemical organic electrolysis” [13] and “useful compounds can be synthesized by electrochemical reduction of carbon dioxide (CO<sub>2</sub>)” [14] by using BDD electrodes. It can be spreading as a new application development, and it is expected that BDD electrodes can contribute to many fields as next-generation electrode materials.

The increasing activity of research on “diamond electrodes” can be well understood by the number of related publications. The number of publications has increased sharply since the turn of the century, and a number of review articles and publications have been published [15–21]. (In 2005, we have published a first Japanese book on “Diamond Electrochemistry” [22]). As shown in Fig. 1, the activity of academic research in this field has increased rapidly in recent years.

On the other hand, in recent years, “element strategy” is attracting attention as one of the most important strategy for realizing a sustainable society. The “element strategy” aimed at expanding the science of elements to solve our resource problems. For this direction, environmental problems, resource, and energy should be solved also from the viewpoint of materials science, i.e., to create innovative functions of intelligent materials that are quite different from conventional functions [23]. Under such situations, the interest in “diamond electrodes” can be very important in terms of the “elemental strategy,” because boron-doped diamond (BDD) electrodes consist of light elements such as “rare metal-free” carbon and boron, and also can surpass the properties of metals.



**Fig. 1** Publications on diamond electrochemistry

## **2 Accelerating Research Development Through Research Projects Related to “Diamond Electrodes” (JST-CREST and JST-ACCEL)**

JST-CREST (April 2011-March 2015).

After getting a Ph.D degree in 1999, the author (Einaga) started a research on diamond electrodes as a research associate in Professor Akira Fujishima's group at the University of Tokyo. Then, in 2001, when I became independent and had my own research group at Keio University, I have promoted the research on diamond electrodes in real earnest. At that time, the main direction of application was an “electrochemical sensor,” which was a fundamental research on high-sensitive analysis of various environmental biological important species.

Then, as a strategic creation research promotion project (CREST) of the Japan Science and Technology Agency (JST), a new research area “From the perspective of material science/physical science with elemental strategy as a common concept for resource/energy/environmental problems” has started in 2011. The title was “Creation of Innovative Functions of Intelligent Materials on the Basis of Element Strategy” (Research Supervisor, Professor Kohei Tamao). Fortunately, Einaga was awarded as a research director of a project “Development of Innovative Technologies Using Diamond Electrodes for Improving Environment” since 2011. Because the main theme of this project is “elemental strategy,” the development of “diamond” as a material is exactly the appropriate for the elemental strategy. Therefore, we could promote research toward new functional developments of diamond electrodes.

In addition to the development of new functions of diamond electrodes, we were able to obtain a lot of fundamental knowledges such as the correlation between the physical properties and electrochemical properties. In particular, the main functions newly discovered by this project are (1) “electrochemical CO<sub>2</sub> reduction” and (2) “electrochemical organic synthesis. “From these results, it became clear that the “diamond electrodes” can apply to a wide range from environmental improvement to biomedical applications. However, each application does not necessarily require the same properties of the electrodes. Consequently, the electrode design appropriate for each application is necessary in order to realize the full potential of diamond electrodes. Then, the factors that determine the properties of the electrode have gradually been clear [24]. It was very important for the development of this field that the knowledge about the relationship between fundamental physical properties and electrochemical properties as materials increased along with the development of applications.

#### JST-ACCEL (for industrialization) (December 2014–March 2020)

Initially, the JST-CREST project was supposed to be carried out for 5 years from April 2011 to March 2016. However, in December 2014, fortunately, industrialization was expected based on the above-mentioned new functions and developments, and then, a new project (JST-ACCEL) started. It means that we were given the opportunity to more strongly promote the application development of “diamond electrodes” (CREST project was finished early by March 2015.).

In Web site of JST, [25] “What is ACCLE?” is as follows. “ACCEL aims to set a path to the next phase, such as company R&D, venture start-up, and other public funding, based on the outputs of the Strategic Basic Research Programs (CREST, etc.) that have the potential to be world-leading but cannot be continued by companies and other organizations due to their perceived risks. The Program Manager (PM) leads research and development with the innovation requirements and goals, demonstrating Proof of Concept (POC) and promoting the appropriate rights arrangements.” For this project, Mr. Tsukahara, who is good at intellectual property management, was assigned as a PM, and he and Einaga (Principal Investigator = PI) proceeded it together. At that time, just as the number of inquiries and requests for diamond electrodes provided by companies has increased and PI could see the limit to responding to them, it was the good timing for PI to promote the project together with PM. Thanks to PM-led communication with companies and management of intellectual

property, collaboration works with companies were accelerating. For example, an “ozone water generator” for medical hand washing using a diamond electrode has been commercially available (in 2017).

Another important development is that several Japanese companies have begun to focus on “manufacturing optimal diamond electrodes for their applications”. It is also a major achievement of the ACCEL project for the social implementation of diamond electrodes.

#### Contents of ACCEL project

The topics in ACCEL project was as follows: (1) application to electrochemical sensors, (2) electrochemical synthesis of useful compounds (including CO<sub>2</sub> reduction), other (3) ozone water generation, and (4) wastewater treatment. Some of them include collaboration research with companies. However, “fundamental knowledge” is also indispensable for advancing application development.

We are also making efforts to evaluate the physical properties of boron-doped diamond as a material.

#### Expansion to medical application and healthcare

Apart from the above-mentioned application examples, expectations are also rising for “contribution to medical sciences” of diamond electrodes as a direction for major expansion in the near future. In particular, recently, we are focusing on “In vivo real-time measurement.” In 2007, we first reported in vivo detection of dopamine in mouse brain, where the boron-doped diamond microelectrode was inserted into the corpus striatum of the mouse brain. [26] A clear signal current response could be monitored with high sensitivity in vivo. However, although, at that time, the manufacturing (fabrication) yield of BDD microelectrode was not so good, we have concentrated on developing the fabrication of the diamond microelectrodes to increase the fabrication yield. Then, after our improvement of the BDD microelectrode fabrication, the manufacturing yield was stable. Thanks to the improvements, we could frequently apply to biomedical measurements such as in vivo measurements. In 2017, we could develop a system “in vivo” that can study pharmacokinetics in real time and directly understand the correlation with biological functions such as hearing ability by collaboration with Prof. Hiroshi Hibino (School of Medicine, Niigata University).[27] Such real-time measurement of pharmacokinetics and pharmacodynamics of drugs in vivo can contribute to various animals and various organs. Also, it is greatly expected to contribute to the research of clarifying the function of living organs.

### **3 Future Outlook**

At present, the stage of practical application depends on the application. For example, an application that has already been put into practical use, an application that will soon be put into practical use, and an application that will be greatly expanded in a few years. In any case, it can be said that the “diamond electrode” is a capable functional

electrode material that has sufficient potential to play an active role in next-generation environmental improvement and medical applications in various situations.

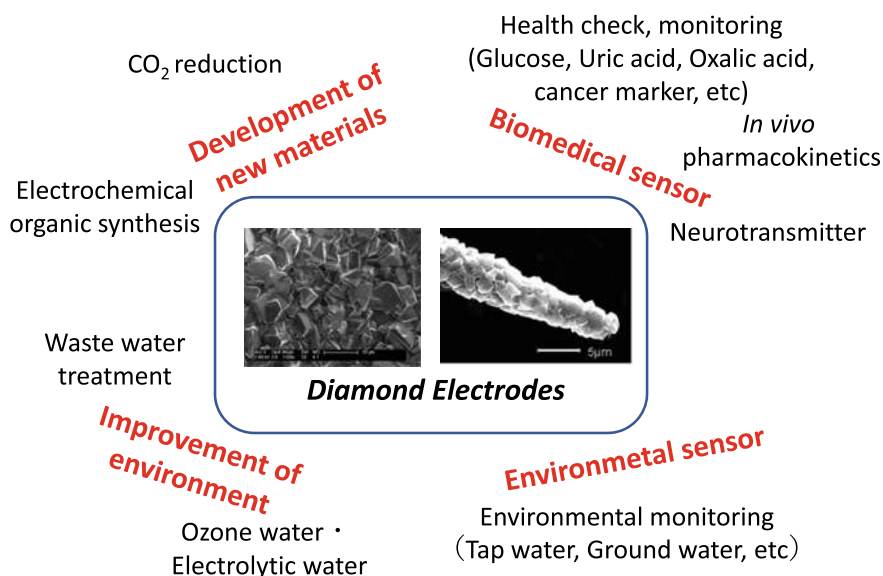
That is, it enables highly sensitive “environmental pollutant sensing” on the spot and enables “high-efficiency waste water treatment”.

It is not a dream that every household is equipped with a small water purifier with a diamond electrode, which is useful at the time of a disaster. Also, for example, each home is equipped with a simple health check device such as disposable one, and medical institutions including hospital use a “high-sensitive health check system” not only for blood and urine tests but also for monitoring important species during surgery.

As another direction to resource, environment, and energy issues, especially, the electrochemical  $\text{CO}_2$  reduction and the development of electrochemical organic synthesis are highly expected (Fig. 2).

Although for electrochemical sensor application, a small diamond electrode can be used, for applications such as water treatment and electrochemical organic synthesis including  $\text{CO}_2$  reduction, diamond electrodes with large area is necessary. The problem of electrode manufacturing cost can be a bottleneck for industrialization. To that end, attempts are being made to manufacture and reduce the cost of large-area “diamond electrodes” that ensure the quality of the electrodes. Also, developments are being made toward large-scale equipment.

In addition, while research and development related to applications have been active, interest in understanding the physical and physicochemical properties of the “diamond electrode” and the importance of fundamental studies are also rapidly



**Fig. 2** Outlook of applications on diamond electrodes

increasing. In fact, in the process of developing the application, it is always necessary to return to the fundamental studies of the “diamond electrode”. “Polycrystalline boron-doped diamond,” which is currently mainly used for applications, is actually a very complicated material. Although, at first glance, the constituent elements of diamond electrode are only “carbon and boron” and seem to be a relatively simple material, and the electrochemical properties are very complicated because “boron doping level,” “trace amount of  $sp^2$  carbon,” “grain boundary,” and “surface termination,” and so on are intricately related to determine the electrode characteristics.

However, based on the fundamental studies including theoretical calculations, the applications will be in industrialization in the future. I believe that diamond electrodes are “old and new materials” with great hope.

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# Electrochemical Properties of BDD Electrodes by Surface Control



Yasuaki Einaga, Seiji Kasahara, and Keisuke Natsui

**Abstract** Boron-doped diamond (BDD) has attracted much attention as a promising electrode material, because it has excellent electrochemical properties such as a wide potential window and low background current. It is known that the electrochemical properties of BDD electrodes are very sensitive to the surface termination such as to whether it is hydrogen- or oxygen-terminated. Especially for electrochemical sensor application, pretreating BDD electrodes by cathodic reduction (CR) to hydrogenate the surface has been widely used to achieve high sensitivity. However, little is known about the effects of the CR treatment conditions on surface hydrogenation. In this chapter, at first, a systematic study of CR treatments in order that we can achieve effective surface hydrogenation is discussed. Also, direct observation of surface hydroxylation by anodic oxidation was reported. We have developed in situ spectroscopic measurement systems on BDD electrodes, i.e., in situ attenuated total reflection infrared spectroscopy (ATR-IR) and electrochemical X-ray photoelectron spectroscopy (EC-XPS). Furthermore, surface modification by functional molecules to introduce specific functions is also discussed. As examples, photochemical modification method via UV irradiation and electrochemical modification method are introduced. These surface control and modification should be important not only for better understanding of BDD's fundamentals but also for a variety of applications.

**Keywords** Boron-doped diamond · Electrochemistry · Cathodic reduction treatment · Surface termination · In situ spectroscopy · ATR-IR · EC-XPS · Surface hydroxylation

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## 1 Introduction

### 1.1 Surface Control of BDD Electrodes

Boron-doped diamond (BDD) electrodes exhibit unique electrochemical properties, so it can be used as a next generation electrode material in various fields such as waste water treatment, electrochemical sensor, carbon dioxide reduction, and electrochemical organic synthesis [1–7].

For example, based on the properties of wide potential window in an aqueous solution and low background current, research on electrochemical sensor application is being actively developed [2, 6, 8–12].

“Wide potential window” means that a wide range of measurable species can be detected, and “low background current” indicates that highly sensitive detection is possible.

For example, the BDD electrode has succeeded in highly sensitive detection of free chlorine, which shows an electrochemical oxidation reaction at a high potential of 1.3 V versus Ag/AgCl, which causes oxygen evolution at conventional electrodes [11].

On the other hand, it is known that the electrochemical properties of BDD electrodes are affected by their boron doping level, surface termination, crystal orientation, and  $sp^2$  carbon impurities [13]. In particular, the surface termination is an important factor that determines the electrochemical properties of the BDD electrode. Typical surface terminations of diamond include hydrogen termination and oxygen termination. BDD electrodes with these two surface terminations exhibit different electrochemical properties [14]. For example, although oxalic acid can be detected using hydrogen-terminated BDD, no signal can be observed at oxygen-terminated BDD [15]. Also, dopamine and ascorbic acid show an oxidation reaction at almost the same potential at the hydrogen-terminated BDD, but the oxidation potential of ascorbic acid shifts significantly at the oxygen-terminated BDD [12]. Therefore, dopamine detection can be possible by separation of ascorbic acid in real samples, for example, in vivo. Furthermore, there have been reports of high sensitive detection achieved by modification of organic molecules that interact with the analytes [10, 16–18].

As above, the electrochemical properties of the BDD electrode depend on the surface termination, so surface termination control technology is indispensable. In this chapter, we systematically studied the surface termination conversion of BDD electrodes.



Here, we systematically examined the conditions for the CR treatment for BDD electrodes [28].

## 2 Hydrogenation of BDD Surface by Cathodic Reduction

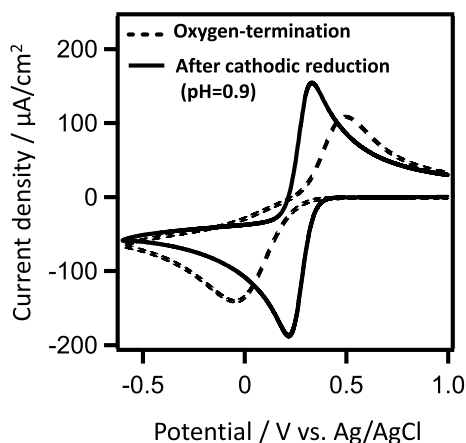
### 2.1 PH Dependence

First, the pH dependence of the solution used for the treatment was examined. Solutions with pH = 0.9, 3.2, 7.5, 10.8, and 13.0 were prepared by mixing aqueous solution of 0.1 M  $\text{H}_2\text{SO}_4$  and 0.1 M  $\text{Na}_2\text{SO}_4$  (pH < 7) and by mixing aqueous solution of 0.1 M NaOH and 0.05 M  $\text{Na}_2\text{SO}_4$  (pH > 7), respectively.

The cathodic reduction potential was fixed at -3.0 V versus Ag/AgCl, and the total amount of charge was fixed at  $9.25 \text{ C/cm}^2$ . Prior to the cathodic reduction treatment, surface hydroxylation was performed by anodic oxidation treatment with +3.0 V versus Ag/AgCl for 5 min. Cathodic reduction treatment was applied to the solutions at each pH, and the electrochemical properties were studied by cyclic voltammetry (CV) measurement of 1 M KCl aqueous solution containing 1 mM  $\text{K}_3[\text{Fe}(\text{CN})_6]$  (Fig. 2, Table 1).

As shown in Table 1, after the reduction treatment, the redox peak-to-peak potential separation ( $\Delta E_p$ ) decreased compared to the oxygen termination regardless of the solution pH.  $\Delta E_p$  is related to the electron transfer rate between the electrode and the redox species in the solution. When it is smaller, the electrochemical reactivity of the BDD electrode is higher. In other words, the reactivity of the electrode is improved by the cathodic reduction treatment. As mentioned above, the electrochemical reactivity of hydrogen-terminated BDD is higher than that of oxygen-terminated BDD, suggesting that hydrogenation is proceeding by cathodic reduction treatment.

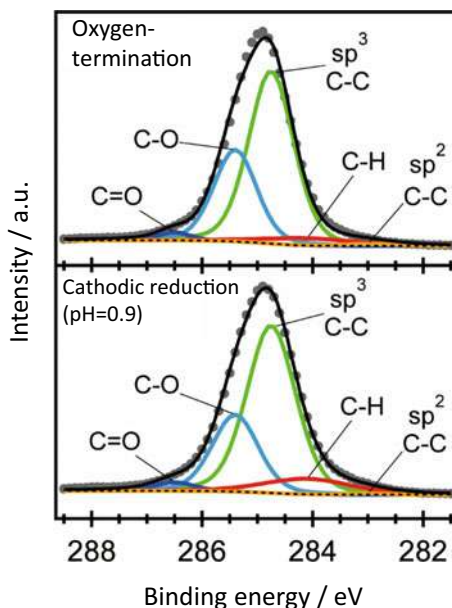
**Fig. 2** Cyclic voltammograms in aqueous solutions of 1 mM  $\text{K}_3[\text{Fe}(\text{CN})_6]$  containing 1 M KCl before and after cathodic reduction with pH = 0.9



**Table 1** Electrochemical behavior of BDD electrodes before and after cathodic reduction in solutions with various pH:  $\Delta E_p$  denotes the peak-to-peak potential separation in  $K_3[Fe(CN)_6]$  solution

	$\Delta E_p / V$
Oxygen-termination	0.545
Cathodic reduction (pH = 13.0)	0.376
Cathodic reduction (pH = 10.8)	0.381
Cathodic reduction (pH = 7.5)	0.330
Cathodic reduction (pH = 3.2)	0.210
Cathodic reduction (pH = 0.9)	0.114

**Fig. 3** Deconvoluted C 1 s spectra for a BDD electrode before (top) and after cathodic reduction in a solution with pH 0.9 (bottom)



Furthermore,  $\Delta E_p$  decreased when the cathodic reduction was performed in solutions with lower pH. It is suggested that hydrogenation is promoted by cathodic reduction treatment in acidic solution. In fact, when the surface of the BDD electrode was evaluated by XPS, the peak area attributed to C–H bond increased and the peak area attributed to C–O bond decreased as the treatment with a solution with a lower pH was performed (Fig. 3, Table 2).

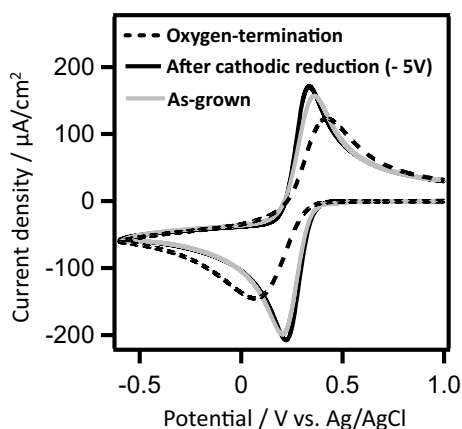
## 2.2 Potential Dependence

Next, the potential dependence used for processing was examined. Cathodic reduction treatment was performed at five different potentials (–1.5, –2.0, –2.5, –3.0, and –5.0 V vs. Ag/AgCl) in 0.1 M  $H_2SO_4$  aqueous solution (pH 0.9). The total amount of

**Table 2** The relative abundance of the components obtained from deconvolution of the C 1 s spectra for BDD electrodes before and after cathodic reduction in solutions with pH 0.9, 7.5 and 13.0

	Peak area/%				
	Sp <sup>2</sup> C–C	C–H	Sp <sup>3</sup> C–C	C–O	C = O
Oxygen-termination	0.8	5.1	61.2	30.9	2.0
Cathodic reduction (pH = 13.0)	0.1	0.1	64.8	32.3	2.8
Cathodic reduction (pH = 7.5)	0.0	8.0	61.1	27.6	3.2
Cathodic reduction (pH = 0.9)	0.3	10.0	60.9	26.3	2.5

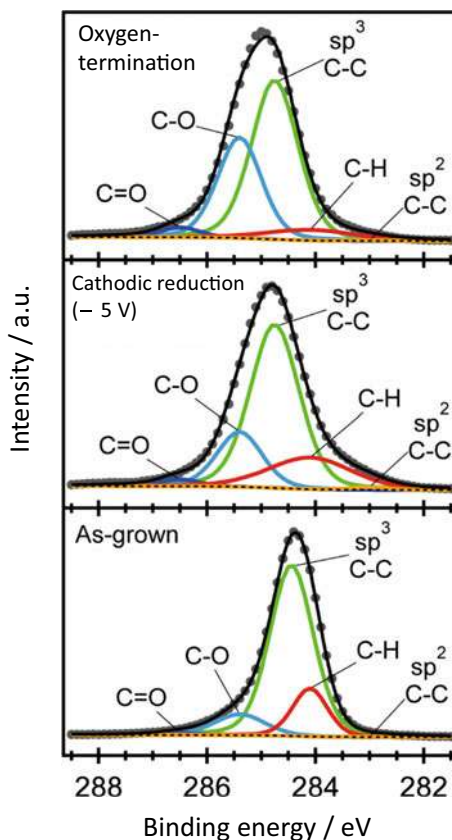
charge was fixed at  $9.25 \text{ C/cm}^2$ . Similar to the pH dependence study, surface hydroxylation was performed by anodic oxidation treatment with  $+3.0 \text{ V}$  versus Ag/AgCl for 5 min. The electrochemical characteristics after the cathode reduction treatment at each potential were evaluated by measuring the CV of a  $1 \text{ M KCl}$  aqueous solution containing  $1 \text{ mM K}_3[\text{Fe}(\text{CN})_6]$  (Fig. 4, Table 3). As shown in Table 3,  $\Delta E_p$  decreased as the potential increased, and when treated at a potential of  $-2.5 \text{ V}$  versus Ag/AgCl or higher, the value was equivalent to that of as-grown BDD. In addition, from the XPS measurement, as the higher potential was applied, the peak area attributed to the C–H

**Fig. 4** Cyclic voltammograms in aqueous solutions of  $1 \text{ mM K}_3[\text{Fe}(\text{CN})_6]$  containing  $1 \text{ M KCl}$  before and after cathodic reduction at  $-5.0 \text{ V}$  versus Ag/AgCl. For comparison, that on as-grown BDD electrode is also shown**Table 3** Electrochemical behavior of BDD electrodes before and after cathodic reduction at various potentials:  $\Delta E_p$  denotes the peak-to-peak potential separation in  $\text{K}_3[\text{Fe}(\text{CN})_6]$  solution

	$\Delta E_p/\text{V}$
Oxygen-termination	0.353
Cathodic reduction (-1.5 V)	0.298
Cathodic reduction (-2.0 V)	0.246
Cathodic reduction (-2.5 V)	0.157
Cathodic reduction (-3.0 V)	0.123
Cathodic reduction (-5.0 V)	0.115
As-grown	0.160

bond increased and the peak area attributed to the C–O bond decreased (Fig. 5, Table 4). From the above results, it was suggested that the higher the potential, the more hydrogenation of the BDD electrode is promoted and the electrochemical reactivity is improved. It should be noted here that the results of XPS measurement indicate

**Fig. 5** Deconvoluted C 1 s spectra for BDD electrodes before (top) and after cathodic reduction at  $-5$  V versus Ag/AgCl (middle). For comparison, that on as-grown BDD electrode is also shown



**Table 4** The relative abundance of the components obtained from deconvolution of the C 1 s spectra for BDD electrodes before and after cathodic reduction at potential of  $-1.5$  V,  $-3$  V and  $-5$  V versus Ag/AgCl

	Peak area/%				
	Sp <sup>2</sup> C–C	c-H	Sp <sup>3</sup> C–C	C–O	C = O
Oxygen-termination	0.2	7.0	56.4	33.5	2.0
Cathodic reduction ( $-1.5$ V)	0.2	7.0	61.1	29.6	2.8
Cathodic reduction ( $-3.0$ V)	0.3	10.0	60.9	26.3	3.2
Cathodic reduction ( $-5.0$ V)	0.7	19.5	59.4	18.4	2.5
As-grown	0.0	16.3	72.5	10.4	0.8

that the surface of the BDD electrode is not completely hydrogen-terminated BDD in the present conditions of cathodic reduction treatment. In other words, although it is a partial hydrogen-terminated BDD, the electrochemical reactivity is considered to be improved to the same level as the hydrogen-terminated BDD.

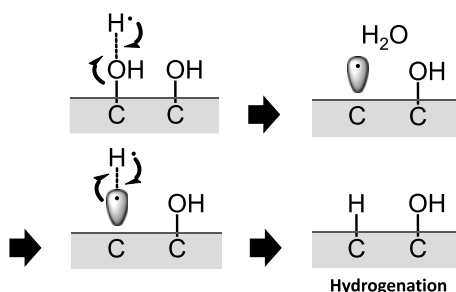
### 2.3 Possible Mechanisms for Surface Hydrogenation

From the results above, it was confirmed that hydrogen-terminated BDD is realized by applying a higher potential using a solution with a lower pH. Here, we consider the reaction mechanism for hydrogenation from oxygen-terminated BDD.

It is suggested that protons ( $H^+$ ) are involved in the reaction because hydrogenation is promoted in a solution with a low pH. Protons are theoretically thought to be reduced at a potential of  $-2.3$  V versus Ag/AgCl to produce atomic hydrogen ( $H^\bullet$ ). Thus, it is considered that the amount of atomic hydrogen increases by applying a higher potential (applying a larger current). From the above, the reaction mechanism was estimated as follows. First, atomic hydrogen generated by the reduction of protons abstracts OH on the surface of the BDD electrode, and a dangling bond is formed on the surface of the BDD. Then, another atomic hydrogen reacts with the generated dangling bond to form a C–H bond (Fig. 6).

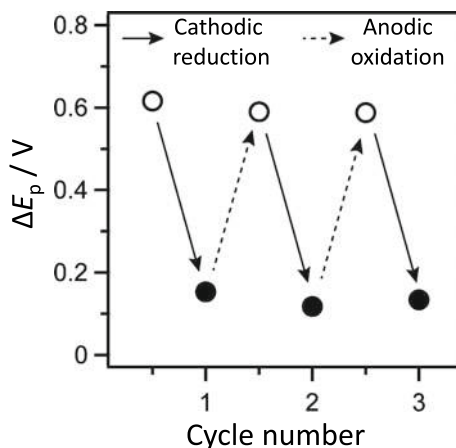
Density functional theory (DFT) calculation supports the proposed mechanisms. Assuming that two atomic hydrogens are present near the surface of the BDD electrode, the following two reactions can occur. (1) Atomic hydrogen reacts with each other to generate hydrogen molecule. (2) A surface conversion reaction occurs, surface hydrogenated BDD and water are generated. DFT calculation of the energies of these two final states revealed that the state of (2) is thermodynamically more stable than the state of (1) by about 2.6 eV. In other words, it is suggested that the hydrogen termination reaction takes precedence over the hydrogen generation reaction if atomic hydrogen is abundantly present near the surface of the BDD electrode.

**Fig. 6** Proposed mechanisms on hydrogenation by cathodic reduction





**Fig. 7** Plots of  $\Delta E_p$  in  $K_3[Fe(CN)_6]$  solution with the number of the cycles of the electrochemical treatment



## 2.4 Reversible Control of the Surface Termination

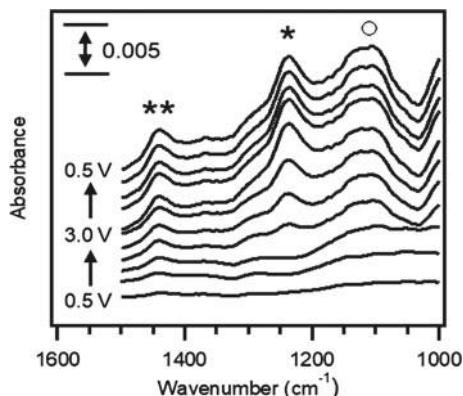
For practical application, it was confirmed whether the electrochemical characteristics change with good reproducibility when hydrogen-terminated BDD and oxygen-terminated BDD are alternately repeated electrochemically.

For the cathodic reduction treatment, the conditions where hydrogenation was most advanced in this study (potential: -5.0 V versus Ag/AgCl, solution: 0.1 M  $H_2SO_4$  aqueous solution (pH 0.9), total amount of charge:  $9.25 C/cm^2$ ) were applied. For surface hydroxylation was performed by anodic oxidation treatment with +3.0 V versus Ag/AgCl for 5 min.

The electrochemical properties after each treatment were evaluated by measuring the CV of a 1 M KCl aqueous solution containing 1 mM  $K_3[Fe(CN)_6]$ . From Fig. 7, it was repeatedly observed that  $\Delta E_p$  decreased by the cathodic reduction treatment and increased by the anodic oxidation treatment. From this, it was clarified that the surface of the BDD electrode can be reproducibly converted to hydrogen termination with high electrochemical reactivity by using the appropriate conditions of the cathodic reduction treatment.

## 3 Hydroxylation of BDD Surface

In order to monitor the conversion of the surface by the anodic treatment, potential-controlled attenuated total reflection infrared spectroscopy (ATR-IR) spectra were measured. Figure 8 shows in-situ ATR-IR spectra collected under anodic oxidation (AO) in 0.1 M  $HClO_4$  aqueous solution. The applied potential was varied from +0.5 to +3.0 V versus Ag/AgCl in 0.5 V increments, and from +3.0 to +0.5 V versus Ag/AgCl in 0.5 V decrements. The growth of vibrations at  $1100 cm^{-1}$ , assigned to



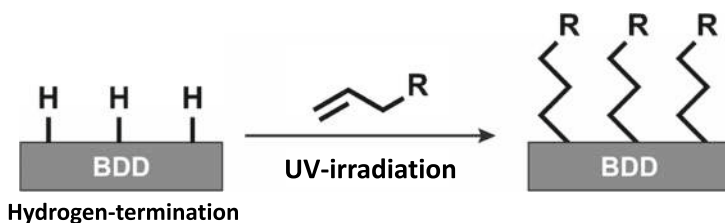
**Fig. 8** In situ potential-dependent ATR-IR spectra in 0.1 M HClO<sub>4</sub> aqueous solution. The potential was changed from +0.5 to +3.0 V versus Ag/AgCl in 0.5 V increments, and from +3.0 to +0.5 V in 0.5 V decrements. Reference spectra were collected at 0.0 V. The arrows show the order in which the measurements were made. Peaks marked ○, \*, and \*\* are positioned at 1100, 1240, and 1440 cm<sup>-1</sup>, respectively. Reprinted from Ref (29), Copyright 2019, with permission from American Chemical Society

the presence of perchlorate anions, was observed with increasing applied potential. Furthermore, characteristic bands at 1240 cm<sup>-1</sup>, assigned to C–O stretching, and at 1440 cm<sup>-1</sup>, assigned to C–O–H bending, were observed at more positive potentials than 1.5 V versus Ag/AgCl. Thus, these bands are visible even after lowering the applied potential. From the results, both peaks (at 1240 cm<sup>-1</sup> and at 1440 cm<sup>-1</sup>) likely originate from irreversible surface hydroxylation of the BDD by anodic oxidation [29].

## 4 Surface Modification of Alkenes by Photochemical Reaction

Modification of BDD surfaces for analytical or catalytic purposes attracts much attention. Alkyl-terminated surfaces of BDD can be produced by UV irradiation of hydrogen-terminated BDD in solution containing alkene molecules (Fig. 9). It is suggested that the reaction can be possible by using photochemical reaction with hydrogen-terminated BDD surface. The proposed mechanisms are as follows: Dangling bond of carbon on BDD surface formed by UV irradiation react very rapidly with unsaturated carbon–carbon bond of alkene, and the C–C bond is formed. Facile step of the C–C bonding formation make the surface bound carbon-based radical on the β-carbon. Then, abstraction of a neighboring hydrogen induces the next dangling bond on BDD surface, and the next modification occurs sequentially.

Based on the methods, allyltriethylammonium bromide (ATAB) was covalently modified to the surface of BDD electrodes in order to fabricate positively charged



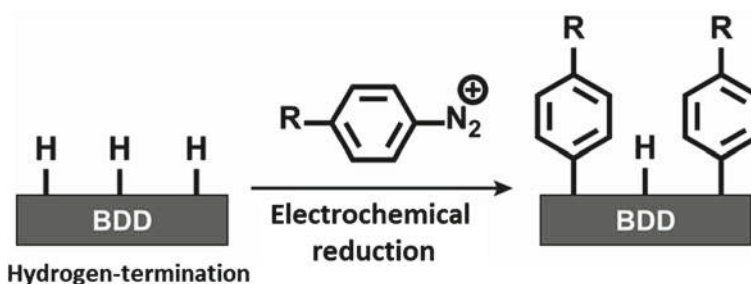
**Fig. 9** Surface modification of alkenes by photochemical reaction

BDD surface by Kondo et al. [16]. The anodic current for oxalate oxidation was found to be up to two times larger than at an unmodified BDD electrode. This was due to the electrostatic interaction between the oxalate anion and the electrode surface. They also fabricated cobalt phthalocyanine-modified BDD electrode for highly sensitive detection of hydrogen peroxide [17].

## 5 Surface Modification of Aryl Diazonium Salt by Electrochemical Reaction

Modification of organic molecules by electrochemical methods also shows the possibility of grafting a many kinds of functional molecules. Electrochemical reduction of aryl diazonium salts can lead to a very solid covalent attachment of aryl groups onto the carbon surface. The method can apply to modify a large variety of functionalized aryl groups, hence allowing the modification of a broad spectrum of molecules. It is suggested that the covalent attachment of the aryl groups is due to the binding of the aryl radical produced by one-electron reduction of the diazonium salt to the carbon surface (Fig. 10) [30].

Based on the methods, influenza virus was electrochemically detected with high sensitivity by BDD electrodes modified by sialic acid-mimic peptide. At first, aryl diazonium salts with alkynes was modified on BDD surface. Then, the peptide with



**Fig. 10** Surface modification of aryl diazonium salt by electrochemical reaction

azido group, which was previously identified by phage-display technology, was attached by click chemistry with the modified BDD. Electrochemical impedance spectroscopy revealed that H1N1 and H3N2 influenza virus were detectable in the range of 20–500 pfu by using the peptide-terminated BDD electrode [10]. Also, J.-F. Zhi et al. report an application of amperometric biosensor by tyrosinase modified BDD electrodes. After 4-nitrobenzenediazonium tetrafluoroborate was modified on BDD surface by electrochemical reduction, the tyrosinase was covalently immobilized on the reduced aminophenyl-modified BDD surface via carbodiimide coupling [18].

## 6 Summary

In this chapter, we introduced an importance of the surface control and surface modification of BDD electrodes. First, a method for controlling surface termination of BDD electrodes, especially hydrogen termination by cathode reduction treatment and oxygen termination by anodic oxidation treatment, was shown. The surface termination of the BDD electrode has a great influence on its electrochemical properties, so it is important to control the surface to be suitable for each application. Furthermore, surface modification by functional molecules is also important not only for better understanding of BDD's fundamentals but also for a variety of applications.

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# Localized Surface Characterization of Boron-Doped Diamond Film Electrodes



Francesca Celine I. Catalan and Yousoo Kim

**Abstract** The expanding application of boron-doped diamond (BDD) to various fields as an efficient electrode and sensor has continuously encouraged fundamental investigations of its properties. To fully realize BDD's potential, a deep understanding of the relationship between its local morphology and electrochemical properties is essential. This chapter focuses on localized imaging and spectroscopy of CVD-grown BDD film electrodes—from micro- to atomic-scale—and how such measurements can clarify phenomena affecting BDD's performance as an electrode.

**Keywords** Boron-doped diamond · Raman spectroscopy · Scanning tunneling microscopy · Facet-dependent · Corrosion

## 1 Introduction

Diamond has enjoyed considerable attention in the past decades due to its unique and interesting characteristics, such as extreme hardness, chemical inertness, and exceptional carrier mobility. Remarkably, its electrical conductivity can be easily tailored with surface functionalization and doping [1–3]. In boron-doped diamond (BDD), the amount of boron in the diamond lattice,  $[B]$ , determines the electrical conductivity of the material—turning an intrinsic insulator into a wide-bandgap semiconductor ( $[B] < 10^{19} \text{ cm}^{-3}$ ) and even to a semi-metal ( $[B] \sim 10^{19}–10^{22} \text{ cm}^{-3}$ )—rendering a promising electrode material for various electrochemical analysis [4, 5] and biochemical sensing [6, 7] applications.

Despite the ever-developing applications and wide-ranging research on BDD's properties, several aspects of its electrochemical efficiency remain unclear and need to be clarified for us to harness its full potential as an electrode. Aside from boron concentration and distribution, many factors have been shown to affect the electrochemical performance of BDDs, including surface termination [8–10],  $\text{sp}^2$  carbon impurities [11], defects, and crystallographic orientation [12, 13]. The problem lies

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in the compounded effects of these factors to the BDD electrode, which makes it difficult to determine the distinct role that each factor plays in the electrochemical activity of BDD especially when analyzed in the macroscopic scale.

This chapter aims to provide insights into the correlation of the *local* surface morphology and electrochemical activity of BDD electrodes. In particular, the focus is on the local characterization of BDD (100) and (111) facets to explain the crystallographic-orientation dependence of the electrochemical performance of BDD. The chapter begins with the micro-Raman analysis of polycrystalline BDD electrode film as it undergoes anodization. Combined with high-resolution optical microscopy, Raman spectroscopic imaging maps the chemical inhomogeneity and local changes on the BDD surface due to corrosion, allowing for a better understanding of the mechanisms behind anodic degradation in BDD electrodes [14]. For an even more localized characterization of BDD beyond the limit of optical detection, scanning tunneling microscopy (STM) is utilized to investigate BDD at the *atomic level* (Sect. 3). After a short review of the STM studies of undoped and boron-doped diamond measured at room temperature, atomic structures on the BDD surface obtained via STM at cryogenic temperatures are discussed. Attention is given to the surface structures unique to BDD (111), which may explain the electrochemical superiority of the (111) facet over (100) [12].

## 2 High-Resolution Micro-Raman Analysis: Anodic Corrosion in BDD

### 2.1 Degradation of BDD Electrodes

Despite diamond's intrinsic strength and chemical stability, considerable degradation has been reported in BDD electrodes when it undergoes high current density anodic treatments. In ultra-nanocrystalline BDD, surface oxidation has been shown to generate delamination and textural changes at a current density of  $1 \text{ A cm}^{-2}$  [15]. Anodic corrosion in micro-polycrystalline BDD films is enhanced with lower pH level [16], presence of organic compounds [16, 17], and increased doping levels [18]. More importantly, along with morphological changes, the electrochemical stability of BDD electrodes is significantly reduced with corrosion [19–21]. It is therefore imperative to understand the mechanisms of anodic corrosion in BDD in order to aid its development as an electrode for various applications.

Sp<sup>2</sup>-hybridized carbon is known to initiate corrosion in BDD electrodes [16, 22]. These sites on the surface may have been formed during the chemical vapor deposition (CVD) growth process or as a product of subsequent treatments and interaction with the surroundings. In addition, oxygenated functional groups have been shown to affect electrochemical activity [23, 24], and the adsorption of certain surface groups seems to depend on the crystallographic orientation of the BDD surface [25, 26]. To understand the mechanisms that govern these observations and their consequent



roles in corrosion, morphological and chemical properties of the BDD surface as it undergoes anodization are investigated using high-resolution Raman spectroscopy, complemented by X-ray photoelectron spectroscopy (XPS).

## 2.2 Polarized Micro-Raman Study of Corrosion in BDD

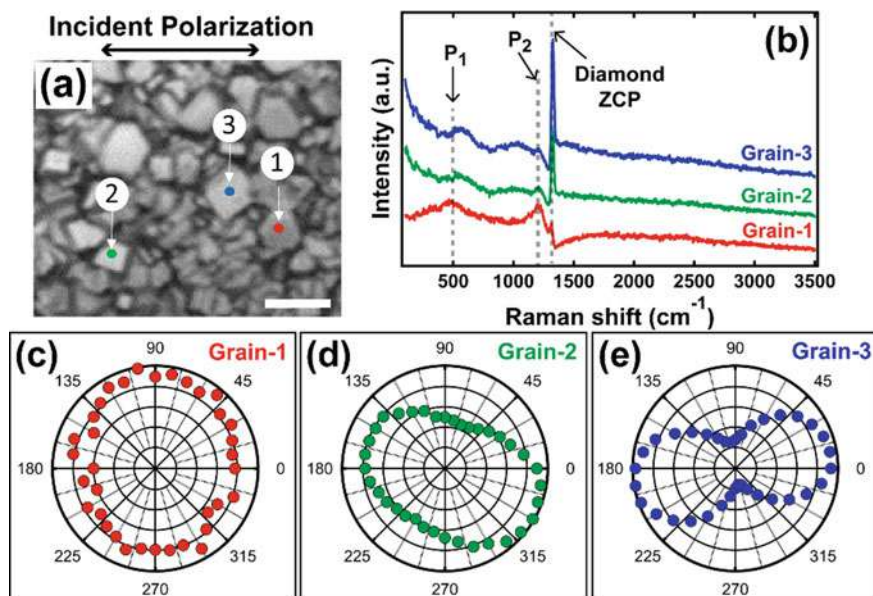
Raman spectroscopy is regarded as one of the most versatile tools to characterize carbon-based materials. Compared to scanning electron microscopy or optical microscopy, Raman spectroscopy is very sensitive to the chemical species on or near the surface of the sample, providing comprehensive information about a material's composition and how it interacts with the environment. Raman spectra are specially informative and useful in BDD analysis as they are sensitive to the amount of boron dopants [27], crystallinity [28], presence of non-diamond carbon species, and diamond lattice defects. When combined with confocal and optical polarization-sensitive configurations, a spatial resolution of  $<1\ \mu\text{m}$  and sensitivity to crystallographic orientation of diamond grains can be achieved [29], making Raman spectroscopy a valuable tool to analyze localized grain-dependent surface changes in polycrystalline BDD.

### Characterization of pristine BDD

The BDD electrodes investigated and discussed in this section are polycrystalline, highly doped BDD thin films (B/C ratio = 1%, thickness =  $4.2 \pm 0.29\ \mu\text{m}$ ) deposited on a Si (111) wafer by microwave plasma-assisted CVD facility. As optical imaging shows (Fig. 1a), the pristine BDD film is characterized by discrete, well-distinguishable grains, with an average grain size of  $4.0 \pm 0.84\ \mu\text{m}$ . The various-shaped grains are randomly distributed and closely-packed, which is expected of a highly doped BDD.

Raman spectroscopic measurements were carried out at room temperature using linearly polarized light from a 532-nm solid-state laser focused onto the sample with a 100x, NA 0.9 objective. Combined with a confocal pinhole ( $\Phi = 150\ \mu\text{m}$ ) to spatially filter out unwanted scattered light from out-of-focus regions, the configuration generated a sampling spot size of  $0.70\ \mu\text{m}$ . This spatial resolution is well below the average size of a single grain on the sample, which indicates that grain-dependent localized spectroscopic measurements can be obtained with this configuration. The Raman signal was collected using the same focusing objective in a backscattering geometry and guided to a spectrometer equipped with a liquid nitrogen-cooled charge coupled device (CCD) detector. Optical polarization-sensitive measurements were performed by using a fixed analyzer with the polarization directed into the spectrometer while rotating the Raman signal polarization via a half-wave plate positioned prior to the analyzer. More details on the experimental set-up can be found in [14].

Figure 1b shows Raman spectra that were measured at the center of three different grains denoted in Fig. 1a. All spectra show features typical of highly doped BDD films: (1) a broad, non-uniform background caused by intra-band hole scattering



**Fig. 1** Polarized Raman spectroscopy of various grains on the polycrystalline BDD: **a** Optical image of the polycrystalline BDD. Scale bar corresponds to 10  $\mu\text{m}$ . **b** Raman spectra and **c–e** the corresponding polarization dependence of the diamond ZCP peak ( $\sim 1332\text{ cm}^{-1}$ ) measured at 3 different grains. The spectra have been offset vertically from each other for clarity. Reprinted with permission from [14]. Copyright (2017) American Chemical Society

[30]; (2) a broad peak around  $500\text{ cm}^{-1}$  ( $P_1$ ) attributed to the presence of boron pairs in the diamond lattice [31, 32]; (3) a small  $\sim 1200\text{ cm}^{-1}$  peak,  $P_2$ , denoting crystal deformation due to single boron atoms substitutionally incorporated in the diamond lattice [33]; and, the diamond zone center phonon (ZCP) peak, which is positioned at  $1332\text{ cm}^{-1}$  for undoped diamond. No  $\text{sp}^2$  carbon is detected (G-mode at  $1580\text{ cm}^{-1}$ ), indicating a high-quality BDD film with negligible graphitic species.

Boron concentration,  $[\text{B}]$ , may be quantified for each grain by fitting the  $P_1$  peak with a combination of Lorentzian and Gaussian functions (details of the fitting parameters are discussed in [14]). The position of the Lorentzian peak ( $\omega_{\text{L},P1}$ ) is empirically known to be correlated to  $[\text{B}]$  by the following equation:

$$[\text{B}] = 8.44 \times 10^{30} \exp(-0.048 \omega_{\text{L},P1}) \quad (1)$$

where  $[\text{B}]$  is in  $\text{cm}^{-3}$  and  $\omega_{\text{L},P1}$  is in  $\text{cm}^{-1}$  [27]. From Eq. 1, it is thus expected that a  $P_1$  peak that is located at a lower wavenumber indicates a higher  $[\text{B}]$ . Using Eq. 1, we calculated the amount of boron in Grain-1, 2, and 3 as  $[\text{B}]_1 = 9.8 \times 10^{20}\text{ cm}^{-3}$ ,  $[\text{B}]_2 = 8.2 \times 10^{20}\text{ cm}^{-3}$ , and  $[\text{B}]_3 = 8.0 \times 10^{20}\text{ cm}^{-3}$ , respectively.

The spectral features of the ZCP peak are also indicative of  $[\text{B}]$ —as more boron is incorporated into the diamond lattice, the ZCP peak becomes more asymmetric,

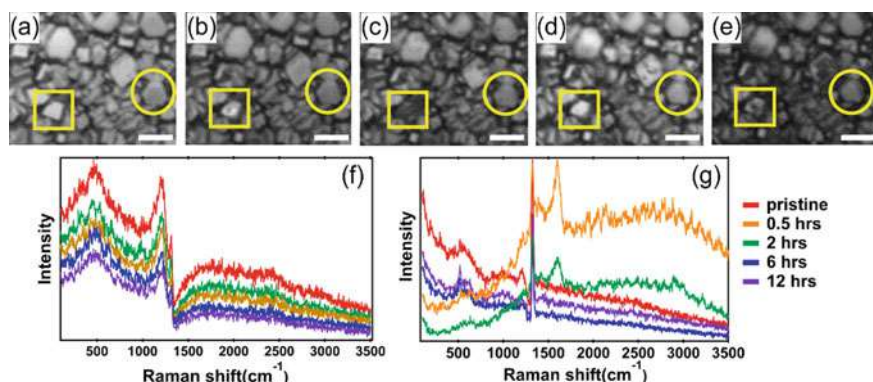
mimicking a Fano function rather than a Lorentzian. The Fano line shape comes from the quantum interference between the discrete diamond phonon mode and the continuum of electronic states caused by the dopants [34]. The dopant-induced asymmetry of the ZCP peak is accompanied by a shift of the peak position ( $\omega_{ZCP}$ ) toward a lower wavenumber and decrease in peak intensity. The more pronounced asymmetry and lower intensity of the ZCP peak in Grain-1's Raman spectra confirm a degraded crystalline quality due to a higher [B] compared to Grains 2 and 3 [14]. As the ZCP peak is a clear indicator of the crystallinity of the diamond grain, it is also very sensitive to the crystallographic orientation of the BDD grains and can therefore be used to precisely determine which facet each grain has. The peak is fitted with a Fano function, and the fitted peak intensity is plotted as a function of the rotation angle difference between the incident polarizer and the analyzer ( $\theta$ ). As a reference, when  $\theta = 0^\circ$ , the polarizer and the analyzer are parallel. Figures 1c–e show the optical polarization dependence of the diamond ZCP peak for Grains 1, 2, and 3, respectively. Clearly, the ZCP peak of Grain-1 has a weaker polarization dependence compared to Grains 2 and 3. On the basis of the Raman tensor [29], Grain-1 can be assigned as (111)-oriented, Grain-2 as (100)-oriented, and Grain-3 as (110)-oriented facet. Further discussions are focused on (111) and (100) grains as these facets are most abundant in CVD-grown polycrystalline diamond films [35]. The higher [B] in (111)-oriented Grain-1 confirms previous reports that boron is more efficiently incorporated into (111) facets than in (100) [36].

### Temporal evolution of the BDD surface undergoing corrosion

Electrochemical treatments were carried out in a single-compartment polytetrafluoroethylene (PTFE) electrochemical cell with Pt wire counter electrode and Ag/AgCl (saturated KCl) reference electrode. The BDD film served as an anode in 3 M acetic acid ( $\text{CH}_3\text{COOH}$ ) aqueous solution with 1 M perchloric acid ( $\text{HClO}_4$ ) as supporting electrolyte. Constant current electrolysis were conducted with a potentiostat at a current density of  $0.25 \text{ A/cm}^2$  for a total of 12 h. To clarify the corrosion mechanism in BDD, the morphological and chemical properties of the film electrode were systematically analyzed ex situ after 0.5, 2, 6, and 12 h using polarized Raman point spectroscopy, Raman imaging, and XPS.

The surface morphology and Raman spectral features of the (111)- and (100)-oriented facets are observed to evolve differently with electrolysis time (Fig. 2). For the (111)-facet (Grain-1), no significant modifications are observed in both the optical images (Fig. 2a–e), highlighted by a *circle*) and the Raman spectra (Fig. 2f). The precise positions of the  $\text{P}_1$  and ZCP peaks indeed do not change significantly as the electrolysis time is increased up to 12 h (Table 1).

On the other hand, visible temporal changes in the relative intensity of the (100)-facet can be observed in the optical images (Grain-2, highlighted by a *square*). As the anodic treatment time is increased to a total of 12 h, a defect (crack) is even evident at the center of the grain, indicating severe etching due to corrosion. Figure 2g shows the temporal evolution of the Raman spectra of the (100)-facet (Grain-2). After just 0.5 h of anodic treatment,  $\text{sp}^2$  carbon peak (G-band at  $1580 \text{ cm}^{-1}$ ) is observed, as well as a notable increase in the spectral background especially at the high wavenumber



**Fig. 2** Corrosion-induced changes in BDD as a function of electrolysis time: optical images of BDD surface **a** before anodic treatment and after **b** 0.5 h, **c** 2 h, **d** 6 h, and **e** 12 h of treatment. Scale bar corresponds to 5  $\mu\text{m}$ . Time evolution of the Raman spectra at **f** (111)-grain (Grain-1) and **g** (100)-grain (Grain-2). (100) and (111) grains are highlighted in the optical images by the yellow square and circle, respectively. Reprinted with permission from [14]. Copyright (2017) American Chemical Society

**Table 1** Raman shift in the P<sub>1</sub> peak and the diamond ZCP peak as a function of electrolysis time. (Adapted with permission from [14]. Copyright (2017) American Chemical Society.)

Electrolysis time (h)	P <sub>1</sub> peak position $\omega_{L,P1}$ (cm <sup>-1</sup> )	Boron concentration, [B] $\times 10^{20}$ (cm <sup>-3</sup> )	diamond ZCP peak position, $\omega_{ZCP}$ (cm <sup>-1</sup> )
Grain-1: (111)-facet			
0	476.6	9.8	1318.9
0.5	476.7	9.8	1319.2
2	478.5	8.9	1320.2
6	479.9	8.4	1319.9
12	478.1	9.1	1318.3
Grain-2: (100)-facet			
0	480.3	8.2	1317.6
0.5	480.5	8.1	1317.8
2	481.9	7.6	1318.7
6	499.8	3.2	1326.7
12	510.0	1.9	1326.2

region. The broad luminescence stems from a continuous distribution of electronic states within the optical bandgap of diamond that are introduced by various disordered forms of amorphous sp<sup>2</sup> carbon species [37, 38]. After two hours, the sp<sup>2</sup> carbon G-band peak and the broad luminescence disappear, suggesting that non-sp<sup>3</sup> carbon species are removed by corrosion [16, 22]. Moreover, a small, broad peak around 2900 cm<sup>-1</sup> (full width at half maximum, FWHM  $\sim$  150 cm<sup>-1</sup>) can be observed in

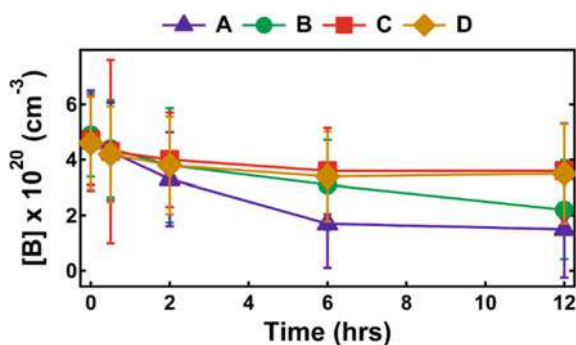
the (100)-grain after 2 h treatment. The appearance of this peak, which is associated to the stretching mode of  $\text{CH}_x$  species after anodization, have also been reported previously [39, 40]. For this particular (100)-oriented grain (Grain-2),  $[\text{B}]$  decreases significantly by a factor of four (from  $8.2 \times 10^{20} \text{ cm}^{-3}$  to  $1.9 \times 10^{20} \text{ cm}^{-3}$ ) after 12 h of treatment, as evidenced by the shift in the  $\text{P}_1$  peak (Table 1). This indicates a depletion of boron with longer electrolysis time. This assertion is confirmed by the spectral shift of the diamond ZCP peak toward a higher wavenumber, indicating a relaxation of the tensile stress on the crystal lattice due to the decrease in the amount of dopants.

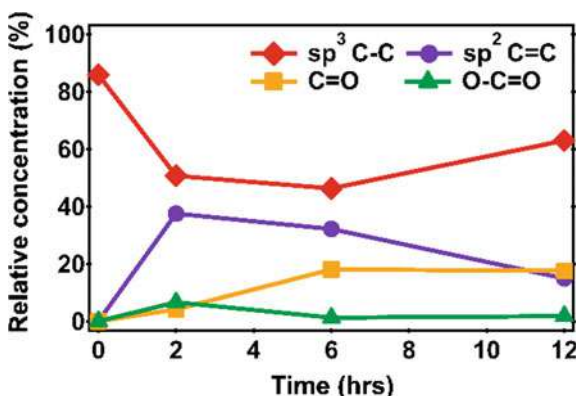
Raman spectral mapping of several large areas within the sample confirms the facet-dependent corrosion on the BDD surface. We monitored the spectral features in four different areas in the sample and observed a similar trend in the temporal evolution of (111) and (100)-facets [14]. The spectral shift of the  $\text{P}_1$  peak is especially notable in all investigated areas in the sample. Figure 3 shows the trend of the average  $[\text{B}]$  calculated from four different areas. Each measured area consists of  $400 \text{ pixels} \times 80 \text{ pixels}$  ( $90 \times 18 \mu\text{m}$ ) comprising of 32,000 spectra. As the treatment is prolonged, the average  $[\text{B}]$  is reduced in all areas. In Area A, for example, the average  $[\text{B}]$  continuously decreased from  $4.7 \times 10^{20} \text{ cm}^{-3}$  in its pristine state to  $1.5 \times 10^{20} \text{ cm}^{-3}$  after 12 h. The corrosion-induced doping concentration reduction may explain the diminished electrochemical activity of BDD electrodes as reported previously [15, 20, 21].

As a complement to the chemical information provided by micro-Raman spectroscopy, high-resolution core level C 1 s XPS measurements were also systematically carried out after 2, 6, and 12 h of anodic treatment [14]. Although the spatial resolution of XPS is poor ( $1 \mu\text{m}$ ) compared to Raman spectroscopy, it benefits from its extreme sensitivity to elemental composition on the surface.

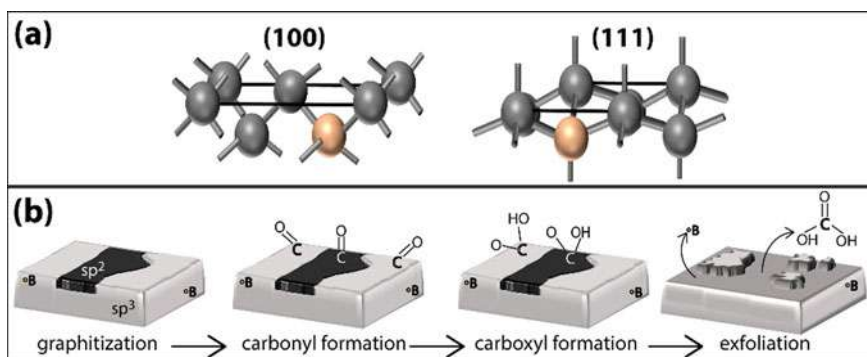
In its pristine state, the main component at the surface is  $\text{sp}^3$  carbon species, corresponding to the C–C bond in diamond. A very small contribution from C–O groups was also observed which may be ascribed to the natural oxidation of the film due to its exposure to air. No  $\text{sp}^2$  C = C peak was observed, confirming the Raman measurements. However, after 2 h of electrolysis, a significant amount of  $\text{sp}^2$  C = C species is detected, replacing some  $\text{sp}^3$  surface carbon, as evidenced by the decrease

**Fig. 3** Temporal evolution of the average boron concentration  $[\text{B}]$  calculated from the mean Raman shift of the  $\text{P}_1$  peak measured at four different locations on the BDD film. Bars represent the standard deviation. Reprinted with permission from [14]. Copyright (2017) American Chemical Society





**Fig. 4** Temporal evolution of the surface functional groups measured by XPS. Reprinted with permission from [14]. Copyright (2017) American Chemical Society



**Fig. 5** **a** Schematic diagram of the idealized diamond (100) and (111) facets showing carbon dangling bonds. Black and peach spheres represent carbon and substitutional boron atoms, respectively. **b** Proposed mechanism for anodic corrosion of diamond. Reprinted with permission from [14]. Copyright (2017) American Chemical Society

in the relative concentration of the  $sp^3$  C-C. As the anodic treatment is prolonged, the amount of  $sp^2$  C=C species declines but not completely disappears, suggesting a non-uniform  $sp^2$  etching within the measured area. The slight increase in the  $sp^3$  C-C species after 12 h indicates that the surface has been etched, revealing  $sp^3$  carbon atoms that were initially in the subsurface.

Detection of carbonyl (C=O) species as anodization progressed is also revealing and aids the comprehensive understanding of the facet-dependent corrosion in BDD. The corresponding XPS peak only appears after electrochemical treatment and increases in concentration at longer treatment times. Due to the single dangling bonds present in the unreconstructed diamond (111) surface, it has been previously shown that carbonyl cannot reasonably exist on the (111) facet [41, 42]. Therefore,



the presence and evolution of the  $C=O$  species must be related to the preferential corrosion of the (100) grains, as observed in the Raman studies. Another oxidized species,  $O-C=O$ , was also measured in smaller amounts. This functional group species may arise from carboxyl ( $COOH$ ) which is shown to be a likely intermediate in the corrosion process of BDD [19].

### Corrosion mechanism in BDD

The electrochemical oxidation of acetic acid has been previously explained using differential electrochemical mass spectrometry [43]. Successive H-abstractions by hydroxyl radicals ( $\cdot OH$ ) lead to the oxidation of  $CH_3COOH$  to  $CO_2$ . In the process, methyl radicals ( $CH_3\cdot$ ) are formed as intermediates. These methyl radicals have been shown to be an initial step in the corrosion process [16]. The small manifestation of the  $CH_x$  Raman peak measured after anodic treatment along with other spectral changes confirm its importance in the process.

In parallel, hydroxyl radicals also reacts with the BDD to create an OH-terminated surface. Based on the Raman and XPS measurements, it can be deduced that the subsequent interactions of the  $\cdot OH$  in the aqueous electrolyte with the BDD surface lead to two possible pathways: (1) direct graphitization of the diamond surface, leading to etching, or (2) further oxidation, leading to the formation of carbonyl bonds on the BDD surface. The first pathway is well-known and well-studied by researchers investigating corrosion in BDD. Here, the facet-dependent Raman results indicate that carbonyl formation is actually a very important step in the corrosion process, as carbonyl serves as intermediates for carboxyl to form, and further cause exfoliation by forming into carbonic acid [19]. This exposes the subsurface layer, and if boron atoms are present, allows for the boron to detach from the lattice as well, leading to a reduction in the average  $[B]$  in the BDD electrode. Similar reduction in boron content after 12 h anodic treatment was also observed near the surface region using glow discharge optical emission spectroscopy [16].

The observed grain orientation dependence of the corrosion process offers new and important insights, not only to aid our understanding of BDD electrode degradation, but also of the surface chemistry happening of the BDD surface. With these new information, future works can be geared toward minimizing degradation without sacrificing BDD electrochemical efficiency.

## 3 Scanning Tunneling Microscopy (STM): Atomic-Scale Imaging of BDD

### 3.1 STM Studies of Diamond Films

Our understanding of the mechanisms behind BDD remains to be incomplete mostly because of the intricate and intertwined correlation among the different factors affecting the properties of the BDD surface. One way to circumvent this complexity

is to observe the BDD at an even more localized level—*atomic level*—such that the compounded effects are minimized and the local property of the investigated surface is truly revealed.

The development of scanning tunneling microscopy (STM) [44] has provided us with the possibility of obtaining an atomically resolved view of solid surfaces. STM works by scanning a very sharp metal tip very close to the probed surface such that the wave functions of the tip and the surface atoms overlap [44, 45]. Applying a bias voltage ( $V_b$ ) between the tip and the sample generates a tunneling current ( $I_t$ ), which reflects the electron state densities and is highly dependent on the tip-sample gap distance. STM imaging, therefore, simultaneously provides information on surface topography and local electronic structure with sub-nanometer precision.

Clearly, for STM to be properly utilized as an imaging tool, the investigated sample surface has to be conductive, atomically flat, clean, and, ideally, well-ordered. Diamond, being an inherent insulator, is therefore not an easy and ideal sample for STM studies. For BDD, it means that the sample must have a considerable amount of boron dopants to yield enough conductivity on the surface. However, BDD growth using CVD with high boron doping usually leads to a rough surface, characterized by numerous defects, domains, and grain boundaries. Thus, highly doped BDD samples are likewise not ideal for STM investigations because of the limited working range of the  $z$  piezo scanner of the STM. Nevertheless, with appropriate growth parameters and pre-imaging treatments, BDD is a very interesting surface to analyze using STM.

As early as 1991, STM has been utilized to investigate diamond surfaces, mainly to clarify the CVD growth and nucleation mechanisms of epitaxial diamond films [46, 47]. The motivation later shifted toward understanding surface conductivity [48–50], superconductivity [51, 52], and electron field emission [53–55]. Most of the initial reports dealt with *undoped* single crystalline diamond films with hydrogen-terminated surfaces [47, 56–60], suggesting that hydrogenation is important not only for surface stabilization but also for rendering  $p$ -type surface conductivity to diamond [2, 61]. In diamond (100) films, elongated dimer rows of  $(2 \times 1)$  monohydride surface reconstruction have been consistently observed [58, 62, 63]. On the other hand, STM imaging of hydrogenated diamond (111) surface reveals several structures including the ideal  $(1 \times 1)$  rows [59, 60, 64], reconstructed Pandey  $(2 \times 1)$  chain rows [65], and  $\sqrt{3}x\sqrt{3}R30^\circ$  reconstruction [59, 64].

For boron-doped diamond films, STM reports have been limited to hydrogenated (100)-oriented single crystalline films due to the smoothness of the resulting film at substrate temperatures ( $T \sim 1000$  K) compared to other facets [66]. Interestingly, no substantial differences were observed in the STM images of undoped diamond and BDD (100) films [58]. Considering the BDD community-accepted assertion that boron incorporation efficiency and electrochemical activity are facet-dependent, i.e., BDD (111) is superior over (100), STM characterization of BDD (111) is necessary to understand the role of boron in the BDD electrode.

In the following section, atomically resolved imaging of hydrogenated BDD (111) and (100) is demonstrated using ultrahigh vacuum (UHV) STM at cryogenic temperature ( $T = 5$  K). Previous STM investigations of BDD films have been performed only at ambient temperature, which does not fully harness the resolving power that STM



can achieve. The low temperature and vacuum-like environment ensures a controlled surface environment with minimal temperature effects in both the surroundings (thermal drift during imaging) and the sample (lattice expansion). Moreover, such experimental conditions allow for precise measurements of the local electronic structure of the sample by monitoring the change in the tunneling current as a function of the bias voltage (scanning tunneling spectroscopy). The result is a clearer, more accurate atomic view of the BDD surface provided by STM measurements with unprecedented quality and precision.

## 3.2 Atomic Imaging at Cryogenic Temperature

### Experimental details

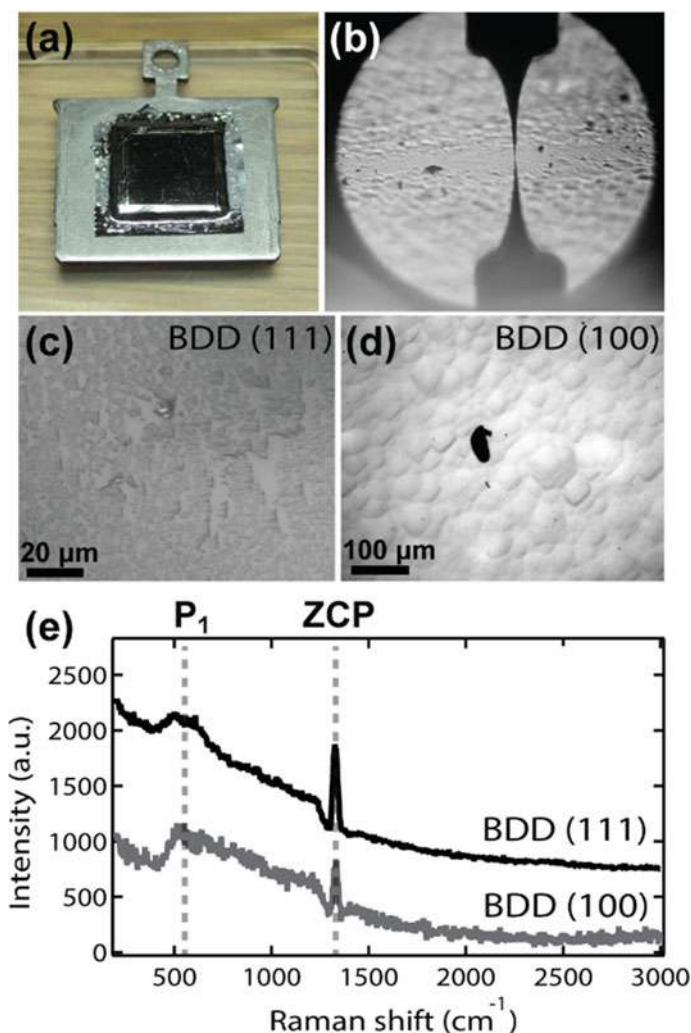
#### *Sample preparation and characteristics*

The samples discussed in this section are single crystalline (100) and (111)-oriented BDD thin films grown via microwave-assisted CVD on heteroepitaxial diamond substrates with comparable doping (B/C ratio = 1%) and growth conditions. The carbon and boron sources are methane ( $\text{CH}_4$ ) and trimethylborane ( $\text{B}(\text{CH}_3)_3$ ), respectively. To ensure good surface morphology, the growth was implemented in a multi-step process followed by hydrogenation, which was performed by exposing the films to  $\text{H}_2$  plasma. The samples were then fixed on tantalum sample holders with tantalum tape (Fig. 6a). A multimeter was used to ensure good contact between the sample and the holder and to measure the conductivity. Electrical resistivity of less than 200  $\Omega$  at room temperature was measured for all samples, which indicates conductivity high enough for low-temperature STM measurements to be achieved.

Optical and micro-Raman measurements were carried out before the samples were introduced into the STM UHV chamber. In the microscopic scale, the BDD (111) and (100) film surfaces show a pitted appearance as shown in Fig. 6c and d, respectively. Despite the apparent unevenness of the surfaces, micro-Raman measurements confirm that the crystallinity and boron concentration are generally uniform for both samples, with negligible graphitic species ( $\text{sp}^2$  carbon, G-band at  $1580\text{ cm}^{-1}$ ) (Fig. 6e). The average boron concentration,  $[\text{B}]$ , calculated using Eq. 1 in Sect. 2.2 are  $[\text{B}]_{111} = 1.2 \times 10^{20}\text{ cm}^{-3}$  and  $[\text{B}]_{100} = 2.9 \times 10^{19}\text{ cm}^{-3}$ . In the BDD (100) surface, isolated dark spots are also observed (Fig. 6d). These areas appear to be highly-doped areas ( $[\text{B}] \sim 9 \times 10^{20}\text{ cm}^{-3}$ ) and are generally avoided during STM measurements because the surface is very rough and may contain  $\text{sp}^2$  carbon (Fig. 6b).

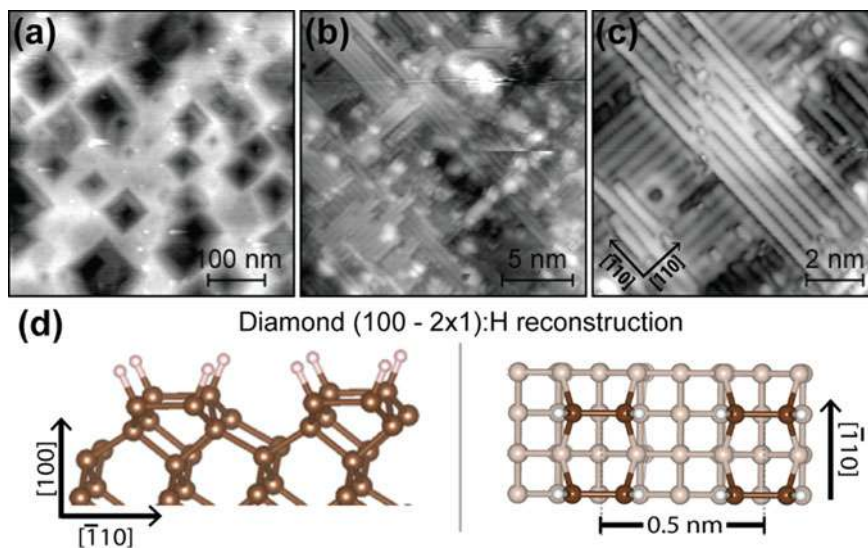
#### *STM experimental parameters*

STM measurements were performed in a low-temperature STM system operated at UHV conditions (base pressure =  $5 \times 10^{-11}$  Torr). BDD samples were degassed up to  $750^\circ\text{C}$  by filament heating in the UHV chamber to remove unwanted adsorbates that may be on the surface. Heating was done slowly, carefully ensuring that the annealing



**Fig. 6** **a** BDD (100) sample mount-ed on a tantalum STM holder plate. **b** STM tip (and its reflection) approached very near the BDD (100) surface. Optical images **c** and **d** and representative Raman spectra **e** of the BDD (100) and BDD (111) films

temperature does not exceed 1000 °C to avoid possible dopant redistribution and dehydrogenation of the surface [66]. The temperature was measured by monitoring the sample holder temperature using an infrared pyrometer. All STM images were collected at  $T = 5.0$  K using an electrochemically etched tungsten tip (Fig. 6b). Prior to STM measurements of the BDD surfaces, tips were cleaned and characterized on metal substrates with well-defined properties.



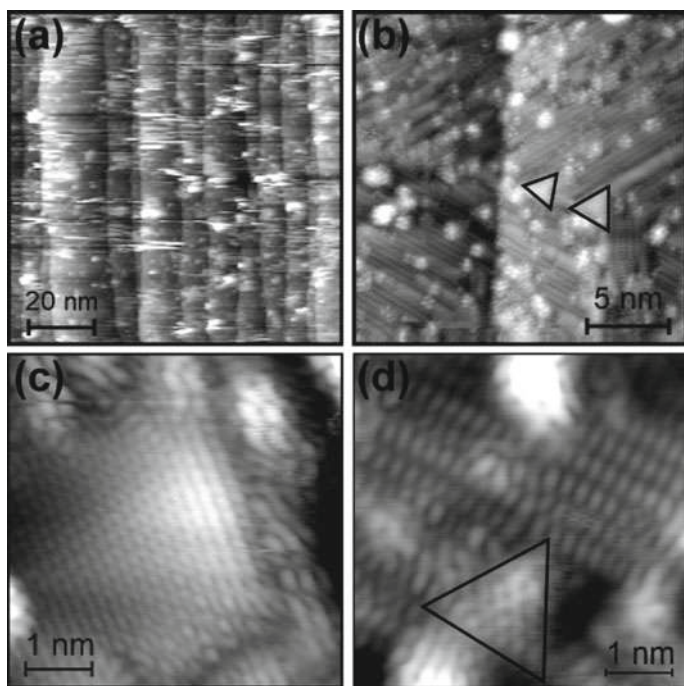
**Fig. 7** STM imaging of BDD (100). **a** Large-scale topographic image shows deep square-shaped pits ( $V_b = 0.8$  V,  $I_t = 0.5$  nA). **b**  $20 \times 20$  nm<sup>2</sup> and **c**  $10 \times 10$  nm<sup>2</sup> STM images show the  $(2 \times 1)$  CH-CH dimer rows ( $V_b = -0.5$  V,  $I_t = 1$  nA). **d** Side (left) and top (right) views of the  $(2 \times 1)$ :H dimer reconstruction model of diamond (100). Carbon and hydrogen atoms are represented by brown and white balls, respectively. To highlight the surface dimers in the top view of the model (right), dark and light-colored balls represent the surface carbon and subsurface/bulk carbon atoms, respectively

### BDD (100)

In large-area scans ( $500 \times 500$  nm<sup>2</sup>, Fig. 7a), BDD (100) looks unsmooth with random pits scattered on the surface. These square-shaped pits have an average depth of 3 nm and are attributed to the etching effect of hydrogen plasma on CVD-grown surfaces [48]. In higher-resolution scans of the flat areas (Fig. 7b–c), it can be observed that the surface is dominated by parallel rows forming domains that are rotated relative to each other by 90°. The rows have a  $(2 \times 1)$  reconstruction with a row–row distance of 0.50 nm, representing monohydride carbon dimer rows similar to previous reports [47, 57, 63, 67]. The distance between the rows corresponds well with the calculated distance (0.504 nm) between C–C dimer rows of the  $(2 \times 1)$  reconstructed diamond surface [68] (Fig. 7d). Moreover, inhomogeneities, characterized by row vacancies and bright protrusions, are abundant on the surface, as expected of CVD-grown films [69]. The bright protrusions are observed to proliferate on the surface when the samples are taken out of the UHV chamber and exposed to the ambient environment for a prolonged period, indicating that these represent adsorbates from the surroundings.

### BDD (111)

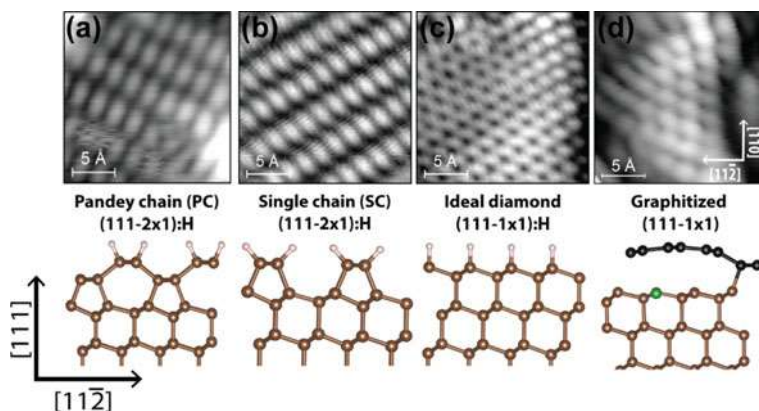
Figure 8a shows a typical large-area topographic scan of the BDD (111) surface



**Fig. 8** **a** Terraces dominate the large-area STM images of BDD (111) ( $V_b = 1.0$  V,  $I_t = 1.0$  nA). Well-defined rows **b** and two types of triangular features **c**, **d** characterize the surface. ( $V_b = -1.0$  V,  $I_t = 3\text{--}5$  nA)

imaged by STM. Flat terraces are separated by monoatomic steps along the  $[-110]$  direction and, similar to BDD (100) sample, are littered randomly with bright protrusions. A closer look at the terraces shows that the dominant structures on the surface are rows composed of well-defined oval protrusions (Fig. 8b and d). The rows are separated by an average distance of  $4.1 \pm 0.2$  Å and form domains that are rotated by  $120^\circ$  with respect to each other. Each protrusion has a size of  $2.5 \times 1.0$  Å. Aside from the rows, triangular areas can also be observed on the surface. Based on their average size, these areas can be categorized into two types. The first type, shown in Fig. 8c, has an average side length of 4 nm and is rarely observed on the surface. The other type, which is outlined by black lines in Fig. 8b and d, has an average side length of 2.0 nm. These unique features are superimposed on top of the rows and are inclined to be near step edges. As measured from several STM images taken from different sample areas, these structures cover 1–1.5% of the total sample area, which agrees well with the doping amount in the sample—an indication that this feature is related to incorporation of boron atoms in the diamond lattice. Notably, these features were only found in BDD (111) and not in BDD (100).

Four types of surface reconstructions can be observed on atomically resolved STM images of BDD (111) (Fig. 9). The oval protrusions that form the rows are



**Fig. 9** Atomic-scale topographic images showing four types of surface reconstructions found in BDD (111): **a** hydrogenated Pandey chain (2x1), **b** hydrogenated single chain (2x1), **c** hydrogenated ideal diamond (1x1), and **d** (1x1) reconstruction with a graphitized surface (surface represented by black balls)

characterized by a  $(2 \times 1)$  reconstruction, where the distance between neighboring spots along each row is  $2.3 \pm 0.2$  Å. However, as Fig. 9a and b reveal, there are actually two types of inter-row arrangements, i.e., the oval protrusions form either (1) a rectangular surface cell (Fig. 9a), or (2) shifted rows (Fig. 9b). Theoretically, two reconstruction models for the diamond (111) surface are known to have a  $(2 \times 1)$  symmetry—the Pandey chain (PC) reconstruction [70] and the single chain (SC) reconstruction [65]. Although the former is more commonly referred to especially in interpreting STM images, density functional theory (DFT) calculations indicate that the difference in surface energy between the two symmetries is actually minimal, implying that both are possibly occurring on the surface. The oval spots indicate the C–C  $\sigma$ -bonds between the top surface carbon atoms [65]. The atomic-scale STM image in Fig. 9c, which describes the *larger* triangular structure in Fig. 8c, shows a sixfold symmetry with a periodicity of  $2.0 \pm 0.1$  Å. The perceived symmetry depicts the C–C bonds between the hydrogen-terminated surface carbon and second-layer carbon atoms in an ideal  $(111-1 \times 1)$  diamond surface.

On the other hand, the symmetry shown in Fig. 9d, representing the *smaller* boron-related triangular feature, resembles a graphitized surface. Local density of states (LDOS) measurements at this area using scanning tunneling spectroscopy (STS) reveal an electronic structure with several states within the bandgap [71], which may be responsible for the superior electrochemical activity of BDD (111) over other facets. The localized and unique attributes of this feature suggest that these areas reflect the position of dopants on BDD (111), manifesting as localized graphitization on the surface. The effect is enhanced in BDD (111) due to the similarity of the stacking order of diamond (111) to graphite.

## 4 Chapter Summary and Outlook

Localized measurements of boron-doped diamond (BDD) are very important to unfold the complex mechanisms that govern BDDs. In this chapter, we have shown that high-resolution imaging and spectroscopy can provide a precise correlation between the surface structure of BDD and its electrochemical properties. With its high sensitivity to chemical composition and crystallographic orientation, high-resolution polarized Raman spectroscopy allowed for a comprehensive study of the facet-dependent chemical changes on the BDD surface resulting from interactions with its surroundings. Scanning tunneling microscopy (STM) goes a step further by providing an *atomic* view of the BDD surface morphology. Distinct local structures on BDD (111) surface gives us a preliminary explanation as to why the (111) surface is more electrochemically active and efficient compared to BDD (100).

The experimental demonstrations shown in this chapter about the use of Raman spectroscopy and STM for BDD investigations are only the beginning, setting the groundwork for further localized BDD measurements. A systematic STM study of the effects of doping type and concentration is a very important step to confirm the role of dopants in the conductivity of diamond. Another particularly promising direction to take is to investigate BDD using a method called STM-based tip-enhanced Raman spectroscopy (STM-TERS), which combines the chemical specificity of Raman spectroscopy and the atomic resolution of STM. Recent works on single molecule studies using STM-TERS in low-temperature UHV setting [72] and liquid environment [73] gives us a glimpse into how the method can be used to probe the chemical properties and electrochemical activity of functional materials including BDD.

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# Electrochemical Image of BDD



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**Keywords** Electrochemical imaging · Chemical sensing · Microelectrode

## 1 Scanning Electrochemical Microscopy (SECM)

Scanning electrochemical microscopy (SECM) is scanning probe microscopy, which uses an ultramicroelectrode (UME) as a probe for characterizing sub-microscale chemical profile and electrochemical reactivity mapping of various samples [1–3]. After the scanning, the oxidation/reduction current detected by the scanning UME and the current value and position information of the UME are reconstructed to visualize the chemical concentration or electrochemical reactivity at the sample surface. Electrode miniaturization improves the spatial resolution and offers several other advantages, such as low double-layer charging currents, low ohmic drops, fast mass transport, and a diffusion rate-limiting steady-state current. The steady-state current of the voltammogram of the UME is described as follows:

$$i = 4nFDCa \quad (1)$$

where  $F$  is Faraday's constant,  $D$  and  $C$  are the diffusion coefficient and bulk concentration of the redox species, respectively, and  $a$  is the radius of the UME.

Numerous electrochemical systems such as electrodes, [4] semiconductors, [5] insulator surfaces, [4] nanomaterials, [6] liquid/liquid interfaces, [7] catalytic materials, [8] corrosion, [9] and life science materials have been analyzed by SECM [10].

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Here, we describe the measurement principles and setup of SECM and the application of SECM to carbon materials.

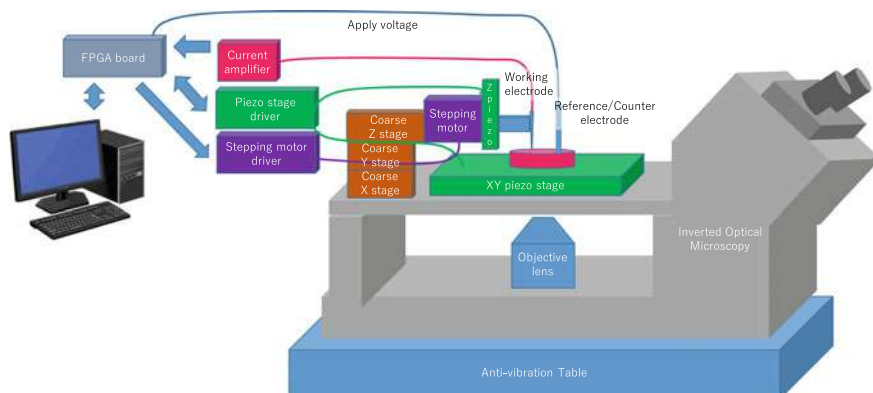
## 2 Instrumentation

An SECM system consists of four major components: an electrochemical cell (including the UME and a counter/reference electrode), a current detector (current amplifier or potentiostat), a micro/nano-positioner, and a data acquisition system (Fig. 1). During such high-resolution measurements, the system must be isolated from vibrations by an anti-vibration table. In a typical SECM measurement, a two-electrode setup is employed because the redox current is extremely small (pA–nA) and the  $IR$  drop is negligible. If an external bias is required, it must be supplied by a bipotentiostat. The UME or sample is scanned by a micro/nano-positioner. A piezo stage and stepping motor-based stage are used for nanoscale and microscale scanning, respectively. Data acquisition is performed by a conventional analog-to-digital (A/D)/digital-to-analog (D/A) converter or a field-programmable gate array board. The scanning area of the sample is selected by observing the sample position under an inverted optical microscope or a digital microscope.

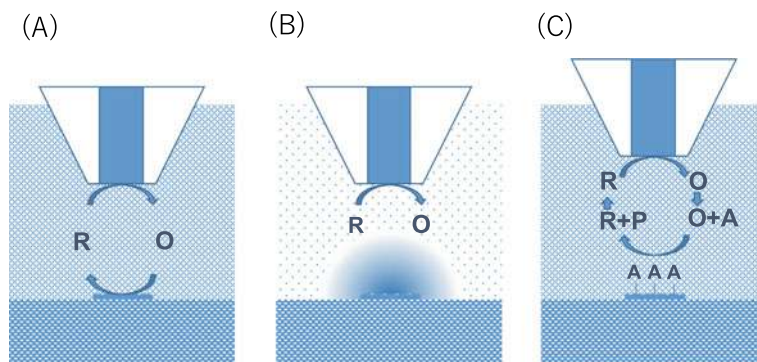
### 2.1 Measurement Principle and Application

#### Feedback mode

Feedback (FB) mode is used to evaluate electrochemical reactivity on a sample surface quantitatively. In FB mode measurement, an electrochemical mediator, which



**Fig. 1** Experimental setup of SECM

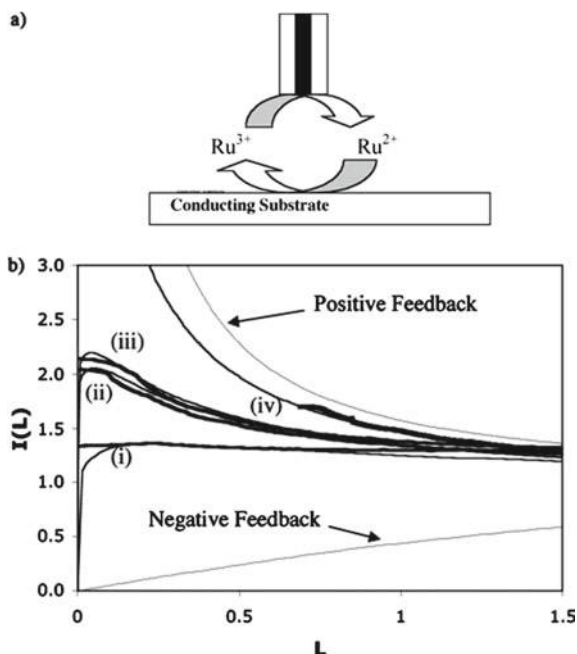


**Fig. 2** Schematics of **a** feedback mode SECM, **b** generation collection-mode SECM, and **c** surface interrogation mode SECM

shuttles the electron transfer between UME and sample, is added in advance and the electrochemical mediator is oxidized/reduced by the UME and diffused to the sample surface. Then, the electrochemical mediator is reduced/oxidized and regenerated on the sample surface (Fig. 2a). The regenerated electrochemical mediator reacts on the UME and the current enhancement is observed by repeating this redox process. This process is called a redox cycle and the current enhancement is called a positive FB effect. The magnitude of the current enhancement depends on the UME–sample distance. The rate constant of the SECM FB mode is typically determined from the current–distance profile (approach curve) [4]. When the sample surface is inert, the redox cycle does not occur and the diffusion state of the electrochemical mediator is physically blocked as the UME approaches the sample surface. Consequently, the current is lower than in bulk measurements. This phenomenon is called the negative FB effect.

In FB mode, the electrochemical mediator first reacts at the UME, then diffuses to the sample surface where it is regenerated. In other words, the reaction-start timing can be controlled by the UME. As the redox cycle strongly depends on the sample–UME distance, FB mode has a higher spatial resolution than the generation collection (GC) mode (explained below). Therefore, a thin insulating sheath ( $RG = rg/a$ , where  $rg$  and  $a$  are the radii of the insulating sheath and electrode, respectively) is valid to bring the UME close to the sample surface.

As an example of SECM operated in FB mode, the heterogeneously doped state of boron-doped diamond (BDD) is introduced here. Bard's group used  $\text{Ru}(\text{NH}_3)_6^{3+/2+}$  as the redox mediator and characterized electrochemical reactivity of the low concentration (0.5 to 10 ppm) boron-doped hydrogen-terminated diamonds [11]. They estimated the effective kinetic rate constants  $k_{\text{eff}}$  s of the BDDs with different boron densities by fitting the SECM approach curves to theoretical curves (Fig. 3). Using conductive atomic force microscopy (C-AFM), they characterized the relationship of conductivity and electrochemical reactivity.



**Fig. 3** **a** Schematic of positive feedback at a conductive substrate in SECM. **b** Experimental approach curves (thick black lines) obtained by a 25  $\mu\text{m}$ -diameter Pt tip approaching the BDD substrates. Measurements were performed in 1.3 mM  $\text{Ru}(\text{NH}_3)_6^{3+}$  in 0.1 M KCl, with a tip potential of  $-0.4$  V versus Ag/AgCl and a substrate potential of  $0.0$  V versus Ag/AgCl and different concentrations of doped BDD: (i) 0.5, (ii) 1, (iii) 5, and (iv) 10 ppm. Theoretical curves (gray lines) with different effective rates  $k_{\text{eff}}$ : 0.010, (ii) 0.019, (iii) 0.021, and (iv) 0.072 cm/s. Thin black lines are the predicted approach curves in pure positive and negative FB modes, respectively. Adapted with permission from reference 11, Copyright 2004 American Chemical Society

Macpherson's group also characterized BDDs by FB mode SECM [12]. Their BDDs were heavily doped ( $\sim 5 \times 10^{20}$  atoms  $\text{cm}^{-3}$ ) and exhibited metal-like conductivity. The authors performed a correlative analysis of SECM, C-AFM, and cathodoluminescence (CL). The C-AFM and CL imaging revealed that the conductivity and boron uptake were nonuniform across the BDD surface. Although the conductivity difference between the grain boundaries with resistances of ca. 100  $\text{k}\Omega$  and ca. 50  $\text{M}\Omega$  was difficult to distinguish by C-AFM, it was easily detected by SECM, which characterizes the conductivity difference as a redox current.

### Generation collection-mode

In generation collection (G/C) mode, the chemicals produced by sample/UME are detected by UME/sample (Fig. 2b). In this mode, the two main measurement styles are substrate generation-tip collection (SG-TC) mode, and tip generation-substrate collection (TG-SC) mode. In SG-TC mode, the UME detects the redox species generated by a substrate electrode and obtains high-sensitivity measurements because the

background current is usually very low. This mode images the concentration profiles of redox species and evaluates the fluxes of redox species generated from a sample. In TG-SC mode, the redox species are generated by the UME tip and are collected by the substrate electrode. The difference between TG-SC mode and FB mode is that the redox species is not reversible in TG-SC SECM.

As an application of the G/C-mode SECM, Bard and coworkers detected the  $\text{CO}_2^{\cdot-}$  radical anion using TG-SC mode SECM [13]. The  $\text{CO}_2$  reduction reaction is an attractive application for BDD and investigated how the boron doping level and  $\text{sp}^2$  content of BDDs influenced the formic-acid production reaction [14, 15]. In the case of radical anion detection using TG-SC mode SECM, the generated radical have to react by substrate immediately. For this reason, the separation distance between the UME and sample surface is critical. Bard and colleagues determined that the radical anion  $\text{CO}_2^{\cdot-}$  dimerizes to form oxalate ( $\text{C}_2\text{O}_4^{2-}$ ) with a half-lifetime of 10 ns and a rate constant of  $6.0 \times 10^8 \text{ M}^{-1} \text{ s}^{-1}$ . Therefore, SECM can effectively identify and quantify the reactivities of highly reactive species with short lifetimes in solution.

### Surface interrogation-SECM (SI-SECM)

Recently, adsorbed intermediates on the sample surface have been investigated by SI-SECM. The adsorbed intermediates react with the oxidized/reduced electrochemical mediator introduced by the UME; afterward, the regenerated mediator reacts on the UME again. This approach is similar to FB mode, but the current associated with the adsorbed intermediates is enhanced while the adsorbed intermediates react on the sample and mediator (Fig. 2c). SI-SECM has characterized the oxygen evolution reaction of “CoPi” oxygen-evolving catalyst (OEC), [16] the hydrogen evolution reactions of Ni [17] and molybdenum disulfide ( $\text{MoS}_2$ ), [18] and the  $\cdot\text{OH}_{(\text{ads})}$  reactions of  $\text{SrTiO}_3$ , [19]  $\text{TiO}_2$ , [5] and Ir [20].

Lopez and coworkers performed a kinetic analysis of water oxidation reaction on BDDs using SI-SECM [21]. After investigating the effect of electrolyte type and pH on the water oxidation reaction, they found that sulfate electrolytes at pH 11.0 maximize the water oxidation rate. They also found two kinetically distinct surface intermediates. One of these intermediates adsorbed at two orders of magnitude faster than the other but desorbed more slowly. The molar surface densities of the intermediates adsorbed at the faster and slower rates were  $4\text{--}7 \times 10^{-5}$  and  $3\text{--}4.4 \times 10^{-5} \text{ mol/cm}^2$ , respectively. The SI-SECM imaging suggested that as the product generation progresses, the electron transfer rates slow down because limited surface sites are available for bonding the intermediates.

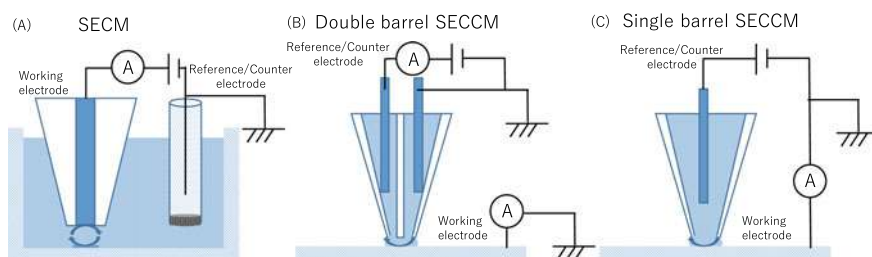
Bard's group characterized adsorbed CO ( $\text{CO}_{(\text{ads})}$ ) by SI-SECM [22]. They detected the  $\text{CO}_{(\text{ads})}$  using bromine ( $\text{Br}_2$ ) as the electrochemical mediator, which yielded  $\text{CO}_2$  and  $\text{Br}^-$  on polycrystalline platinum in aqueous  $\text{H}_2\text{SO}_4$  (0.5 M). Besides providing support for  $\text{CO}_{(\text{ads})}$ , the platinum acted as a heterogeneous catalyst for the oxidation process, as observed by scanning electrochemical cell microscopy (SECCM, discussed next).

### 3 Scanning Electrochemical Cell Microscopy

SECCM is a new electrochemical imaging tool developed by Patrick Unwin's group [23]. In SECCM, the probe is a nanopipette filled with the electrolyte solution, which forms a meniscus-state electrochemical cell at the sample surface. The SECCM nanopipette can be easily and reliably fabricated at the nanoscale size and achieves high-resolution electrochemical imaging because the sample does not need to be immersed in the solution. The small electrochemical cell of SECCM avoids the chemical diffusion problem of SECM imaging, which depends on the UME-sample distance, and limits the resolution of SECM imaging (Fig. 4a). Previously, SECCM has characterized BDDs, [24–28] single-walled carbon nanotubes, [29–31] highly oriented pyrolytic graphite (HOPG)/graphene, [32–37] nanoparticles, [29, 38, 39] polycrystalline platinum [40–42],  $\text{MoS}_2$ , [43–46] and lithium-ion battery materials [47–49].

#### 3.1 Measurement Principle of SECCM

In SECCM, the glass nanopipette is used for scanning probe and form the electrochemical cell at the sample surface. There are two main measurement styles. The double-barrel nanopipette is the most used SECCM measurement style (Fig. 4b) [23]. Each barrel (diameter <500 nm) of the tapered theta pipette is filled with an electrolyte solution and an Ag/AgCl electrode. A voltage applied between the Ag/AgCl electrode generates an ionic current across the thin meniscus of the solution at the end of the pipette. The ionic current provides a FB signal for controlling the sample-pipette distance. Furthermore, the electrochemical measurement can be performed because the sample surface can be connected as a working electrode, with one of the electrodes in the nanopipette serving as a quasi-reference electrode. This method can analyze not only conductive samples but also semiconducting and insulating samples.



**Fig. 4** Schematic of **a** feedback mode SECCM, **b** generation collection-mode SECCM, and **c** surface interrogation mode SECCM

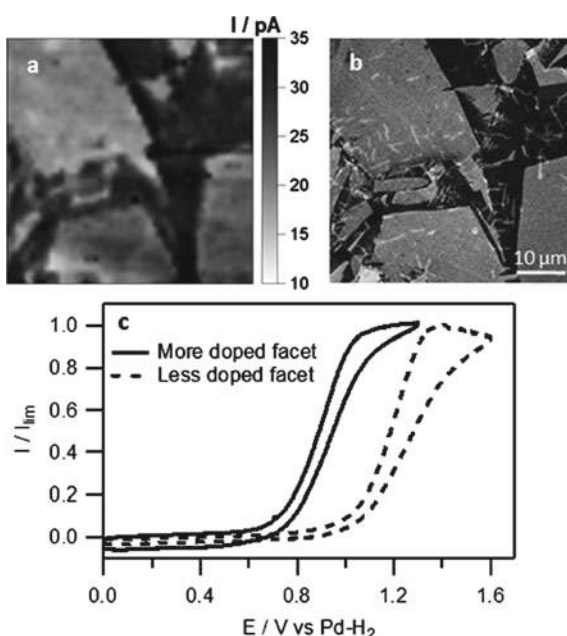


The other main measurement style uses a single-barreled nanopipette [49] filled with an electrolyte solution and an Ag/AgCl electrode (Fig. 4c). The nanopipette was brought into close contact with a sample electrode surface via a liquid meniscus at the nanopipette end. When the meniscus just contacts the surface, the nanopipette is halted by a small capacitive current. The tip height at this point is recorded and electrochemical measurement is performed. Single-barreled SECCM provides high-resolution images because the nanopipette is easily and reliably miniaturized, but is limited to conductive samples.

### 3.2 Measurement Principle and Application

MacPherson and coworkers characterized the facet-dependent heterogeneous electron transfer rate and the boron-doped level of polished polycrystalline BDD (pBDD) electrodes by SECCM and field-emission scanning electron microscopy [24]. They used three types of electrochemical mediators: outer-sphere (one-electron oxidation of ferrocenylmethyltrimethylammonium ( $\text{FcTMA}^+$ )), inner-sphere (one-electron oxidation of  $\text{Fe}^{2+}$ ), and complex processes with coupled electron transfer and chemical reactions (oxidation of serotonin). Regardless of the mediator, the facet-dependent boron doping level dominantly affected the electrochemical reactivity of the electrodes (Fig. 5). One advantage of SECCM characterization is the prevention of fouling of the scanning probe during the imaging. Recall that SECCM

**Fig. 5** **a** SECCM image ( $50\ \mu\text{m} \times 50\ \mu\text{m}$ ) of the oxidation of  $2\ \text{mM}\ \text{Fe}^{2+}$  in  $0.5\ \text{M}\ \text{H}_2\text{SO}_4$  at  $1.2\ \text{V}$  versus  $\text{Pd} - \text{H}_2$  ( $\omega = 470\ \text{mV}$ ). **b** Corresponding field-emission scanning electron microscope image of the same area. **c** CVs recorded on a more- and less-boron-doped facet scanned at  $100\ \text{mV/s}$ . Adapted with permission from reference 24, Copyright 2016 American Chemical Society

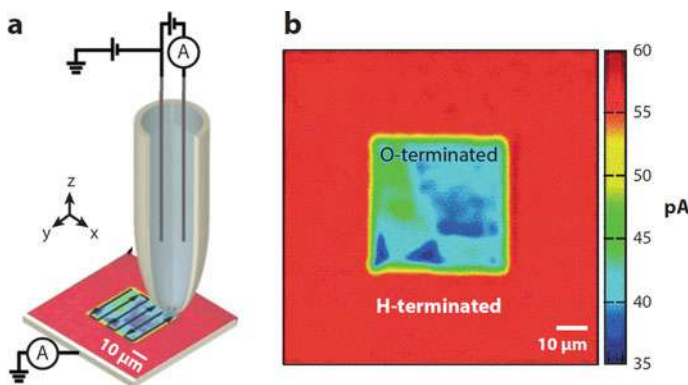


forms a mobile nanoscale electrochemical cell for electrochemical imaging. In micro-electrode probing by SECM, the products of serotonin oxidation block the electrode surface and deteriorate the electrodes. In SECCM, serotonin is used as the electrochemical mediator for imaging.

MacPherson's group also characterized the chloride-containing aqueous solvent (electrolyte) window of the pBDD electrodes by SECCM, electron backscatter diffraction, and Raman microscopy [26]. They related the doping level to the termination state and reactivity and found a wider solvent window on an H-terminated surface than on an O-terminated one. On the O-terminated surface, the anodic potential window was greatly diminished by chloride electro-oxidation.

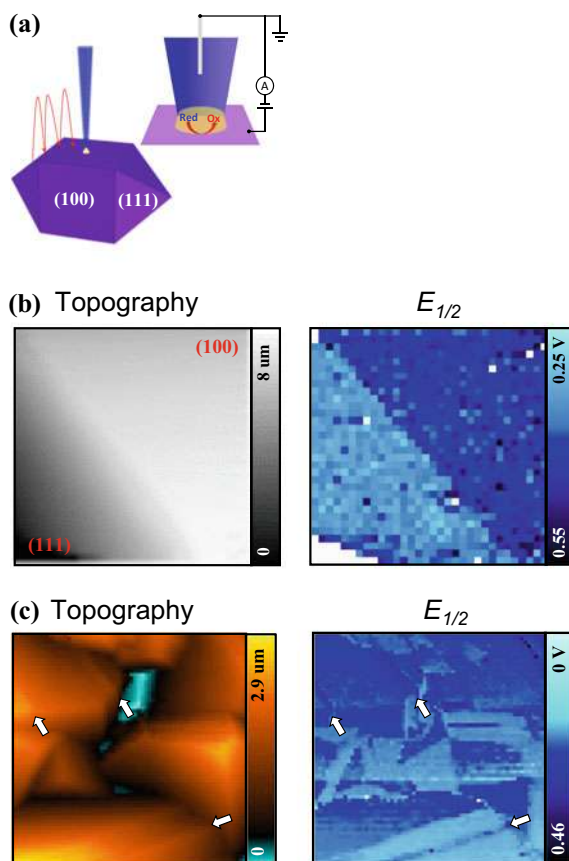
SECCM is a useful tool not only for electrochemical imaging but also for local electrochemical surface modification. MacPherson and coworkers locally oxidized a BDD surface by SECCM, which electrochemically converted the H-terminated surface to an-O-terminated surface [28]. During the BDD oxidation, they applied +1.5 V at a scan speed of 1.0 mm/s (see left panel of Fig. 6). The electrochemical reactivity was then characterized by scanning the sample surface. As shown in the right panel of Fig. 6, anodic treatment measurably reduced the current of the  $\text{Ru}(\text{NH}_3)_6^{3+}$  reduction because it partially removed the H-termination layer.

We have developed hopping-mode SECCM for visualizing the facet-dependent electrochemical reactivities of single crystal and unpolished polycrystalline BDDs [50]. Figure 7a shows the measurement scheme of hopping-mode SECCM measurement of the single crystal BDD. To overcome the electrochemical cell distortion introduced by the sample tile effect, a linear sweep voltammogram was measured at all measurement points for characterizing the half-wave potential ( $E_{1/2}$ ) of the facet-dependent reactivity difference. Figure 7b shows the SECCM topography and



**Fig. 6** **a** SECCM setup, showing how a micron-sized capillary locally oxidizes the surface (write: convert H to O) and subsequently reads the resulting surface change. **b** Electrochemical (read) scan of an O-terminated square region on the H-terminated polycrystalline surface by SECCM. The surface was anodically oxidized by biasing at +1.5 V (write) and imaged (read) at  $-0.3$  V using an SECCM probe filled with  $2 \text{ mM Ru}(\text{NH}_3)_6^{3+}$  in  $50 \text{ mM KNO}_3$ . Adapted with permission from reference 28, with Copyright 2015 The Royal Society of Chemistry

**Fig. 7** **a** Schematic of the Hopping-mode SECCM measurements of single-crystal BDDs. **(b, c)** Topography (left) and half-wave potential (right) SECCM images on **b** single crystal BDD and **c** polycrystalline H-BDD. Nanopipette filled with 4 mM  $K_4[Fe(CN)_6]$  in 0.1 M KCl. Scan sizes were **b**  $6 \times 6 \mu m^2$  and **c**  $10 \times 10 \mu m^2$ . The CV scan rate was **b** 1 V/s and **c** 10 V/s. Adapted with permission from reference 52, Copyright 2021 American Chemical Society



$E_{1/2}$  images of a single crystal BDD. A larger  $E_{1/2}$  was observed on the (100) facet compared to that on the (111) facet, indicative of slower electron-transfer kinetics. This reactivity difference is attributed to boron dopant concentration differences and concurred with those of previous reports [51, 52]. We also characterized the facet-dependent reactivity difference on the unpolished polycrystalline BDD surface. While interrogation of the single crystal surface is extremely useful for fundamental studies, the vast majority of researchers employ polycrystalline BDD. Nearly all SECM and SECCM studies have been carried out on the freestanding polished surface, with limited SECM success on the as-grown material due to the lack of topographical control. Figure 7c shows the SECCM image of the polycrystalline BDD. By the Hopping mode SECCM, we could visualize the microscale surface height difference and the two clear reactivity different responses corresponding to the (100) and (111) facets on the unpolished BDD polycrystalline. In the future, SECCM will be used for unveiling crystal facet-dependent product selectivity of  $CO_2$  reduction reaction on the polycrystalline BDD.

## 4 Conclusions

This chapter introduced recent progress and applications of SECM and SECCM in the characterization of BDD electrodes. The electrochemical reactivity of BDDs depends on the facet, boron-doped density, surface terminated state, and  $sp^2$  content of the BDDs. Accordingly, SECM and SECCM are useful tools for visualizing the electrochemical reactivity and analyzing the kinetics rate constant of BDDs. Boron doping provides both electrode conductivity and emergent reaction sites on the surface. Observing the electrochemical activity of unpolished BDD, we verified a clear activity difference among the different facets and high reactivity at the grain boundaries. Guidelines for producing proper crystal facets and surface modifications, enabling efficient chemical reactions of BDDs, are expected in the future.

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# Computational Aspects of Surface and Interface of BDD Electrode



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and Le The Anh

**Abstract** Boron-doped diamond (BDD) has attracted much attention from various viewpoints such as superconductivity and electrochemical applications. To understand these characters, first-principles calculation studies based on density functional theory (DFT) have been performed in some decades. Associated with the BDD superconductivity, many calculations of bulk BDD characters such as the electronic states, Boron configurations inside and so on were carried out, providing a reasonable superconductivity mechanism. In contrast, mechanisms of the electrochemical behaviors remain mostly unresolved. Due to the heavy computational costs, most studies examined BDD surfaces in vacuum and the adsorption of some water molecules. These provided some meaningful aspects, but were insufficient to understand the interfacial redox (electron transfer) reactions between the BDD electrode and the aqueous solution. Recently, DFT molecular dynamics calculations of the BDD/water interfaces were performed, which indicated the equilibrium structures and electronic states of the BDD/water interfaces as well as their dependence on the BDD electrode termination. Besides, a theory to understand the interfacial electron transfer mechanism was provided, on the basis of the DFT results. In this chapter, these theoretical analyses via DFT calculations of BDD bulk, surfaces in vacuum and interfaces with water are surveyed. This will give a useful perspective for the future theoretical and computational studies of electrochemical reactions of the BDD electrode, and the other electrode materials as well.

**Keywords** Boron-doped diamond · Density functional theory · Electrochemistry · Electron transfer · Surface termination

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# 1 Introduction

## 1.1 Characteristics of BDD

Carbon diamond is intrinsically an electrical insulator. When Boron with one less electron is doped into the diamond, boron-doped diamond (BDD) can show a p-type semiconductor behavior. Such a semiconductor character has been known for decades. In 2004, it was discovered that the highly doped BDD exhibits the superconductivity with  $T_c$  of 4K [1]. Stimulated by this discovery, several computational studies based on density functional theory (DFT) have been conducted to clarify the superconductivity mechanism in bulk BDD [2–7].

There is another class of characteristic in BDD; electrode applications for electrochemical reactions such as electro catalysis, sensors, etc. [8, 9]. BDD has various interesting properties such as large electrochemical window, chemical stability and so on. This electrochemical application was initiated by the works by Swain et al. in the 1990s [10, 11], earlier than the superconductivity trend in fact. In the theoretical side, however, the early stage of DFT calculation studies on the electrochemical aspects has appeared after 2005. This is because, ideally, the interfaces between the BDD electrode and electrolyte solution are to be properly modeled in the calculation, which has been computationally demanding. In fact, most of the computational works so far used the surface models, not explicit interface models. Even the surface models need computational resources, and the DFT calculation analyses have become feasible only about 15 years ago.

In this chapter, we focus on the DFT calculations studies of surfaces and interfaces of BDD electrode from the electrochemical viewpoint. We address the comprehensive survey of this field to indicate what critical issues remain, and to facilitate many future works.

## 1.2 First-Principles Density Functional Theory Calculation

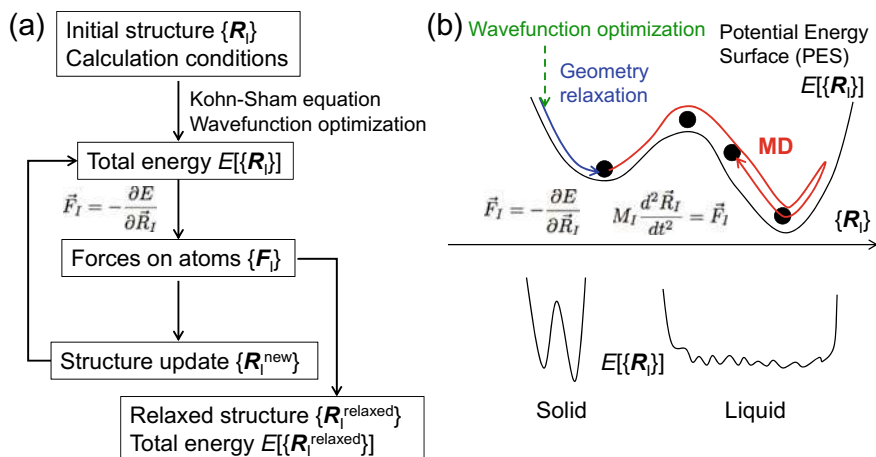
Before the survey of the BDD calculation results, we briefly explain first-principles calculations based on DFT and Kohn–Sham (KS) scheme. DFT and its extension to the KS equation was developed by Kohn et al. [12, 13]. This theory gives total energy,  $E[\{\mathbf{R}_I\}]$ , of many-body electron systems in condensed matter as follows.

$$E[\{\mathbf{R}_I\}] = \sum_i \left\langle \phi_i \left| -\frac{\nabla^2}{2} \right| \phi_i \right\rangle + \frac{1}{2} \int \int dr dr' \frac{n(r)n(r')}{|r-r'|} + E_{xc}[n] \\ + \sum_I \int dr \frac{Z_I n(r)}{|r-\mathbf{R}_I|} + \sum_{I<J} \frac{Z_I Z_J}{|\mathbf{R}_I - \mathbf{R}_J|} + E_{ext},$$

where  $\phi_i$  and  $n(\mathbf{r})$  are  $i$ -th KS orbital and electron density, respectively.  $Z_I$  denotes charge of the  $I$ -th ion.  $\mathbf{r}$  and  $\mathbf{R}_I$  correspond to the coordinates of electron and  $I$ -th ion, respectively. The terms in the right-hand side mean the electronic kinetic energy, electrostatic energy, exchange–correlation energy, electron–ion interaction energy, ion–ion interaction energy and external interaction energy, respectively. One can get the electronic ground state energy by improving KS orbitals to minimize the total energy or solve the KS equation:  $\left(-\frac{\nabla^2}{2} + V_{eff}(\mathbf{r})\right)\phi_i(\mathbf{r}) = \varepsilon_i\phi_i(\mathbf{r})$ , where  $\varepsilon_i$  is the KS orbital energy.

Once the total energy is obtained for the given atomic structure, the forces exerted on the atoms are calculated. Using these forces, one can relax the structure. The flowchart is summarized in Fig. 1a. In this geometry optimization procedure, no temperature effect is involved so that it is regarded as 0 K simulation. If molecular dynamics (MD) is performed based on the forces at finite temperature, one can get more or less equilibrium atomic configurations at the temperature, which is schematically shown in the upper panel of Fig. 1b. This allows the molecular fluctuations and thus is crucial in dealing with solution like water, where many shallow local minima exist in the potential energy surface (lower panel of Fig. 1b).

There are in fact two streams of the DFT calculation codes; physics side and chemistry side. The DFT codes for the condensed matter physics usually use periodic boundary condition (PBC). This PBC allows the exact treatment of large single crystal lattice, exploiting the crystal periodicity. However, when lattice defects are examined, the PBC may introduce spurious interactions between the defects. To avoid such artifact, a larger supercell containing many primitive cells is necessary. Therefore, calculation of point defects in bulk BDD may need more than 64 carbon sites, and



**Fig. 1** **a** Flowchart of typical first-principles calculation for geometry relaxation.  $E$ ,  $\{\mathbf{R}_I\}$ ,  $\{\mathbf{F}_I\}$  denote the total energy, a set of atomic coordinates, a set of forces exerted on atoms, respectively. **b** Potential energy surface (PES) picture for wavefunction optimization, geometry relaxation and molecular dynamics (MD). The lower panel shows the typical PES shapes in solid and liquid

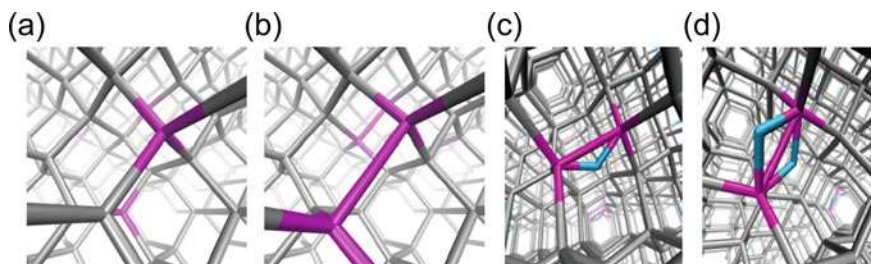
more sites and vacuum region (solution region) are essential for the surface (interface) studies. Yet, DFT calculations provide reasonably accurate relaxed structures. One can calculate the electronic states like the position of the valence band maximum (VBM) and conduction band minimum (CBM) in energy via KS orbital energies, although the choice of the exchange–correlation functional ( $E_{xc}[n]$ ) may affect the accuracy of the band gap. Therefore, a caution is needed when the metal–insulator transition is discussed. However, the typical  $p$ -type and  $n$ -type semiconductors can be treated without such care.

## 2 Computational Analysis of BDD Bulk

### 2.1 Boron Complex in BDD Bulk

As noted, Boron is an acceptor to carbon diamond for the  $p$ -type semiconductor. In 2004, it was discovered that highly doped BDD exhibits the superconductivity with  $T_c$  of 4K<sup>1</sup>. After the discovery, many DFT-based computational studies have been conducted mainly for understanding the superconductivity mechanism. Most of the works focused on the substitutional doping of boron in the bulk diamond (Fig. 2a) and the electron–phonon coupling on the basis of BCS superconducting theory [2–5]. The papers examined the coupling of phonon with holes at the top of the  $\sigma$ -bonding VBM, in a way similar to another superconducting material  $MgB_2$ , which was also found around the same time. However, the three-dimensional nature of the  $sp^3$ -hybridized carbon network in diamond leads to a density of states at the Fermi level much weaker than in the quasi-two-dimensional  $MgB_2$  compound. This results in a lower coupling constant in diamond.

As another important issue, the Boron state in the bulk diamond has attracted attention. In addition to substitutional Boron and interstitial Boron, the forms of dimer, cluster, aggregate and the complex with defects have been investigated [6, 14–17]. It is reported that the interstitial Boron is unstable [6] while stable Boron



**Fig. 2** Schematic pictures of Boron states in bulk carbon diamond; **a** Substitutional single Boron, **b** Boron dimer, **c** B-H-B complex, and **d** B-2H-B complex, where purple and sky-blue pipes correspond to B and H, respectively

dimer (Fig. 2b) is inactive both electronically and vibrationally [14, 16, 17]. Goss et al. demonstrated that certain complexes provide shallower acceptors than substitutional B and the pair of B interstitials does not give rise to the required carriers [18]. The presence of Boron–Hydrogen pair was also suggested as well. It was recently suggested that B-H-B or B-2H-B complexes (Fig. 2c & d) may exist as well thermodynamically, considering the CVD synthesis conditions with large amount of hydrogen, and the former can give the hole carrier [17]. In summary, introduction of substitutional individual Boron is most effective for increasing the whole carriers. Thus, the superconducting transition temperature  $T_c$  is not only determined by the B concentration, but also by Boron configurations in the BDD bulk.

### 3 Computational Analysis of BDD Surface

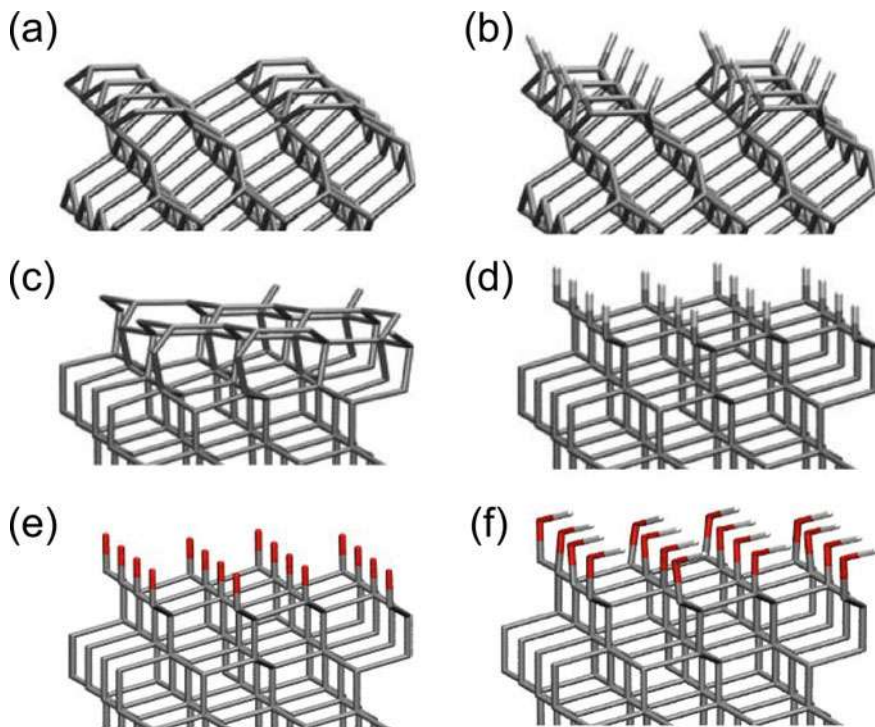
#### 3.1 Structural and Electronic Properties of BDD Surface

BDD has been used as an electrode in the electrochemical applications. BDD has several characteristics such as wide electrochemical window, low background current, good chemical stability and hardness. The latter three can be originated from bulk modulus and the energy band gap of undoped diamond. There are arguments correlating the large band gap with the electrochemical window. However, this argument is not correct as the band gap does not correspond to the voltage window. Note that even Pt metal surface with no gap has a certain width of window. Hence, the electrochemical window and reactions are rather associated with the surface states.

There have been many DFT-based computational works on carbon diamond surfaces. The representative orientations are (100) and (111) surfaces, and their bare and H-terminated surfaces were well understood. The (100) surface has the dimer-row reconstructions in both bare and H-terminated cases (Fig. 3a & b). The bare (111) surface is known that the Pandey model is the most stable, while (1 × 1) surface is more probable for the H-termination (Fig. 3c & d). Hence, understanding the effects of orientation and termination is the first step of the studies on the BDD surfaces. Then, the dependence of Boron state and position near the surface should be taken into account.

The DFT calculation studies of the BDD surfaces in the early stage used very approximated methods and models: DFT methods with empirical parameters were applied to the H-terminated (100) surface, and slab thickness for the surface model was insufficient. In addition, only the limited conditions were examined so that it is difficult to make a substantial comparison with the experimental observations.

First reasonable DFT calculation studies of the BDD surface were reported by the groups of Tateyama and Larsson in 2014. Futera et al. provided the surface phase diagrams of (100) and (111) surfaces with respect to the H and O chemical potentials. In addition to the bare (100) and (111) surfaces, structural stabilities of major H-, O- and OH-terminations (Fig. 3e & f) were all calculated with the reasonable calculation



**Fig. 3** Schematic pictures of typical diamond surface structures; **a** bare (100)- $2 \times 1$  surface (dimerized surface), **b** H-terminated (100)- $2 \times 1$  surface, **c** bare (111)- $2 \times 1$  surface (Pandy structure), **d** H-terminated (111)- $1 \times 1$  surface, **e** O-terminated (111)- $1 \times 1$  surface and **f** OH-terminated (111)- $1 \times 1$  surface. Carbon, Oxygen and Hydrogen are shown as gray, red and white pipes, respectively

conditions and the slab thickness [17]. Besides, the mixed terminations among H-, O- and OH- were also provided. They also evaluated the band positions of BDD (111) surfaces with respect to the vacuum level and suggested that H-terminated BDD has higher band position in energy than the oxidized ones (O-, OH- terminations). Hassan et al. calculated H-, O (ontop)-, O(bridge)-, OH and  $\text{NH}_2$ - terminations of BDD (100) surfaces with adsorptions of multiple water molecules (water monolayer) plus the possible reactants [19]. Although the slab thickness looks insufficient, the authors also observed the electron transfer from the H-terminated BDD (100) surface to water adlayer, which is consistent with the band position analysis by Futera et al. [17].

Since then, the Larsson group reported several papers of BDD surfaces; B-position dependence of H adsorption energy on the H-terminated (110) and (111) surfaces, bare (111) surfaces and the surface graphitization, bare and H-terminated (111) surfaces, and O-terminated (111)- $1 \times 1$  surfaces [20–23]. These works focus on the termination stability associated with Boron, rather than mimicking water environment. It should be also noted that the slab thickness of 3–4 bi-layers might be

insufficient for the target effects. The Tateyama group also made some reports on the BDD surfaces in collaboration with the experimentalists [24–27]. The electrolytic corrosion behavior of BDD under the oxidative condition was elucidated via the removal of the OH termination [26]. The mechanism of surface hydrogenation of BDD by cathodic reduction below ca. -2 V versus SHE (standard hydrogen electrode) was discussed with the reaction with the generated H atom radical [27]. The reconstruction stability of BDD (111) surfaces depending on the Boron position in the depth direction was recently examined [28].

The other groups also provided interesting DFT calculation results. Graphitization of bare BDD (111) surfaces induced by B-doping was demonstrated by Lu et al. [29]. Though the supercell used in the calculations is rather small, the proposed concept was very interesting. Yao et al. discussed the Boron dimer stability on the (100)- $2 \times 1$  and (111)- $2 \times 1$  surfaces [30]. Shen et al. examined the electron affinity of BDD (100) surfaces depending on the terminations including B-termination, and proposed that B-terminated oxidized diamond (001) surface might have negative electron affinity (NEA) [31].

### 3.2 Water Adsorption on BDD Surface

The electrochemical measurement usually utilizes aqueous solution. In this respect, a certain coverage of water molecules on top of the surface termination is essential for understanding the microscopic mechanisms. Herein, the DFT calculations studies with such modeling are briefly introduced.

As mentioned above, Hassan et al. used the calculation models with the H<sub>2</sub>O monolayer on the terminations [19]. Futera et al. examined more realistic BDD (111) surface/water interfaces, which will be described in the next section. Jaimes et al. examined the H<sub>2</sub>O molecule adsorption on the H-terminated BDD (111)- $1 \times 1$  surfaces and confirmed the hydrophobic nature [32]. However, as described above, the DFT calculation analyses of the realistic interfaces with water are still quite lacking.

## 4 Computational Analysis of BDD / Water Interface

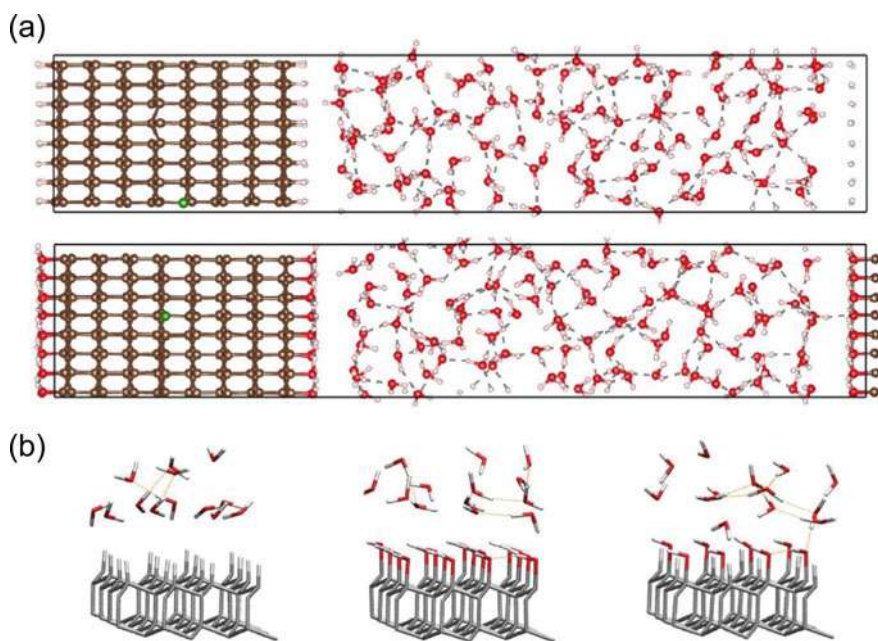
### 4.1 BDD / Water Interface Under Equilibrium

The electrochemical reaction usually needs electrolyte solution as well as electrode. For the former, the aqueous solution is the most representative. Before the electrochemical reaction, the interfacial region between the BDD electrode and water is expected to reach thermal equilibrium. The computational simulations should reproduce this equilibrium situation. At finite temperature, water molecules always



fluctuate so that the water dynamics is to be taken into account. In this respect, MD sampling simulation of realistic interface system is indispensable. Around the solid / liquid interfaces, the local interfacial dipole may affect the behaviors of the space charge layer in the BDD electrode side and the electric double layer in the aqueous solution side. As the classical force field (FF) cannot treat such charge polarization properly, MD simulation based on the DFT total energy and force is ideal, whereas DFT-MD simulations are computationally demanding. Therefore, only limited studies have addressed DFT-MD analyses of realistic BDD / water interface systems.

Putera et al. first reported a comprehensive investigation of BDD / water interface systems via extensive DFT-MD simulations [17]. They examined (111) and (100) interfaces with water by using supercells with ca.  $1\text{ nm} \times 1\text{ nm} \times 5\text{ nm}$  edges, which contain 256 Carbon sites, 105 water molecules and the termination moieties. For the terminations, H-, O-, OH-terminations and their mixtures were treated. The size of the water region was controlled by referring the water density at the ambient condition. The supercells with H- and OH- terminations are shown in Fig. 4a. These procedures provide a general workflow for the reasonable simulations of solid/liquid interfaces. In addition, they examined the dependence of the Boron concentration between ca. 0.8% (2B substitution) and 5.5% (14B substitution). To reproduce the



**Fig. 4** **a** Schematic pictures of supercells for the first-principles calculations of the BDD (111) / water interfaces with H- and OH-terminations. **b** Snapshots of the equilibrium states of the BDD(111) / water interfaces; (left) H-termination, (center) OH-termination, (right) (OH, H) half-and-half termination

high electronic conductivity, they adopted scattered single B doping via substitution. Choice of the DFT calculation condition for the electronic states is also crucial for the accurate sampling. In their work, BLYP functional, known to be good for water, was used for the exchange–correlation functional [33, 34]. To simulate the room temperature condition, Nose thermostat at 298.15 K was used [35].

Firstly, the termination phase diagram depending on the H and O chemical potentials was calculated in the vacuum BDD surfaces, and then the energetically probable surface terminations were evaluated. The evaluation of the phase diagram for the BDD/water interface systems was then carried out, where H-, O-, OH- terminations as well as the half-and-half terminations of (H, O), (H, OH) and (O, OH) of the (111) interfaces were examined via DFT-MD sampling. The radial distribution analyses between the BDD terminations and the water molecules demonstrated that H-termination does not have hydrogen bonding with the interfacial water, suggesting the hydrophobic character. The 100% OH termination also looks hydrophobic because the flat hydrogen bonding network is formed within the termination. In the other cases, there are more or less hydrogen bonding between the termination and the interfacial water so that the hydrophilic character appears (Fig. 4b). Such hydrophobicity and hydrophilicity were used for how close the reactant in aqueous solution can approach to the BDD electrode, which may influence the redox performance.

## 4.2 Redox Reactivity of BDD Electrode in Aqueous Solution

Direct DFT-MD simulations of the solid/liquid interfaces provide the characteristics of the interfacial electronic states, related to the space charge layer and the electric double layer. The ideal set-up is using larger supercell containing salt such as NaCl under control of artifact net dipole in the system, which is still difficult with the typical computational resources nowadays. However, the electronic states of the solid/liquid interfaces without salt can provide useful information about the interfacial redox reactions. Note that methods that can deal with the salt concentration and the bias effects via continuum models have been recently proposed [36], which may give more detailed insights in future.

The DFT-MD investigations demonstrated that the interfacial potential and the interfacial band offset between BDD and bulk water significantly change, depending on the terminations [17, 25]. In the H-termination case, the BDD band position in energy is higher than the other terminations. The VBM and CBM of BDD are approximately located at above  $-4$  and  $0$  eV with respect to the approximate vacuum level. This means that the H-terminated BDD (111) surface may have a capability of reducing proton and NEA. In fact, it is well known that the NEA exhibits upon the H-terminated (111) diamond. The equilibrium structural and electronic properties at probable BDD/water interfaces were clarified theoretically with this paper [17].

On top of that, Futera et al. provided a way of understanding the possibility of electrochemical reaction, using the equilibrium band offset and the hypothetical bias effect. With the band edge pinning assumption in which the VBM relation between

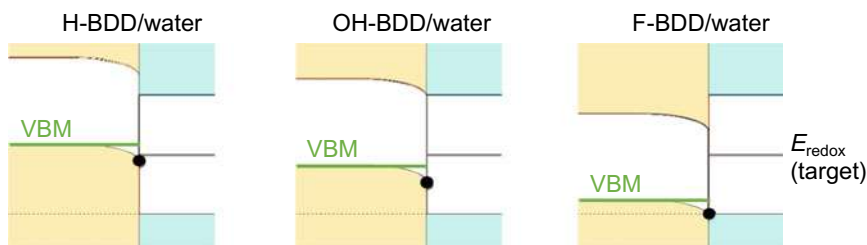


the BDD electrode and water are kept around the interfaces (black circles in Fig. 5a & b), the application of bias can be regarded as the change of the Fermi energy of the BDD bulk part (Fig. 5b). As BDD is a p-type semiconductor, the Fermi energy is basically close to the VBM. Thus, bias effect can be approximated by the change of the VBM position in the BDD bulk region (Fig. 5b).

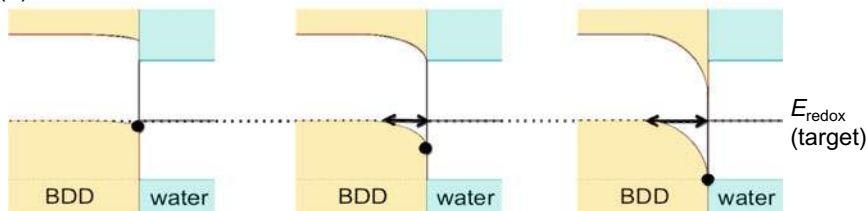
The authors also treated the interfacial electron transfer in terms of Fermi golden rule: When the energies of initial and final states match with each other, the electron transfer, in other words redox reaction occurs. When the target redox reaction has the redox potential between 0 and 1.2 V versus SHE (standard hydrogen electrode), the VBM of H-terminated BDD is already close to this redox energy level (H-BDD in Fig. 5a). Therefore, the band bending is expected to be small for these redox reactions (H-BDD in Fig. 5b), indicating that smooth reversible redox reaction can realize. On the other hand, oxidized BDD has a deeper VBM position in energy (OH-BDD in Fig. 5a). Thus, for the electron transfer, a large upper shift of VBM is necessary, which leads to the larger band bending (OH-BDD in Fig. 5b). In this case, the distance of electron tunneling becomes longer so that the interfacial electron transfer is suppressed. This explanation is a promising theory to explain the experimental observations of termination dependence of BDD for the interfacial redox reactions.

In the work by Futera et al., the experimental results of  $[\text{Fe}(\text{CN})_6]^{4-/3-}$  redox reactions were referred. In this case, the correlation between the negatively charged

(a) At open circuit condition



(b) When redox reaction occurs

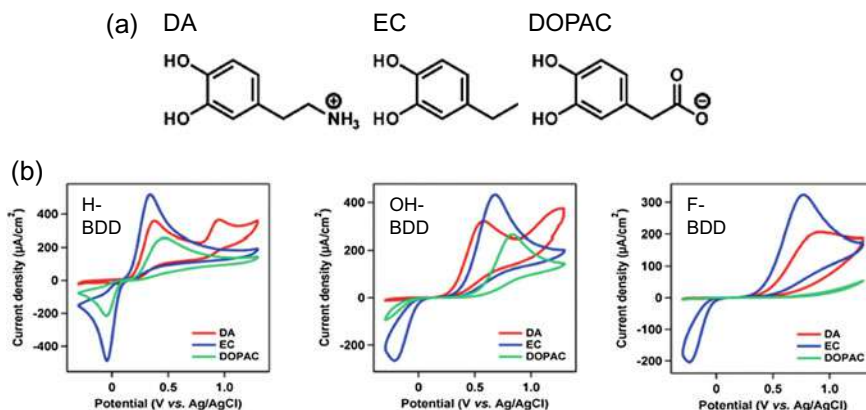


**Fig. 5** Schematic pictures of the alignment of the electronic band structures at the BDD (111) / water interfaces; **a** at the open circuit condition, and **b** when redox reaction occurs. The target redox potential is shown by  $E_{\text{redox}}$ . Black circle shows the pinning position of the BDD valence band maximum (VBM) at the interface. When the bias is applied to induce the redox reactions, the Fermi energy ( $\sim$  VBM) is shifted to match with  $E_{\text{redox}}$ . The arrows in **(b)** indicate the band bending widths, corresponding to the barriers for electron tunneling

ion and the surface termination was inferred as well. As oxidized BDD surfaces are expected to be slightly negative, the anion cannot approach to the BDD electrode so that the interfacial redox reaction is suppressed. This is a different mechanism from the band offset aspect. If this mechanism is dominant, the BDD electrochemical behavior with the cation redox species should be opposite.

To prove which mechanism is dominant between the band offset theory and the surface charge effect, Yamaguchi et al. performed the crucial comparative experiment as well as DFT-MD analyses [25]. The authors used dopamine (DA), 4-ethylcatechol (EC), 3,4-dihydroxyphenylacetic acid (DOPAC) which has the same moiety with the same redox potential, while the terminations have positive, neutral and negative charges, respectively (Fig. 6a). The experimental cyclic voltammogram (CV) was shown in Fig. 6b. In this work, as grown H-terminated, oxidized (mainly OH-terminated) and Fluorine (F)-terminated BDD surfaces were compared. The main difference among the CV curve is the termination dependence, not the charge of the redox species. The redox irreversibility is larger in the F-terminated BDD than the H-terminated one. The anodic peak current also decreases in the order of H-, OH- and F-terminated BDD. Besides, no peak was observed in the measured potential range for DOPAC on the F-terminated BDD. These imply that the band offset effect depending on the termination plays a major role.

The authors then performed the DFT-MD calculations of the BDD/water interfaces with the targeted three-types (H-, OH-, and F-) of terminations, where the 100% termination was assumed. The supercells were shown in Fig. 4a. The radial distribution functions from terminated H or F to water hydrogen and oxygen indicate that F-BDD has more hydrophobicity than the others. The origin is likely to be the electrostatic repulsion between the terminated F and water oxygen, which increases

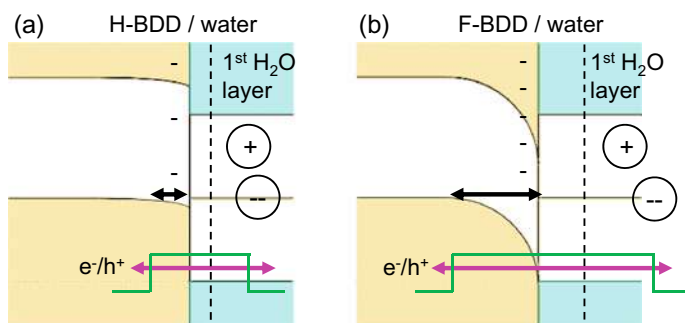


**Fig. 6** **a** Molecular structures of dopamine (DA), 4-ethylcatechol (EC), and 3,4-dihydroxyphenylacetic acid (DOPAC), examined in the experiment [25]. These have catechol structure in common, leading to the same redox potential. On the other hand, the charges are +1, 0 and -1, thus different. **b** Cyclic voltammogram of DA, EC, and DOPAC with the BDD electrode with hydrogenated-, oxidized- and fluorinated terminations

the spatial gap across the interface. This argument can hold for the anionic analyte, DOPAC, accounting for the considerable positive shift of anodic current peak and increase in the irreversibility for the F-BDD electrode.

Besides, the calculated projected densities of states (PDOSs) suggest the electronic origin as well. As shown in Fig. 5a, compared to the water band, the H-BDD band position is so high, while the F-BDD band is very low. If the band edge pinning scheme, as described above, is hold, the “interfacial” band bending, shown by the black arrows in Fig. 5b, is much larger in F-BDD when the Fermi energy aligns with the target redox potential (Fig. 7a, b). This long tunneling region (Fig. 7) for electron in the BDD subsurface suppresses the interfacial electron transfer between BDD and the target redox species, and thus increases the irreversibility in the CVs. At present, these scenarios account for most of the observations.

Comparison between the (100) and (111) interfaces was also carried in the same group. Ivanti et al. indicated that the H-terminated (111)-1  $\times$  1 interfaces has slightly higher band position of BDD, leading to intrinsic electron transfer to water region and thus demonstrated the surface transfer doping models [24]. The calculated O- and OH-terminated interfaces increase the difference between the (111) and (100) orientation, and the band edge position of the (100) is found sufficiently lower than the (111). Following the band bending scenario described above, the oxidized (100) surfaces are less reactive than the (111), which is in qualitative agreement with the experiments.



**Fig. 7** Schematic picture of interfacial redox (electron transfer) mechanism based on the Fermi golden rule. The dominant factor is the band bending width in the BDD side, which is related to the length of electron tunneling (black arrow). H-terminated BDD will have shorter length than F-terminated on due to the intrinsic band alignment. In the solution side, hydrophobicity and hydrophilicity can affect the tunneling length, and the electrostatic interaction between the surface charge and the charge of the reactant may also affect

## 5 Summary

In this chapter, we introduce the DFT calculation studies to date of BDD properties, especially focusing on the surfaces and the aqueous interfaces of BDD electrodes from the electrochemical viewpoints.

We first present the basics of first-principles calculations and briefly introduce the studies of the BDD bulk for understanding of the superconductivity mechanisms and exploration of the Boron states. For the latter, substitutional, interstitial, dimerized, aggregated and hydrogen-complexed Boron were examined. To keep the electronic conductivity, it is suggested that increase of substitutional Boron or metastable B-H-B complex by control of the synthesis condition is suggested to be desirable.

In the DFT surface studies, structural stability of the surface reconstruction in the vacuum, the dependence of termination and Boron position were examined so far. The electronic states related to the electron transfer were also discussed. The H-terminated BDD surface is found to have high position of the band structure in energy, which is connected to NEA.

Finally, the first-principles calculation studies with realistic interface models are introduced. It is suggested that the band alignment between the BDD electrode and water significantly depends on the termination as well as the orientations of the BDD surfaces. In general, H-terminated BDD has higher band position in energy, while oxidized or fluorinated terminations show the lower position. To explain the electrochemical reactivity, the band bending scheme coupled with Fermi golden rule is proposed: The bias control to the target redox potential is connected to the variation of the Fermi energy in the BDD bulk, together with the band alignment pinning at the BDD/water interface. This will imply the width of the band bending (a sort of space charge layer), which plays a barrier role for the electron tunneling. The charge of the surface termination affects the distance of interfacial water from the surface (a sort of electric double layer), which can contribute to the barrier as well. The evaluation of electron transfer ability based on this theory reasonably demonstrated the experimental observation; higher reversibility and reactivity for H-terminated BDD, while lower in F-terminated BDD.

The surface termination of the BDD electrode has a great influence on its electrochemical properties, so it is important to control the surface to be suitable for each application. Furthermore, surface modification by functional molecules is also important not only for better understanding of BDD's fundamentals but also for a variety of applications.

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# Porous Diamond Electrodes and Application to Electrochemical Capacitors



Takeshi Kondo

Fabrication methods of boron-doped diamond (BDD) electrodes with large specific surface areas are reviewed specifically in terms of their application to electrochemical capacitors including an electric double-layer capacitor (EDLC) or supercapacitor. As a bottom-up approach, quartz glass fibers were employed as a removable template for BDD deposition to obtain BDD hollow fiber membrane/wool. As a top-down approach, two-step heat treatment was developed as a simple and versatile method for the fabrication of porous BDD electrodes. This technology can be used for the fabrication of porous BDD whisker pillar arrays having a hierarchical nanostructured surface. In addition, BDD powder and boron-doped nanodiamond (BDND) were prepared as conductive diamond powders with a large specific surface area. The cyclic voltammetry of BDND electrodes with a symmetric two-electrode system in 1 M H<sub>2</sub>SO<sub>4</sub> showed a cell voltage of 1.8 V, which was much larger than that of activated carbon (AC), whereas the double-layer capacitance was at a similar level. In addition, a decrease in the capacitance was suppressed at the BDND electrode compared with the AC electrode. Based on these properties, the BDND electrode was found to be useful as an electrode material of an aqueous EDLC with high energy and power densities.

## 1 Introduction

An electric double-layer capacitor (EDLC) is also called a supercapacitor and is an energy storage device with a simple structure in which an electrolyte and a separator are sandwiched between two electrodes (Fig. 1). When voltage is applied between the

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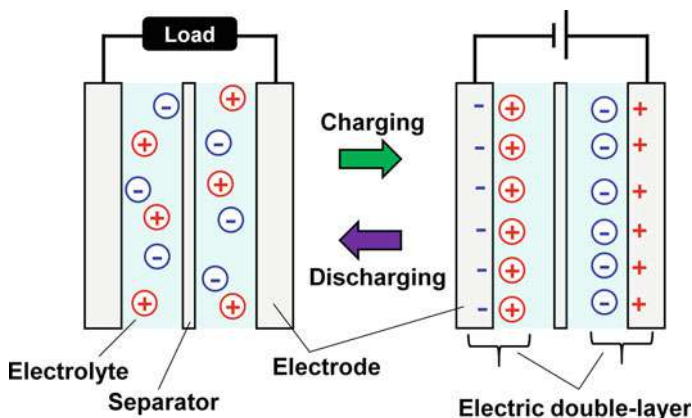
Y. Einaga (ed.), *Diamond Electrodes*,

[https://doi.org/10.1007/978-981-16-7834-9\\_6](https://doi.org/10.1007/978-981-16-7834-9_6)

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<https://www.twirpx.org> & <http://chemistry-chemists.com>





**Fig. 1** Schematic illustration of charging/discharging of EDLC

electrodes, electrolyte ions are adsorbed on the electrode surface and electric double-layers are formed to store the electric charge to the device. The accumulated charge can be easily discharged by the desorption of the adsorbed ions from the electrode surface. Based on this mechanism, the EDLC exhibits several advantages such as rapid charging–discharging, high power density, and long cycle-life durability. This is in contrast to secondary batteries in which charging–discharging occurs based on the redox reaction of the active material at the electrode [1–4]. However, EDLCs generally have a disadvantage of low energy density, and thus, improvement of the energy density is desired. Since the energy that can be stored in an EDLC is represented by  $CV^2/2$  ( $C$ : electric double-layer capacitance and  $V$ : cell voltage), to obtain a higher energy density, both the capacitance and cell voltage must be increased. Since the capacitance is directly proportional to the electrode surface area where adsorption of the electrolyte ions occurs, activated carbon (AC) with a large specific surface area is commonly used as the electrode material. Additionally, to increase the cell voltage, organic electrolytes having a wide potential window (such as a propylene carbonate solution) are used. In contrast, the use of an aqueous electrolyte for EDLC has some advantages, such as large capacitance, high conductivity, safety due to nonflammability of the electrolyte solution, and durability to invasion of water to the device [4, 5]. However, the aqueous electrolyte has a significant drawback that the potential window is narrow and the cell voltage is smaller than that when the organic electrolyte is used.

Boron-doped diamond (BDD) is known to exhibit a wide potential window in aqueous electrolytes [6–8]. Therefore, if the BDD can be used as an electrode material for EDLC, a large cell voltage can be expected even when an aqueous electrolyte is used for a device with high energy and power densities. However, a BDD thin-film electrode is basically flat with a small specific surface area and cannot be practically applied to the EDLC. Honda has developed nanohoneycomb diamond electrodes by performing the oxygen plasma etching of a flat BDD electrode surface using a



highly ordered nanoporous alumina membrane as a mask [7, 9–11]. Although such a treatment may cause increased defects on the surface of a diamond electrode, the characteristic of a wide potential window was maintained. The double-layer capacitance ( $C_{dl}$ ) of the nanohoneycomb diamond electrode estimated by electrochemical impedance spectroscopy in 0.1 M  $H_2SO_4$  was  $1.97 \times 10^{-3} \text{ F cm}^{-2}$ , which was improved greatly from that of the as-deposited BDD electrode ( $1.29 \times 10^{-5} \text{ F cm}^{-2}$ ), whereas the potential window estimated by cyclic voltammetry (CV) was basically unchanged [7]. Therefore, it is considered that the enhancement of specific surface area by an appropriate method is effective for creating a diamond electrode exhibiting a large double-layer capacitance, while maintaining a wide potential window in an aqueous solution. BDD is a very hard material and not easy to process. In addition, there are severe limitations in the synthesis method (i.e., chemical vapor deposition (CVD)) and its conditions. BDD with a large specific surface area is not obtained easily by direct synthesis. In this chapter, BDD materials with large specific surface areas toward application to EDLC fabricated by template methods (bottom-up approach) and etching methods (top-down approach) are introduced. In addition, conductive diamond powder materials, e.g., BDD powder (BDDP) and boron-doped nanodiamond (BDND), and their electrochemical properties for EDLC are described.

## 2 Fabrication of Porous BDD by Bottom-Up Approach

### 2.1 *Preparation of Porous BDD Using Template Substrate Materials*

The use of a three-dimensional template substrate is one effective way to fabricate a BDD material with a large specific surface area. Usually, a polycrystalline BDD thin-film with a thickness of several micrometers to several tens of micrometers is grown on a flat substrate via CVD. When a substrate material having a three-dimensional structure is used instead of a flat substrate, it is considered that a porous BDD electrode that reflects the shape of the substrate material can be produced, although the thickness of the BDD thin-film to be formed on the substrate must be rather thin compared to the dimension of the template structure. Thus, materials of micrometer-sized structures with sufficient thermal and chemical stabilities that can withstand the CVD process are considered to be suitable for a template substrate [12–21].

## 2.2 Preparation of BDD Hollow Fibers Using Quartz Glass Fiber Materials as Substrates

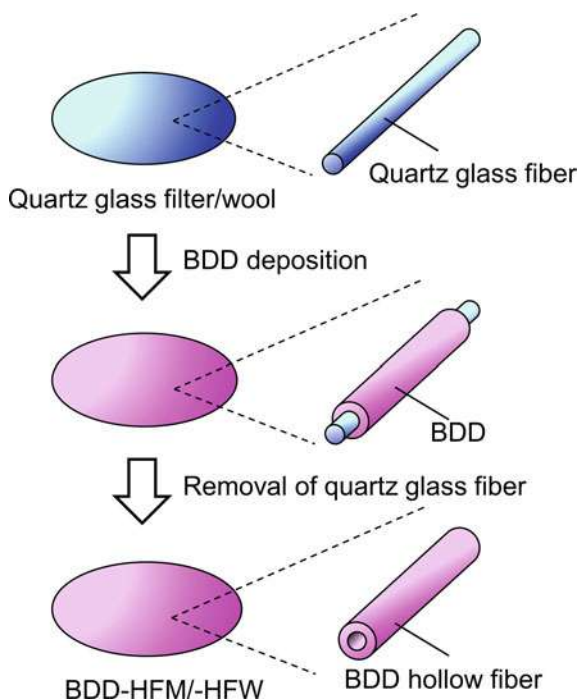
BDD hollow fiber membrane (BDD–HFM) [22] and BDD hollow fiber wool (BDD–HFW) [23] were prepared using a quartz glass filter and wool, respectively, as a porous substrate having high thermal and chemical stability. The quartz glass filter used in this study was commercially available and consisted of quartz glass fibers with a diameter of 0.4–2  $\mu\text{m}$  and a length of 100  $\mu\text{m}$  or longer. The quartz glass wool consisted of quartz glass fiber with a diameter of 3–3.5  $\mu\text{m}$ . Although these materials could sustain the CVD process, they can be removed chemically by immersion in hydrofluoric acid after BDD film deposition, so that they can be used as a removable template.

The quartz glass filter paper was immersed in 0.5 g/L nanodiamond dispersion for seeding, and then BDD thin-film was deposited on the quartz glass fiber surface by a microwave plasma-assisted CVD method (MPCVD) with a microwave power of 1300 W and a carrier hydrogen gas flow rate of 400 sccm and a pressure of 50 Torr. A mixed solution of 70% trimethoxyborane/methanol and acetone (B/C atomic concentration ratio was 20,000 ppm) was used as the carbon/boron source. The CVD stage temperature was 500 and 800  $^{\circ}\text{C}$  for BDD–HFM and –HFW, respectively. After BDD deposition, the quartz glass fiber of the substrate was removed by immersion in a concentrated HF/HNO<sub>3</sub> solution (1:1, mol/mol) (Fig. 2) [22, 23].

Figure 3 shows the scanning electron microscopy (SEM) images of BDD–HFM [22]. It can be seen that the BDD hollow fibers did not separate from each other even after the quartz glass fiber template was removed by etching, and the hollow fibers were coalesced to form a continuous network structure. The inner diameter of the hollow fiber was constant, reflecting the diameter of the quartz fiber substrate, and the outer diameter increased with BDD deposition time. The wall thickness of the hollow fiber was relatively large at the upper part (plasma side) of the membrane and decreased toward the lower part during the CVD process. This is likely because the carbon source concentration in the gas phase of the voids between the quartz fibers during CVD decreases with the depth from the top surface of the membrane. On the other hand, in the case of BDD–HFW, BDD was found to be deposited on the quartz fiber surface in the entire quartz glass wool. This is likely because the gap between the quartz glass fibers of the wool was sufficiently wide (Fig. 4). Thus, it was confirmed that quartz glass fiber is useful as a removable template material for BDD deposition by MPCVD.

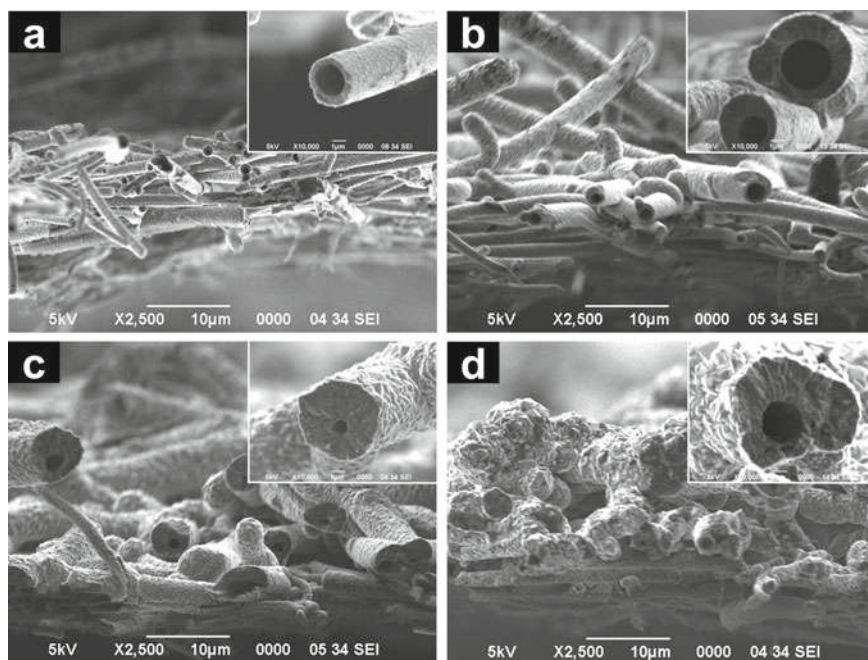
To investigate the electrochemical properties of BDD–HFM and –HFW, test electrodes were prepared by attaching these materials to a conductive carbon tape, and then CV was recorded in 0.1 M H<sub>2</sub>SO<sub>4</sub>. From the result of CV at 10 mV s<sup>−1</sup>, the BDD–HFM and –HFW were found to show a large  $C_{dl}$  of about 13 F g<sup>−1</sup> [22, 23]. Thus, it was confirmed that these materials can be used as electrochemical electrodes with a large specific surface area. However, the potential window in the aqueous electrolyte estimated in the three-electrode system was about 1.5–2 V, which is considerably smaller than that expected as a BDD electrode (ca. 3.5 V). This is due to the presence

**Fig. 2** Fabrication of BDD-HFM/HFW. Reprinted from [23], Copyright 2012, with permission from Materials Research Society of Japan



of a large amount of  $sp^2$  carbon impurities, which cannot be effectively removed by etching with hydrogen plasma during the CVD process due to the three-dimensional structure.

Although fabrication of a BDD electrode with a large specific surface area using a template substrate is a simple method, there is a problem that the growth rate and crystal quality of BDD tend to be inhomogeneous due to the complicated shape of the substrate causing inhomogeneous contact with the hydrogen plasma, carbon concentration in the gas phase, and local temperature during CVD. In addition, whether or not the shape of the template can be reflected to the final product depends on the thickness of the BDD thin-film deposited on the template. Therefore, if the conditions for deposition of a high-quality, and thin BDD thin-film can be achieved, it may be possible to fabricate a BDD electrode with a large specific surface area using a template material having a submicrometer-sized porous structure.

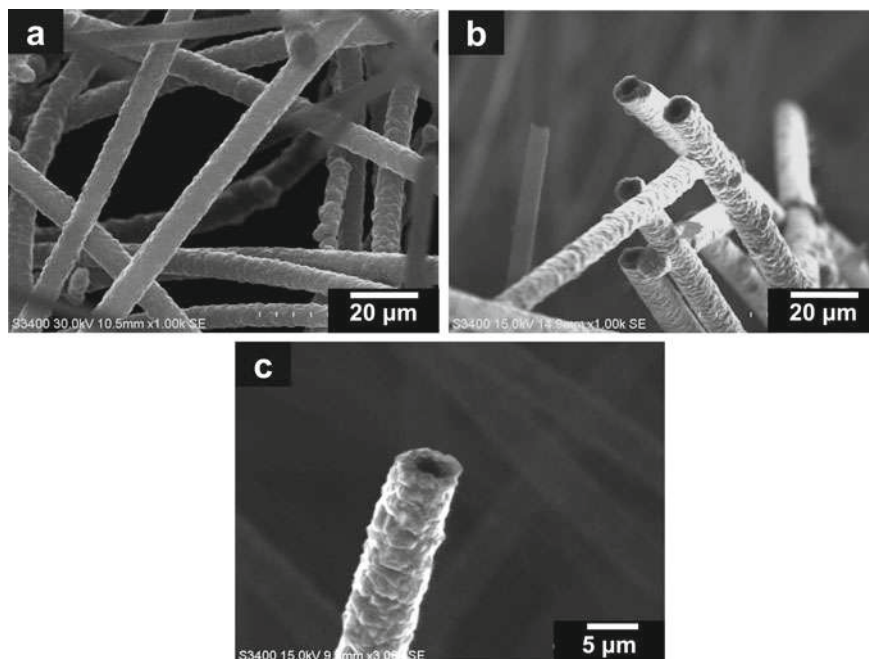


**Fig. 3** SEM images of BDD-HFM. Reprinted from [22], Copyright 2009, with permission from Elsevier

### 3 Fabrication of Porous BDD via Top–Down Approach

#### 3.1 Fabrication of Porous BDD by Etching Treatments

In contrast to the bottom-up approach using a template substrate, top–down approaches using etching treatments of a flat BDD thin-film surface are also an effective way to fabricate BDD electrodes with a large specific surface area. Diamond is a chemically inert material; however, its surface can be decomposed by oxidation, e.g., a reaction with oxygen to form carbon dioxide. For example, a diamond surface can be oxidized by contact with oxygen plasma to form oxygen termination, but decomposition of diamond can proceed in the depth direction by long-term oxygen plasma treatment and can be applied to fabrication of micro-/nanostructures on the surface. A nanohoneycomb diamond electrode can be obtained by etching a BDD surface with oxygen plasma using a highly ordered nanoporous alumina membrane mask [7, 9–11]. It has also been reported that vertically aligned diamond nanowires can be fabricated using diamond nanoparticles as a mask dispersed on the surface of a BDD thin-film [24]. Vertically aligned diamond whiskers prepared by reactive ion etching (RIE) of a flat BDD surface without a mask have also been reported by Terashima [25]. Steam activation [26] and catalytic etching [27, 28] have been reported for the



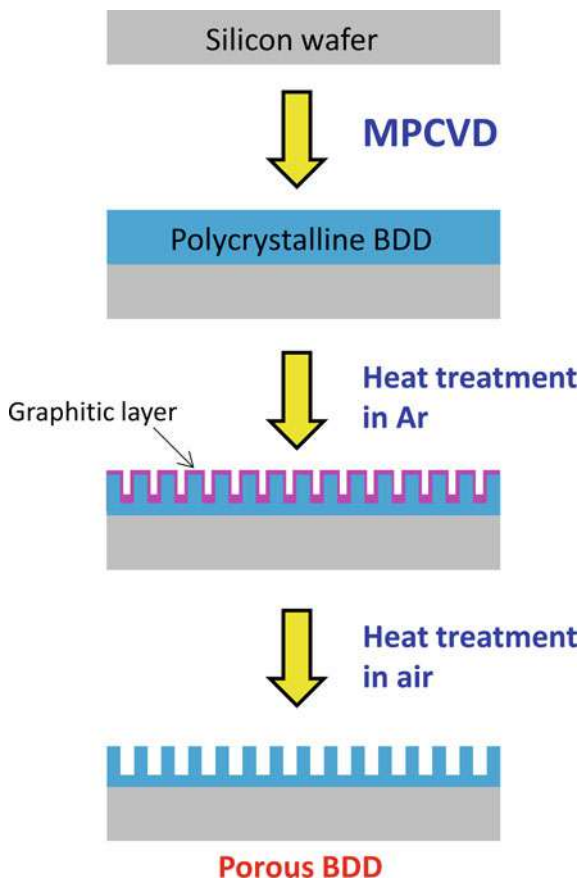
**Fig. 4** SEM images of BDD-HFW. Reprinted from [23], Copyright 2012, with permission from Materials Research Society of Japan

fabrication of porous BDD surfaces. Since a conventional (flat) BDD thin-film is used as a starting material of such a top-down method, porous BDD electrodes with relatively high crystal quality containing little  $\text{sp}^2$  carbon impurities are expected to be generated. In addition, it is advantageous that a submicrometer-sized structure can be introduced by top-down methods.

### ***3.2 Preparation of a Porous BDD Electrode by a Two-Step Heat Treatment Method***

Although etching treatments using an appropriate mask is useful for fabrication of porous BDD electrodes with good reproducibility, the size of the mask limits the area of the electrodes produced. In this respect, a fabrication method without using a mask is useful. Therefore, we have developed a two-step heat treatment method to fabricate porous BDD electrodes [8]. In the two-step heat treatment method, the surface of the BDD is first graphitized by heat treatment in an argon atmosphere, and then the graphitic component is decomposed by oxidation via heat treatment in air to obtain a porous BDD electrode (Fig. 5a) [8].

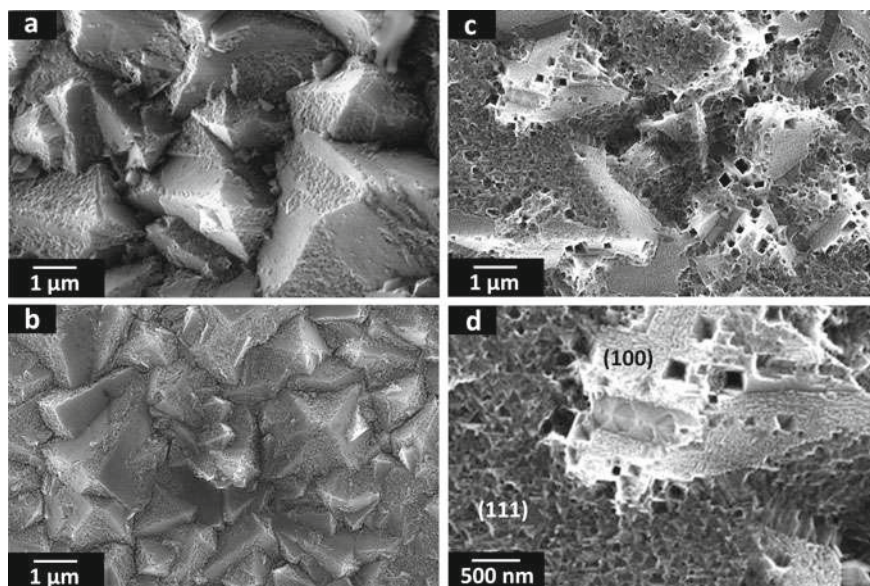
**Fig. 5** Schematic illustration of porous BDD electrode fabrication process via two-step heat treatment. Reprinted from [8], Copyright 2014, with permission from Elsevier



At first, a BDD thin-film prepared by MPCVD was heat-treated at 1000 °C in an argon gas flow using a quartz tube furnace to graphitize the BDD surface. In addition to obvious changes in morphology of the BDD surface observed from the SEM images (not shown), the Raman spectra showed a remarkable increase in the D ( $1330\text{ cm}^{-1}$ ) and G ( $1590\text{ cm}^{-1}$ ) bands derived from the formation of  $\text{sp}^2$  carbon components after the first heat treatment (Fig. 5b) [8]. After subsequent heat treatment in air at 425 °C with a muffle furnace, the sample surface was found to be porous, and the Raman spectra showed decreases in the D and G band intensities, suggesting that the  $\text{sp}^2$  carbon component formed by the first heat treatment was selectively removed by the second heat treatment.

Figure 6 shows the SEM images of the porous BDD thin-film prepared by various durations of the first heat treatment (graphitization) [8]. The surface was found to be roughened in homogeneously depending on the crystallographic orientation of the facet even when the treatment time was 3 h. After an 8-h treatment, roughening with a triangular texture and the formation of reverse-pyramidal pits were observed

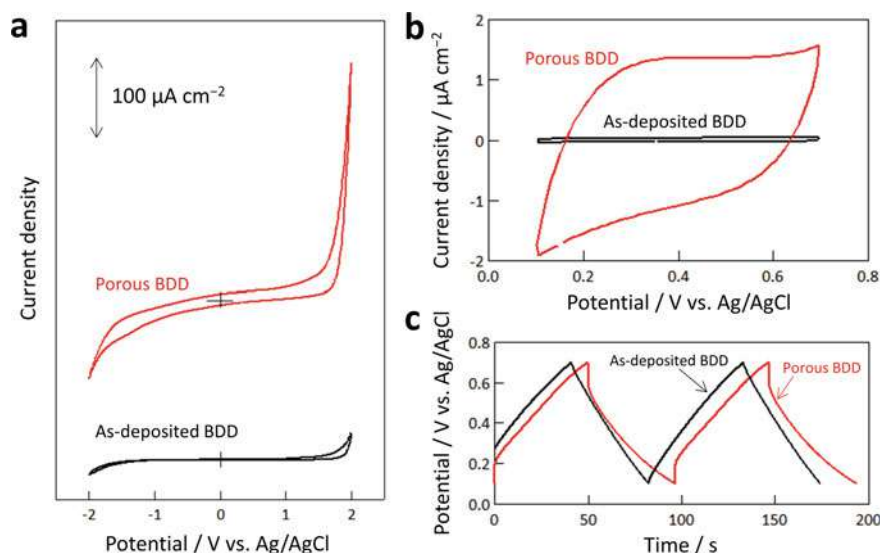




**Fig. 6** SEM images of porous BDD electrode surface after graphitization for **a** 3, **b** 6, and **c** and **d** 8 h. Reprinted from [8], Copyright 2014, with permission from Elsevier

on the (111) and (100) crystal facets. It is considered that this behavior is based on the anisotropic phase transition of the diamond surface to graphite in the first heat treatment with respect to the crystal facet. It has been proposed that heat graphitization of diamond (111) planes undergo C (111)-(2 × 1) structure and then transition to graphite (001) planes [29, 30]. This transition is considered to occur at both the (111) and (100) facets, also in the present case, resulting in the crystal face-dependent morphological changes described above [8]. This two-step heat treatment contains only simple processes and has several advantages as a fabrication method for porous BDD electrodes: no mask, catalysis, or residual chemicals are used, no pretreatment or posttreatment is required, and no special instrument is needed. Moreover, unlike plasma etching methods, heat treatment is basically an isotropic process, and thus the diamond surface is expected to be treated uniformly even though the treated diamond material has a three-dimensional structure.

Figure 7a shows the CV of an as-deposited BDD electrode and a porous BDD electrode prepared by the two-step heat treatment (graphitization treatment time was 12 h) in 1 M Na<sub>2</sub>SO<sub>4</sub> [8]. The potential window of the porous BDD electrode was as wide as ca. 3 V, slightly narrower than that of the as-deposited BDD electrode. This could be because the amount of sp<sup>2</sup> carbon impurities is minor and the active sites for oxygen and hydrogen evolution reactions are few on the surface of the porous BDD electrode prepared by this method. In contrast, the double-layer charging current was found to remarkably increase after the treatment, indicating that the increase in specific surface area was reflected in the electrochemical properties of the



**Fig. 7** **a** and **b** CV curves for as-deposited and porous BDD electrodes measured in 1 M Na<sub>2</sub>SO<sub>4</sub> at potential sweep rate of 10 mV s<sup>-1</sup>. Potential sweep region was **a** from - 2 to + 2 V and **b** from + 0.1 to + 0.7 V versus Ag/AgCl. **c** Galvanostatic charge–discharge curve for as-deposited and porous BDD electrode measured in 1 M Na<sub>2</sub>SO<sub>4</sub> at constant current of 3.5 nA (as-deposited BDD) and 100 nA (porous BDD). Reprinted from [8], Copyright 2014, with permission from Elsevier

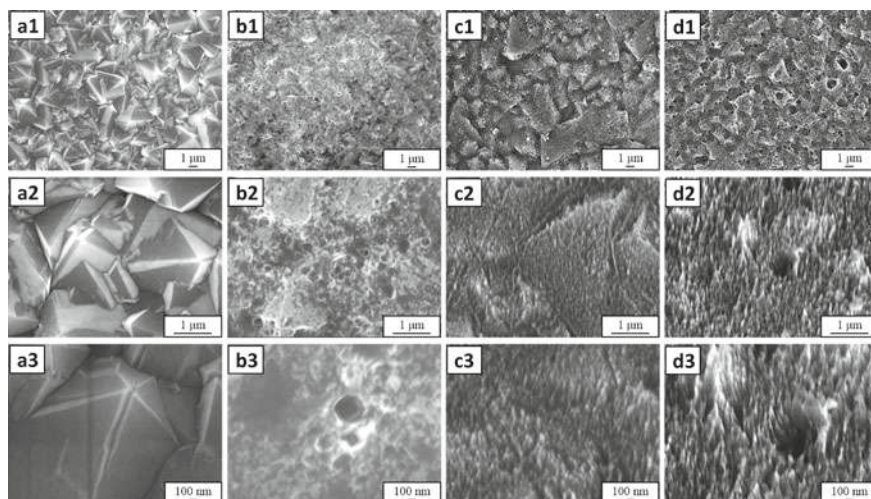
electrode. The  $C_{\text{dl}}$  of the porous BDD electrode was calculated from the CV recorded in the potential range from +0.1 to +0.7 V versus Ag/AgCl to be 137  $\mu\text{F cm}^{-2}$ , much greater than that of the as-deposited BDD electrode (3.5  $\mu\text{F cm}^{-2}$ ) (Fig. 7b) [8]. The  $C_{\text{dl}}$  calculated from the slope of the galvanostatic charge–discharge curve (Fig. 7c) was 4.7  $\mu\text{F cm}^{-2}$  for the as-deposited BDD electrode (constant current: 3.5 nA and  $dV/dt$ : 10.6 mV s<sup>-1</sup>), and 140  $\mu\text{F cm}^{-2}$  for the porous BDD electrode (constant current: 100 nA and  $dV/dt$ : 10.1 mV s<sup>-1</sup>) [8], which were equivalent to those estimated from CV. From the above results, it is shown that the two-step heat treatment method is a simple and highly flexible method for increasing the specific surface area of a diamond electrode and thus increases the electric double-layer capacitance, while maintaining a comprehensive potential window.

### 3.3 Fabrication of Diamond Electrodes with Hierarchical Nanostructures

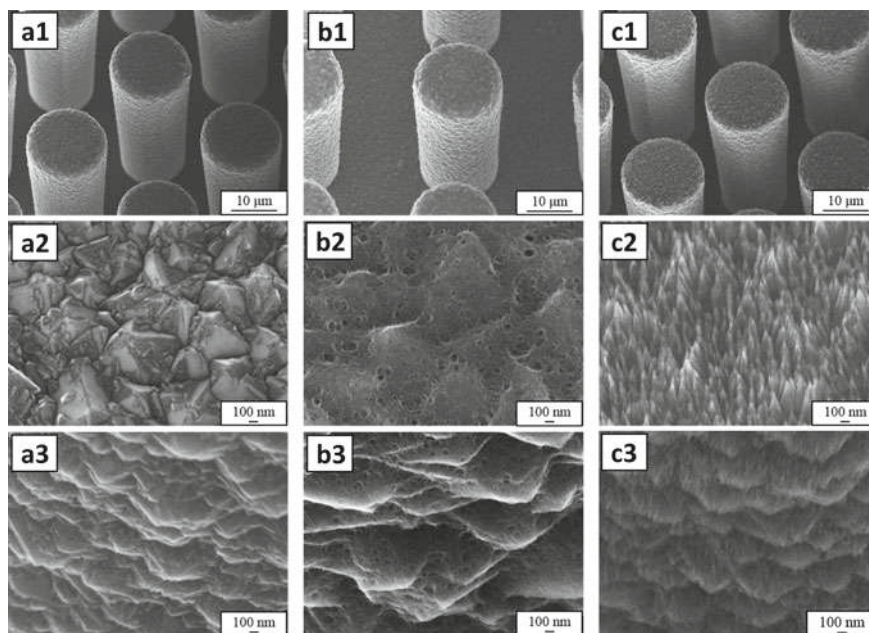
Preparation of porous BDD electrodes using a template substrate material (bottom-up approach) is useful for creating a micrometer-sized three-dimensional structure. On the other hand, top-down approaches, e.g., using plasma etching can impart a submicrometer-sized structure to the BDD thin-film surface. Thus, combining these



two approaches is considered to be an effective way to fabricate a BDD electrode surface with hierarchical nanostructures [31]. To examine whether such an idea is effective, a BDD pillar array (BDD-PA) was prepared by deposition of a BDD thin-film on a silicon pillar array substrate, followed by pore formation by the two-step heat treatment, and nanowhisker formation by RIE to obtain a hierarchically nanostructured BDD electrode. The silicon pillar array was prepared by depositing a mask pattern via photolithography and performing RIE with a  $\text{SF}_6$  plasma using a deep RIE system. Typically, the prepared pillars have a diameter of  $10\text{ }\mu\text{m}$ , a height of  $50\text{ }\mu\text{m}$ , and a pitch between pillars of  $20\text{ }\mu\text{m}$  [31]. A BDD thin-film was then deposited by MPCVD on the silicon pillar array substrate to obtain BDD-PA. The BDD-PA was subjected to two-step heat treatment to form a porous surface to prepare porous BDD-PA (P-BDD-PA). Nanowhiskers were formed on the BDD, P-BDD, BDD-PA and P-BDD-PA surfaces using RIE with  $\text{O}_2$  plasma according to the report by Terashima [25] to obtain BDD whiskers (BDDW), P-BDDW, BDDW-PA, and P-BDDW-PA, respectively. Figure 8 shows SEM images of BDD, P-BDD, BDDW, and P-BDDW [31]. Submicrometer-sized pores are seen to be formed on the surface of the polycrystalline BDD having micrometer-sized grains after the two-step heat treatment. Furthermore, RIE with  $\text{O}_2$  plasma was found to form nanowhiskers with a size of several tens of nanometers on the treated BDD surface. From this result, it was found that hierarchical nanostructures can be imparted by performing the two-step heat treatment, followed by nanowhisker formation. Figure 9 shows SEM images of BDD-PA, P-BDD-PA, and P-BDDW-PA prepared using the silicon pillar array substrate [31]. Pore formation by a two-step heat treatment and nanowhisker formation by RIE were confirmed on both the upper and side surfaces of the BDD



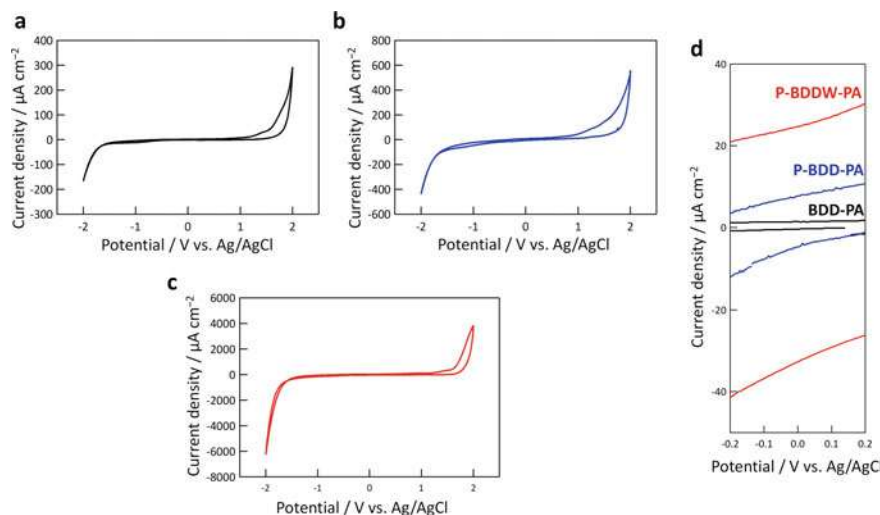
**Fig. 8** SEM images of (a1-a3) BDD, (b1-b3) P-BDD, (c1-c3) BDDW, and (d1-d3) P-BDDW surfaces with various magnifications. Reprinted from [31], Copyright 2017, with permission from Elsevier



**Fig. 9** SEM images of (a1-a3) BDD-PA, (b1-b3) P-BDD, and (c1-c3) P-BDDW-PA. (a1, b1, c1) Low-magnification images, (a2, b2, c2) top surface of a pillar, and (a3, b3, c3) side surface of a pillar. Reprinted from [31], Copyright 2017, with permission from Elsevier

pillars, and it was found that these nanostructures can be generated on the entire BDD-PA surface.

Figure 10 shows CVs of BDD-PA, P-BDD-PA and P-BDDW-PA electrodes in 1 M  $\text{Na}_2\text{SO}_4$  [31]. Even after the two-step heat treatment and nanowhisker formation, a wide potential window of 3 V and flat electric double-layer charging current was found to be shown, indicating that the characteristics of the BDD electrode were maintained after these treatments. On the other hand, the current value in the double-layer region increased as the two-step heat treatment and nanowhisker formation were found to be enhanced. The  $C_{dl}$  of BDD, BDD-PA, P-BDD-PA, and P-BDDW-PA calculated using the CV anodic current at + 0.2 V versus Ag/AgCl were 15, 75, 350, and 2809  $\mu\text{F cm}^{-2}$ , respectively. Therefore, the specific surface areas of the BDD materials were shown to be effectively increased by imparting hierarchical nanostructures and enabling large electric double-layer capacitance, while maintaining a wide potential window up to 3 V in aqueous electrolytes.



**Fig. 10** CV curves measured in 1 M Na<sub>2</sub>SO<sub>4</sub> for the **a** BDD-PA, **b** P-BDD-PA, and **c** P-BDDW-PA electrodes. The potential sweep rate was 10 mV s<sup>-1</sup>. **d** Comparison of CV curves measured for the BDD-PA, P-BDD-PA and P-BDDW-PA electrodes in a narrow potential range (double-layer region). Reprinted from [31], Copyright 2017, with permission from Elsevier

## 4 Applications of Conductive Diamond Powders and Nanoparticles to EDLC

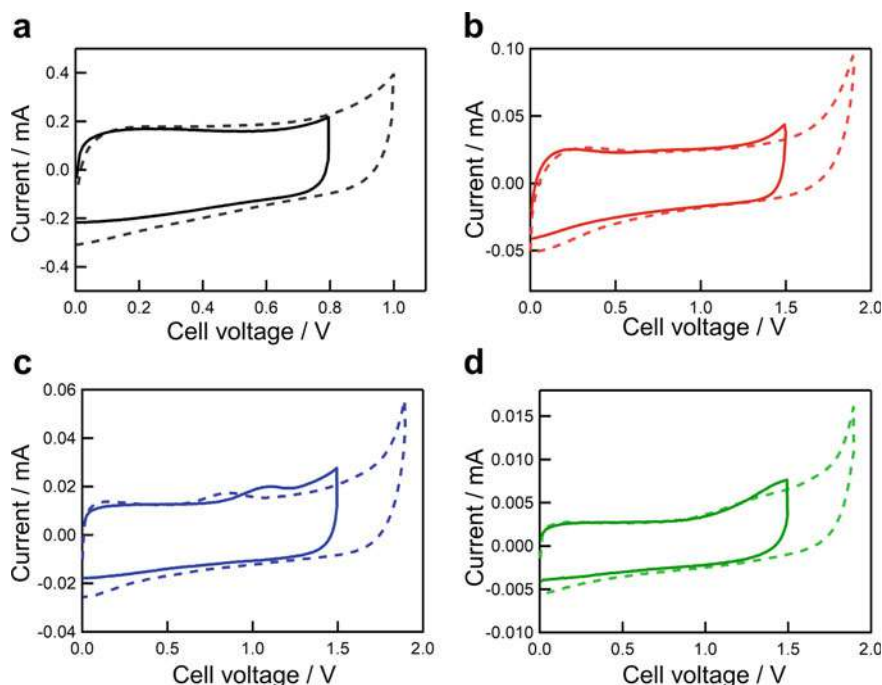
### 4.1 Application of BDD Powder (BDDP) to EDLC

We have described various methods for the fabrication of porous BDD electrodes including template substrates and etching technologies. Although these methods have been shown to be effective in increasing the specific surface area of BDD electrodes, they are not sufficiently practical for applications of BDD to an electrode material for EDLC widely. Since diamond is a hard material, it is difficult to fabricate devices with various shapes and sizes even if a large specific surface area material can be obtained based on BDD thin-films. Therefore, we prepared BDDP and boron-doped nanodiamond (BDND) as conductive diamond powder materials and investigated their electrochemical properties for applications to EDLC. BDDP and BDND have a relatively large specific surface area, and electrodes can be produced by coating an ink containing BDDP or BDND on a current collector, so that devices with various shapes and sizes can be fabricated. They are also suitable for flexible devices. Briefly, BDDP was obtained by deposition of a BDD layer on the surface of a commercially available diamond powder substrate by MPCVD [32–34]. A detailed fabrication method and characterization of BDDP are described in Chap. 7.

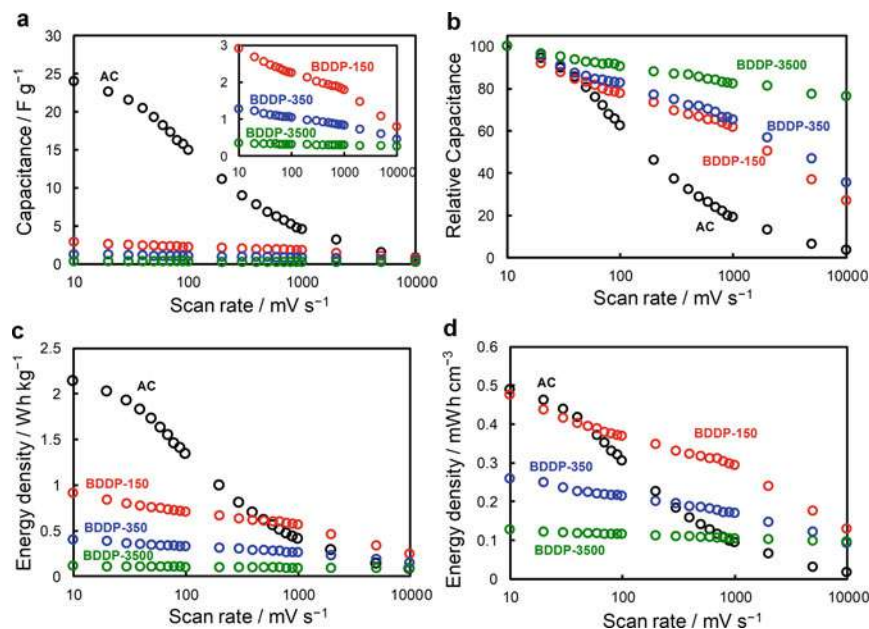
A test electrode for electrochemical investigations of BDDP was prepared as follows. First, BDDP was dispersed in a 30% ethanol aqueous solution to prepare an

ink, which was cast on a glassy carbon electrode, a current collector. After drying, a Nafion solution was cast on the outermost surface to obtain a test electrode. A test electrode of AC was also prepared using an ink containing AC and acetylene black (8:1, w/w) [34].

Figure 11 shows CV in 1 M  $\text{H}_2\text{SO}_4$  with a symmetric two-electrode system using AC and BDDP (particle sizes were 150, 350, and 3500 nm denoted here as BDDP-150, -350, and -3500, respectively) electrodes [34]. In the case of AC, the cell voltage can be applied up to 0.8 V without causing a Faradaic reaction, however, when a voltage higher than that was applied, a reaction current due to the electrolysis of water was observed. On the other hand, it was found that BDDP can apply the cell voltage up to 1.5 V without Faradaic current. This is considered to reflect the feature of BDD showing a wide potential window in aqueous electrolytes. Figure 12a features a plot showing the  $C_{dl}$  calculated from the CV with respect to the scan rate. As the particle size of BDDP decreases, the  $C_{dl}$  was found to increase due to the increase in the specific surface area. However, the  $C_{dl}$  of BDDPs were quite small compared to that of AC with a very large specific surface area. Figure 12b shows the scan rate dependence of the relative capacitance when the capacitance at  $10 \text{ mV s}^{-1}$  is 100. While the relative capacitance of AC decreased significantly with



**Fig. 11** CVs in 1.0 M  $\text{H}_2\text{SO}_4$  with a symmetric two-electrode system. **a** AC, **b** BDDP-150, **c** BDDP-350, and **d** BDDP-3500. Scan rate was  $10 \text{ mV s}^{-1}$ . Solid and dashed lines indicate CV curves with different switching potentials. Reprinted from [34] by The Authors licensed under CC BY 4.0



**Fig. 12** **a** Capacitance of AC and BDDP electrode cells as a function of scan rate. Inset indicates magnification. **b** Relative capacitance of AC and BDDP electrodes as a function of scan rate. **c** Gravimetric and **d** volumetric energy density of AC and BDDP electrodes as a function of scan rate. Capacitance was estimated from CV in 1.0 M H<sub>2</sub>SO<sub>4</sub> with a symmetric two-electrode system. Reprinted from [34] by The Authors licensed under CC BY 4.0

scan rate, those of BDDPs decreased moderately. Since AC has well-developed pores including micropores inside the particles, when the scan rate increases, formation of an electric double-layer on the inner surface of the deep pores cannot follow the potential change. This behavior can cause a decrease in capacitance with increased scan rate. On the other hand, since BDDP is a nonporous material, the capacitance decrease is considered to be suppressed even with a rapid charging–discharging.

Figure 12c shows the energy density per unit weight of the electrode active material with respect to the scan rate [34]. The energy density  $E$  was calculated by the relationship  $E = C_{dl}V^2/2$ , and  $V = 0.8$  V and 1.5 V were used for AC and BDDP, respectively. The BDDP showed a very small  $C_{dl}$  compared to AC, however, since a large cell voltage up to 1.5 V can be applied, the resulting energy density was relatively large. Especially in the rapid charge–discharge region of 500 mV s<sup>−1</sup> or more, the energy density of the BDDP-150 electrodes was found to exceed that of AC. In addition, while AC is a bulky material, BDDP has a larger bulk density than AC, and should be more advantageous when the charge–discharge performance with respect to the device volume matters. Figure 12d shows the energy density per unit volume of the electrode layer of the BDDP and AC electrodes [34]. The energy densities of BDDP-150 and AC electrodes were found to be equivalent even in the scan rate

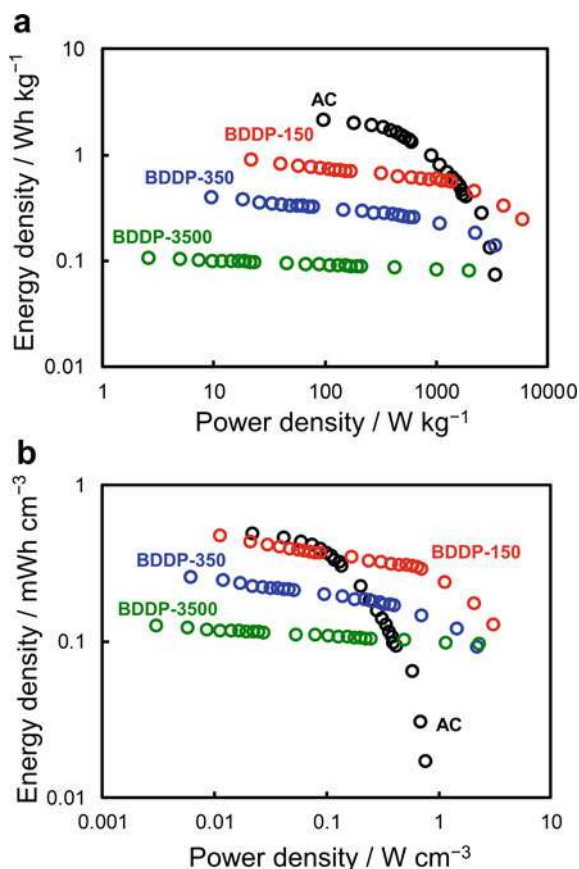


region slower than  $100 \text{ mV s}^{-1}$ , and that of BDDP-150 became larger in the higher scan rate region than that of AC.

Figure 13 features Ragone plots showing the energy and power densities per unit weight of the electrode active material and per unit volume of the electrode layer of the BDDP and AC electrodes. The AC electrode showed a higher energy density in the region where the charge–discharge rate was slow. However, especially in the plot for the volumetric performance (Fig. 13b), the BDDP-150 electrode showed larger energy and power densities than the AC version in the region where the charge–discharge rate was high. This result suggests that BDDPs are suitable for compact EDLC devices that can handle rapid charging–discharging.

Since BDDP is a powder material, an ink containing it can be prepared and applied to a current collector to fabricate various types of EDLC devices such as laminate, cylinder, and coin types, or microsupercapacitors with coplanar electrodes. This should be a major difference of such BDD powder/nanoparticles from thin-film-based porous BDD materials. It was also confirmed that the amount of  $\text{sp}^2$  carbon impurities

**Fig. 13** Ragone plots showing **a** gravimetric and **b** volumetric energy density versus power density of AC and BDDP electrode cells. Reprinted from [34] by The Authors licensed under CC BY 4.0

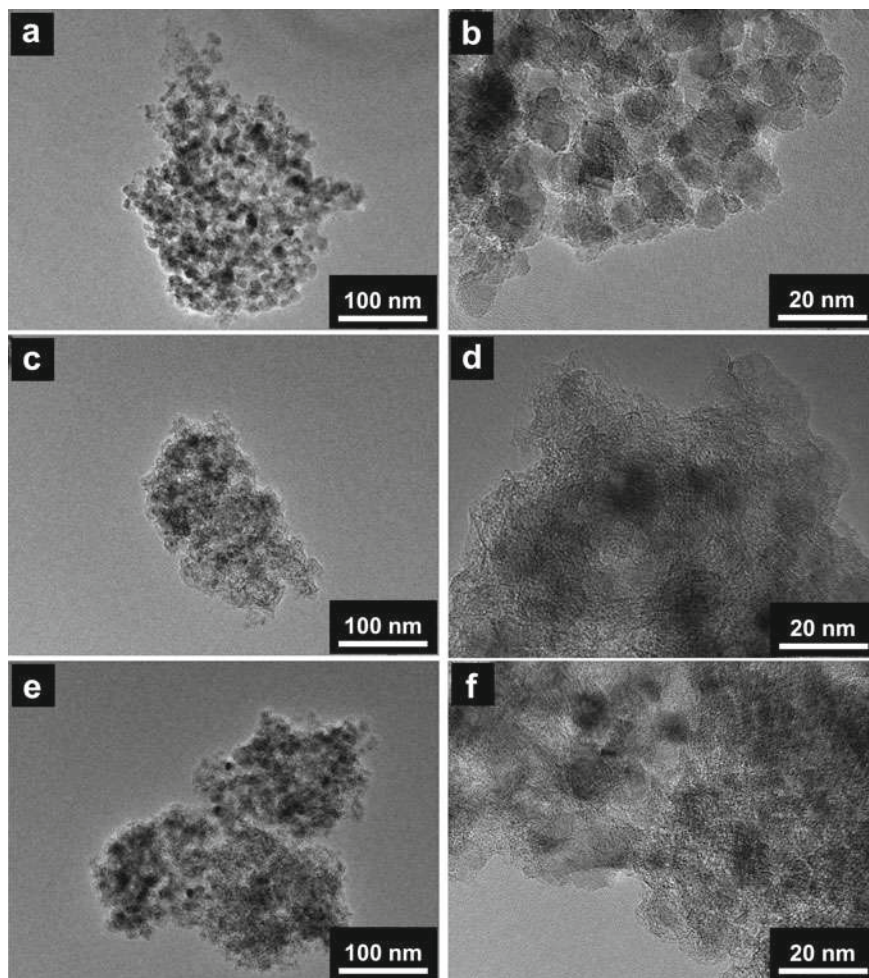


contained in the BDDP increased by reducing the particle size [35], however, the presence of the  $sp^2$  carbon components did not cause considerable narrowing of the potential window. Therefore, the use of BDDP with a smaller particle size is considered to be suitable for better performance, i.e., both large capacitance and cell voltage, for an electrode material of aqueous EDLC.

#### **4.2 Preparation of Boron-Doped Nanodiamond (BDND) Particles**

Boron-doped nanodiamond (BDND) particle was developed with the aim of further reducing the particle size of conductive diamond powder to increase the specific surface area [36]. Nanodiamond (ND) particles with a particle size of about 5 nm (provided by Daicel Corporation) were used as a substrate material. ND was then subjected to MPCVD with a mixture solution of trimethoxyborane/methanol and acetone (B/C atomic ratio: 20,000 ppm) as a carbon/boron source of the BDD layer deposited on the ND substrate. After the CVD process, the sample contains a large amount of  $sp^2$  carbon component as a by-product, so the sample is subjected to thermal oxidation treatment in air at 425 °C for 8 h to minimize the amount of  $sp^2$  carbon content in the BDND (heat-treated BDND).

The  $sp^2$  carbon component remained even after the thermal oxidation treatment, showing no obvious difference in the Raman spectra before and after the treatment [36]. However, from the observation with transmission electron microscopy (TEM), the  $sp^2$  carbon component was suggested to be reduced by thermal oxidation (Fig. 14) [36]. Figure 15a shows the Brunauer–Emmett–Teller (BET) specific surface areas of ND and BDND obtained by nitrogen sorption measurement [36]. From this result, it was found that the specific surface area was reduced by the CVD process, but then greatly increased by the subsequent thermal oxidation treatment. The BDND fabrication process considered from these results is proposed in Fig. 15b [36]. The primary particle size of ND was about 5 nm; however, since it was handled in a dry state, the ND is considered to be in an agglomerated state during the CVD process. The BDD and  $sp^2$  carbon components are then deposited on the surfaces of the agglomerates, so that the voids between the primary ND particles on the agglomerate surface are filled and the specific surface area decreases. In the subsequent thermal oxidation treatment, the vulnerable  $sp^2$  carbon components are selectively decomposed, leaving stable  $sp^2$  carbon structures, which is supported by the TEM observation showing the presence of graphene-like structures on the heat-treated BDND. Such a residual component may result in a significant increase in the specific surface area of the BDND after heat treatment.

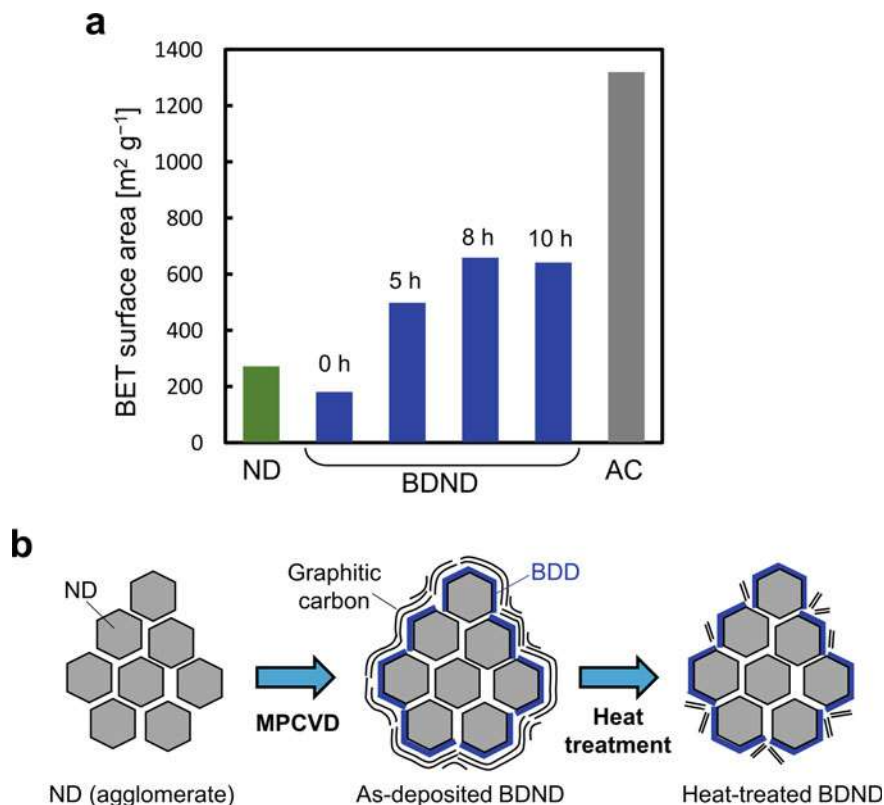


**Fig. 14** TEM images of the **a, b** ND, **c, d** as-deposited BDND, and **e, f** heat-treated BDND. Reprinted from [36] by The Authors licensed under CC BY 4.0

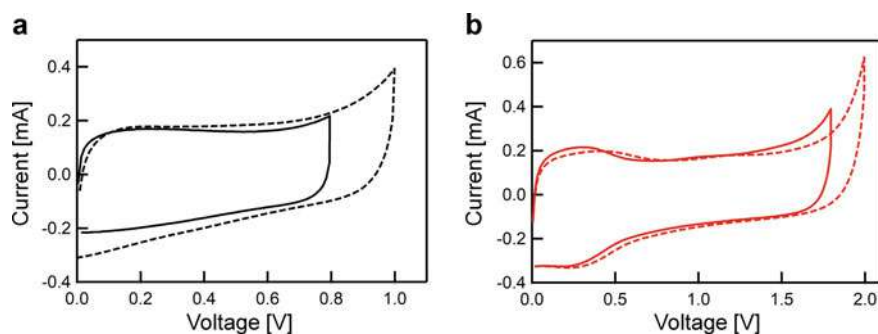
### 4.3 Electrochemical Properties of BDND

The electrochemical characteristics of the prepared BDND were evaluated by CV with test electrodes prepared as described above. Figure 16 shows the CV measured in 1 M  $\text{H}_2\text{SO}_4$  with a symmetric two-electrode system [36]. In contrast to the case of the AC electrode with a potential window (cell voltage) of 0.8 V, the BDND electrode exhibited a large cell voltage of 1.8 V without Faradaic current. This result confirms that the BDND can show a wide potential window, and is expected to be useful for an aqueous EDLC with a large cell voltage. In addition, the CV current of the BDND





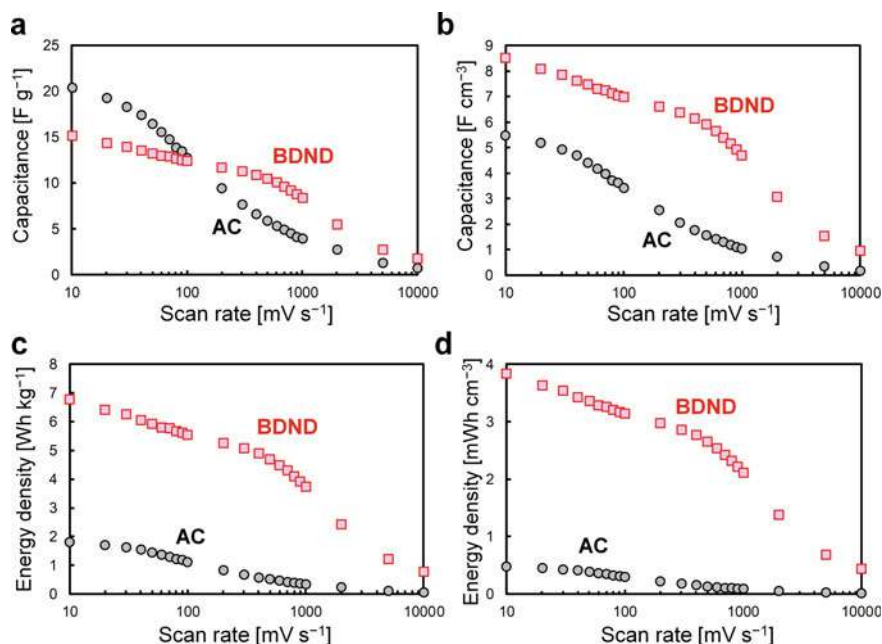
**Fig. 15** **a** BET specific surface area of AC, ND, and BDND with various heat treatment times (0, 5, 8, and 10 h); **b** A proposed schematic illustration of the BDND preparation. Reprinted from [36] by The Authors licensed under CC BY 4.0



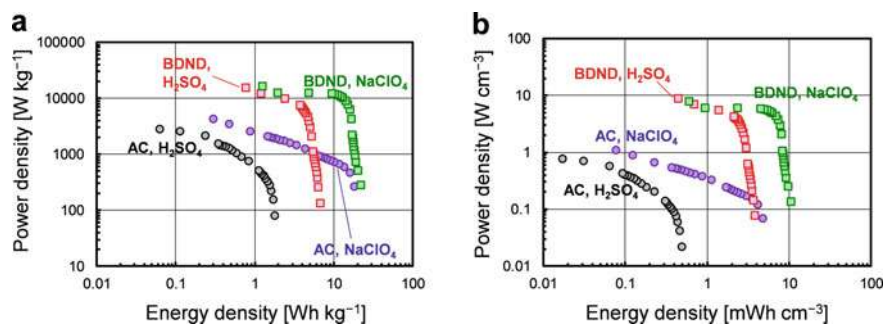
**Fig. 16** CVs in 1 M  $\text{H}_2\text{SO}_4$  with a symmetric two-electrode system: **a** AC and **b** BDND electrodes. The scan rate was  $10 \text{ mV s}^{-1}$ . Reprinted from [36] by The Authors licensed under CC BY 4.0

electrode was almost the same order as the AC electrode. In these test electrodes, 0.4 mg of electrode active material (AC or BDND) was deposited, and the  $C_{dl}$  was calculated to be 20 and 15 F g<sup>-1</sup> for the AC and BDND electrodes, respectively. Therefore, it was confirmed that the BDND is an electrode material to which a large cell voltage can be applied while exhibiting a capacitance equivalent to that of AC in an aqueous electrolyte.

Figure 17 shows the scan rate dependence of the capacitance per unit weight of the electrode active material calculated from the CV current [36]. At scan rates slower than 100 mV s<sup>-1</sup>, the AC electrode showed a larger  $C_{dl}$  than the BDND electrode, whereas the  $C_{dl}$  was larger at the BDND electrode than the AC electrode at scan rates above 200 mV s<sup>-1</sup>. As described above,  $C_{dl}$  of the AC electrode remarkably decreased as the scan rate increased due to the well-developed pore structure of AC. On the other hand, since BDND does not have such pores like AC, though interparticle space among primary particles may function as pores, it is considered that electrolyte ion transfer is relatively fast even at fast scan rates, and the decrease in  $C_{dl}$  can be suppressed compared to that of the AC electrode. Figure 17c shows plots of energy density calculated from the  $C_{dl}$  (Fig. 17a) as a function of scan rate



**Fig. 17** Capacitance **a** per unit weight of the active material and **b** per unit volume of the electrode layer; and the energy density **c** per unit weight of the active material and **d** per unit volume of the electrode layer as a function of the scan rate. The capacitance was estimated based on CV data recorded in 1 M H<sub>2</sub>SO<sub>4</sub> with a symmetric two-electrode system. The energy density was calculated based on the capacitance (panels a and b) and cell voltage (0.8 V and 1.8 V for AC and BDND, respectively). Reprinted from [36] by The Authors licensed under CC BY 4.0



**Fig. 18** Ragone plots showing the power density versus energy density **a** per unit weight of the active material and **b** per unit volume of the electrode layer of the AC and BDND electrode cell. The electrolytes used were 1 M H<sub>2</sub>SO<sub>4</sub> and saturated NaClO<sub>4</sub>. Reprinted from [36] by The Authors licensed under CC BY 4.0

[36]. The cell voltages used for the calculation were 0.8 and 1.8 V for the AC and BDND electrodes, respectively. As mentioned earlier, the energy density is directly proportional to the square of the cell voltage, so the energy density was found to be larger at the BDND electrode than at the AC electrode in this scan rate region (10–1000 mV s<sup>-1</sup>).

Bulk densities of AC and BDND were calculated from the volume and weight of the electrode active material layer to be 0.23 and 0.52 g cm<sup>-3</sup>, respectively. This fact indicates that the BDND should be suitable for a compact EDLC device. Figure 17b and d shows the  $C_{dl}$  and energy density per unit volume of the electrode active material layer calculated using these bulk densities [36]. The BDND electrode showed a larger  $C_{dl}$  than the AC electrode in the scan rate range of 10–1000 mV s<sup>-1</sup>, and the difference in the volumetric energy density was greater than the gravimetric one. The results show that BDND is useful for production of more compact or thinner aqueous EDLC devices than those using AC when the energy density of the device is the same.

Figure 18 shows the Ragone plots when the electrode materials were BDND and AC, and the electrolytes are 1 M H<sub>2</sub>SO<sub>4</sub> and saturated NaClO<sub>4</sub> [36]. In the gravimetric plot (Fig. 18a), it can be seen that the performance was found to be better in terms of both energy and power densities at the BDND electrode than the AC one. The large energy densities are obtained based on the large cell voltage due to the use of an electrolyte and an electrode material leading a wide potential window (saturated NaClO<sub>4</sub> and BDND, respectively). In contrast, the large power densities seen when using BDND are due to the fact that the decrease in  $C_{dl}$  was suppressed even when the scan rate was fast, i.e., the charging–discharging was fast. Moreover, in the volumetric Ragone plot (Fig. 18b), the difference in performance between the BDND and AC electrodes is emphasized based on the larger bulk density of the BDND than that of AC. From the above results, it is expected that a compact and safe aqueous EDLC with high energy and power densities can be produced by using BDND as an electrode material.

## 5 Conclusions

Fabrication of various types of porous BDD electrodes aimed at applications for EDLC, and their electrochemical properties are described. As described in this chapter, the specific surface area of BDD electrodes can be increased using various methods such as bottom-up approaches using templates, top-down approaches using etching treatments, or the preparation of BDDP materials. These technologies are considered to be useful for expanding the application fields of BDD electrodes including electrochemical energy devices. For applications in electrochemical capacitors, surface modification with redox-active molecules and oxides, etc., should also be effective for increasing the capacitance by combining an increase in the specific surface area of the electrode. BDD electrodes, even after imparting micro/nanostructures, have been shown to have excellent properties as an electrode material for EDLC with a wide potential window in aqueous electrolytes. The continued development toward the mass production of BDD material with a large specific surface area is desired for practical use in EDLC applications.

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# Boron-Doped Diamond Powder



Takeshi Kondo

**Abstract** In this chapter, preparation of boron-doped diamond (BDDP) and its application to screen-printed electrodes and cathode catalyst supports were described. Screen-printed diamond electrodes using ink containing BDDP and polyester resin binder can be used as highly sensitive and disposable electrodes for electrochemical sensors, demonstrating sensitive detection of ciprofloxacin in artificial urine. Pt-supported BDDP is expected to be useful for a highly durable polymer electrolyte fuel cell cathode catalyst based on the corrosion resistance of BDDP to highly positive potentials.

Boron-doped diamond powder (BDDP) was developed to expand the range of applications of diamond electrodes. In this chapter, preparation of BDDP and its application to screen-printed electrodes and cathode catalyst supports were described. BDDP is a conductive diamond powder obtained by deposition of a boron-doped diamond (BDD) layer on a diamond powder (DP) substrate surface via chemical vapor deposition method. The particle size of BDDP can be arbitrarily selected depending on the particle size of the DP substrate, ranging at least from several hundreds of nanometers to several micrometers. Screen-printed diamond electrodes using ink containing BDDP and polyester resin binder can be used as highly sensitive and disposable electrodes for electrochemical sensors, demonstrating sensitive detection of ciprofloxacin in artificial urine. The characteristics of the BDDP-printed electrodes were shown to be controlled by surface termination of BDDP and binder-to-BDDP ratio in the ink. Pt-supported BDDP is expected to be useful for a highly durable polymer electrolyte fuel cell cathode catalyst. BDDP is a highly versatile functional electrode material that can be made into ink and paste and can be combined with other compounds, expanding widely the application of diamond electrodes.

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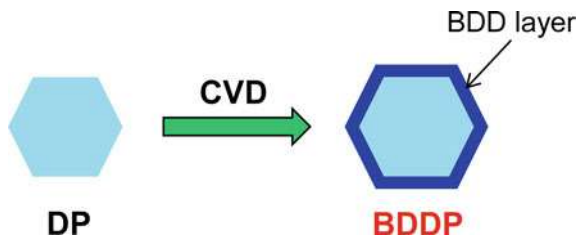
## 1 Introduction

Boron-doped diamond (BDD) electrodes exhibit a wide potential window in aqueous and nonaqueous electrolytes and low background current with extreme physical and chemical stability. Based on these excellent properties, they can be used for electrochemical sensor with high sensitivity and stability and can be also applied as an electrode material for highly efficient electrolysis with excellent durability. However, since the BDD electrode is usually prepared by chemical vapor deposition (CVD) method using a flat plate substrate of a limited type of material such as silicon and niobium, it can be obtained as a hard and flat plate electrode with a relatively small size (e.g., 2 inches in diameter). Therefore, it is difficult to obtain an electrode having an arbitrary shape or a three-dimensional structure. Moreover, since the size of the CVD apparatus for preparation of BDD is also limited, it is not easy to obtain a BDD electrode with a large size. In order to overcome such restrictions of direct BDD synthesis onto the substrate, the use of powdered BDD can be a candidate. If BDD powder (BDDP) that exhibits a wide potential window, low background current, and physical/chemical stability similar to those of the BDD thin-film electrode can be obtained, it can be made into ink or paste to form electrodes. It can be printed or painted to form a diamond electrode using a substrate with various sizes and types of materials. This strategy enables creation of novel diamond electrodes that are lightweight, inexpensive, flexible, and large size, which cannot be directly fabricated by the CVD method. In addition, BDDP has a large specific surface area and can be applied to a fuel cell catalyst support and an electrode material for electrochemical capacitors.

BDDP can be obtained through direct synthesis by high-pressure and high-temperature method [1], by milling a BDD thin-film prepared by CVD [2], and by deposition of a BDD layer on the surface of a diamond powder (DP) substrate [3, 4]. Among these, BDDP prepared by the third method should be suitable for electrochemical applications because it exhibits sufficient conductivity and should be feasible to mass production. In this method, DP commercially available as an abrasive with a controlled size can be used for the substrate, and only the surface thereof needs to be coated with a BDD layer. The size of the DP substrate can be widely selected at least from several hundreds of nanometers to several micrometers, and thus BDDP with a desired particle size can be obtained [5]. This chapter describes the fabrication and electrochemical properties of BDDP prepared by BDD deposition on a DP substrate. In addition, electrochemical applications of BDDP including screen-printed diamond electrodes enabling highly sensitive electrochemical detection and platinum-supported BDDP (Pt/BDDP) for highly durable polymer electrolyte fuel cell (PEFC) cathode catalysts are also introduced.



**Fig. 1** Schematic illustration of preparation of BDDP



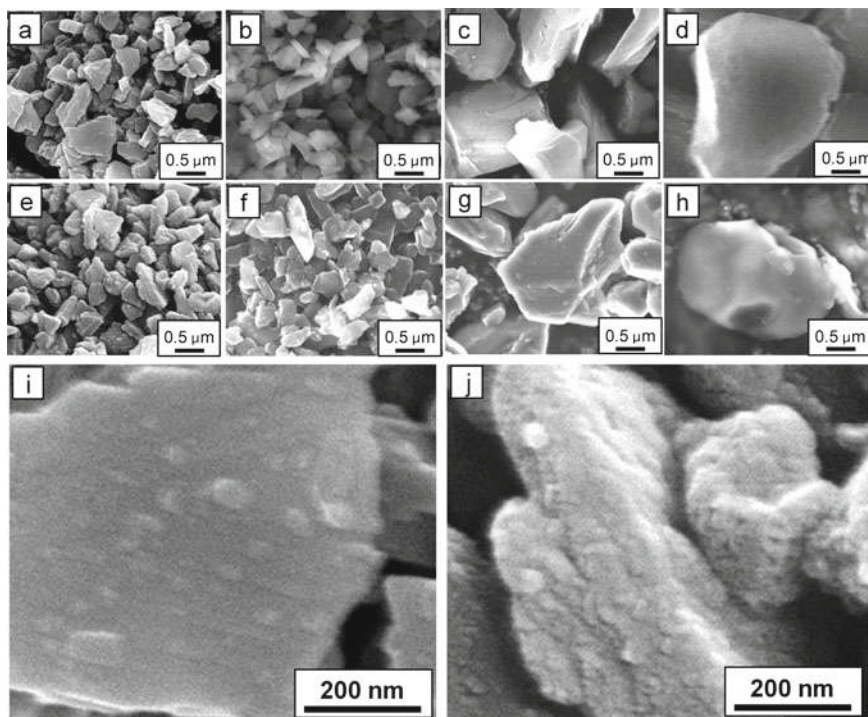
## 2 Preparation and Basic Properties of BDDP

### 2.1 Preparation of BDDP

BDDP can be obtained by deposition of a BDD layer by the CVD method on the surface of a DP substrate that is commercially available as an abrasive (Fig. 1) [6]. 0.8 g of cleaned DP (Micron + MDA, Element Six) was placed on a CVD molybdenum susceptor (2 inches in diameter), and a BDD layer was grown on the DP surface by microwave plasma-assisted CVD method. Mixed solution of 70% trimethoxyborane/methanol and acetone (B/C atomic concentration ratio was 20,000 ppm) was used as a carbon/boron source. The CVD process was performed with a microwave power of 1,300 W, a carrier  $\text{H}_2$  gas flow rate of 400 sccm, a pressure of 50 Torr, a stage temperature of 800 °C, and a deposition time of 8 h. After the CVD process,  $\text{sp}^2$  carbon impurities were removed by heat treatment in air at 425 °C for 5 h. This treatment can reduce  $\text{sp}^2$  carbon impurities [7] and simultaneously oxidize the BDDP surface (O-BDDP). If necessary, the BDDP surface can be converted to hydrogen termination (H-BDDP) by hydrogen plasma treatment. The hydrogen plasma treatment was carried out using a CVD apparatus with a microwave power of 500 W, a carrier  $\text{H}_2$  gas flow rate of 100 sccm, a pressure of 20 Torr, and a stage temperature of 800 °C for 1 h [8].

### 2.2 Effect of Particle Size

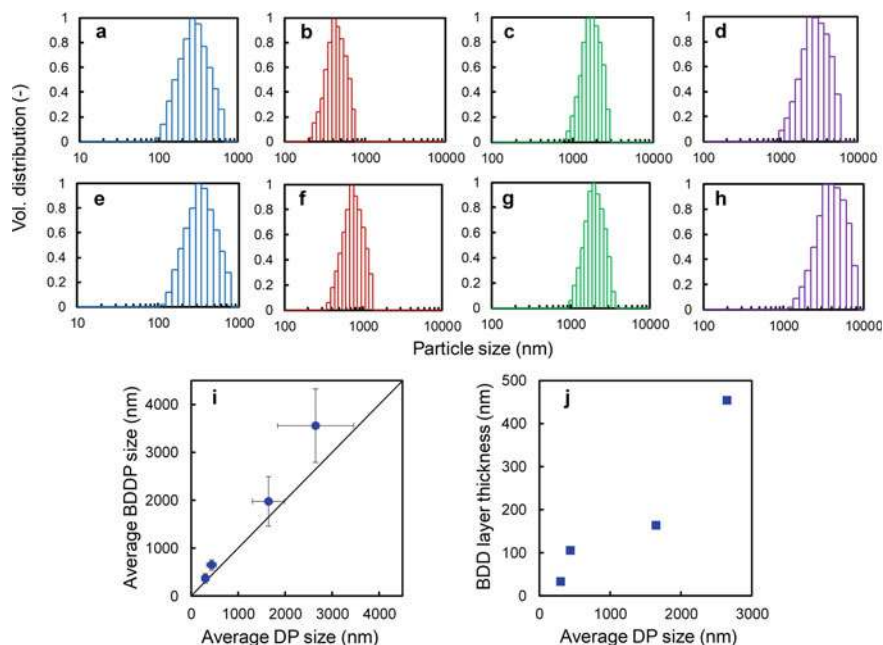
Figure 2 shows scanning electron microscopy (SEM) images of the DP substrates with various particle sizes and the corresponding BDDP [5]. Comparing the SEM images of the DP substrates with particle sizes of 301, 436, 1,647, and 2,650 nm (denoted as DP-300, DP-450, DP-1600, and DP-2600, respectively) and the corresponding BDDP (denoted as BDDP-350, -650, -2000, -3500, respectively), no obvious change was observed in terms of particle size and shape before and after BDD layer growth by CVD. However, when magnified images of DP-300 and BDDP-350 surfaces were compared, the DP-300 surface was found to be relatively smooth,



**Fig. 2** SEM images of DP cores (a–d) and the corresponding BDDPs (e–h). **a** DP-300, **b** DP-450, **c** DP-1600, **d** DP-2600, **e** BDDP-350, **f** BDDP-650, **g** BDDP-2000 and **h** BDDP-3500. Magnified images of **i** DP-300 and **j** BDDP-350. Reprinted from [5], Copyright 2018, with permission from The Chemical Society of Japan

whereas the BDDP-350 has a rough surface consisting of grains with a size of 2–50 nm (Fig. 2i, j) [5]. This result suggested that nanocrystalline BDD was grown on the DP substrate surface by CVD.

Figure 3a–h shows the particle size distribution of DP and BDDP obtained by dynamic light scattering method [5]. BDDP showed a monomodal distribution similar to the DP substrates, and average particle size was found to slightly increase after the BDD layer growth by CVD (Table 1 and Fig. 2i) [5]. Since the increment of average particle size after CVD was much smaller than DP core particle size, it can be concluded that a single BDDP was formed from a single DP. Therefore, BDDP having a desired particle size can be obtained by selecting a DP with the corresponding size as the substrate. The apparent BDD layer thickness defined as half of the difference between average particle sizes of BDDP and the corresponding DP was plotted as a function of DP particle size (Fig. 2j) [5]. As a result, the BDD layer thickness, that is the growth rate, was found to increase as the particle size of the DP substrate increased. In addition, results from Raman spectroscopy showed that the larger the particle size, the smaller the intensity ratio between the G band ( $1600\text{ cm}^{-1}$ ) and

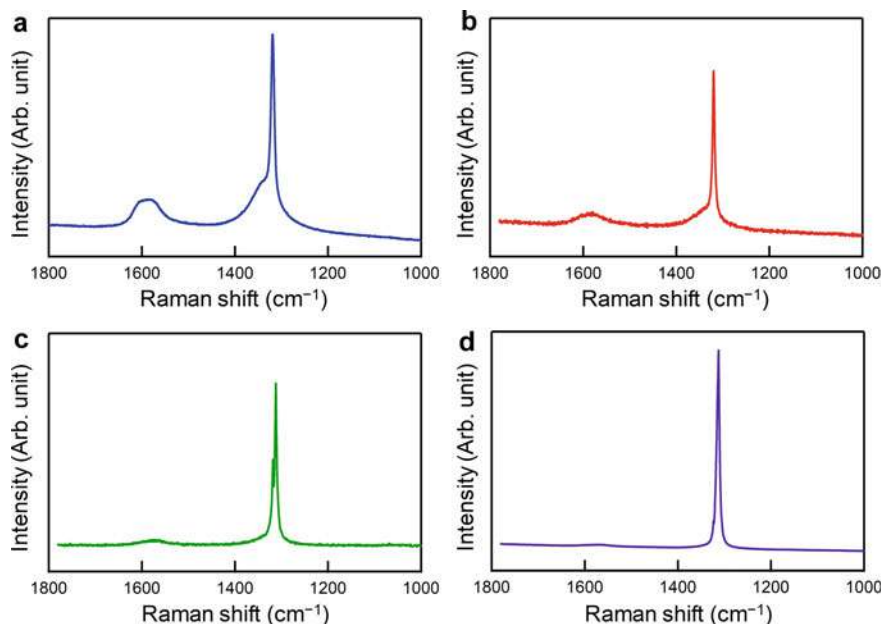


**Fig. 3** Particle size distribution of DP cores (a–d) and the corresponding BDDPs (e–h). **a** DP-300, **b** DP-450, **c** DP-1600, **d** DP-2600, **e** BDDP-350, **f** BDDP-650, **g** BDDP-2000, and **h** BDDP-3500. **i** Plot of average BDDP size versus average DP core size. **j** Plot of BDD layer thickness versus average DP core size. Reprinted from [5], Copyright 2018, with permission from The Chemical Society of Japan

**Table 1** Average particle size, conductivity, and  $I_G/I_{dia}$  of BDDP with various DP core size

Average DP core size/nm	Average BDDP size/nm	Conductivity/S $cm^{-1}$	$I_G/I_{dia}$
301	367	0.34	0.092
436	647	0.35	0.083
1647	1975	0.83	0.034
2650	3558	0.62	0.005

the diamond peak ( $1333\text{ cm}^{-1}$ ) ( $I_G/I_{dia}$ ) and the higher the crystal quality of BDD (Fig. 3, Table 1) [5]. The G band should be derived from  $sp^2$  carbon impurities present at the grain boundaries of the BDD layer. Since the grain boundaries become tighter as BDD grows,  $I_G/I_{dia}$  in BDDP with thicker BDD layers and larger grain size should decrease. In addition, the conductivity of BDDP calculated from DC resistance between both ends of a BDDP cylinder filled in a glass tube (1 mm in inner diameter) was in the order of  $0.1\text{ S cm}^{-1}$ . Thus, it was confirmed that BDDP can be used as an electrochemical electrode material (Fig. 4).



**Fig. 4** Raman spectra of **a** BDDP-350, **b** BDDP-650, **c** BDDP-2000, and **d** BDDP-3500. Reprinted from [5], Copyright 2018, with permission from The Chemical Society of Japan

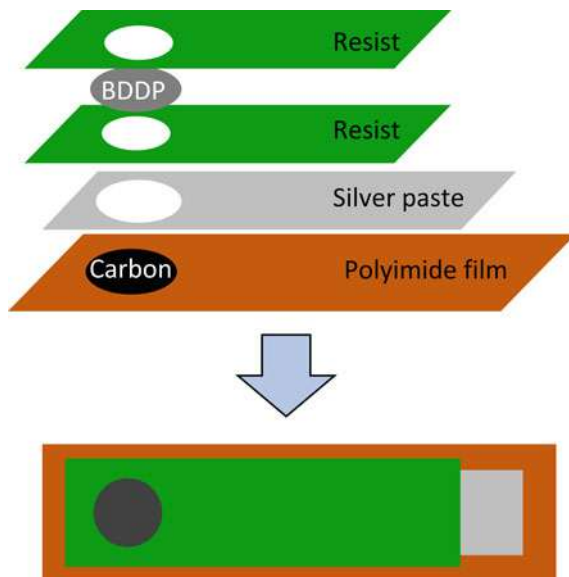
BDDP of any particle size can be prepared by selecting the particle size of the DP substrate. BDDP showed good conductivity and thus can be used as an electrode material regardless of the particle size in the range of several hundreds of nanometers to several micrometers. However, as the particle size became smaller, the content of  $sp^2$  carbon component tended to increase. For example, when used for applications such as electroanalysis where the presence of  $sp^2$  carbon impurities deteriorates the performance, it is necessary to use BDDP having a relatively large particle size (e.g., several micrometers). On the other hand, for applications such as electrochemical capacitors where the influence of  $sp^2$  carbon impurities is not significant and large specific surface area is useful, it is better to use BDDP with a smaller particle size (e.g., several hundreds of nanometers or smaller).

### 3 Screen-Printed Diamond Electrode

#### 3.1 Fabrication of Screen-Printed Diamond Electrode

Screen-printed electrode is one type of electrodes that can be mass produced at low cost and is used as a disposable electrode such as a personal glucose sensor. In particular, screen-printed carbon electrodes are used as a platform for sensing

**Fig. 5** Schematic illustration of the procedure for fabrication of the BDDP-printed electrode. Reprinted with permission from [14]. Copyright 2016 American Chemical Society

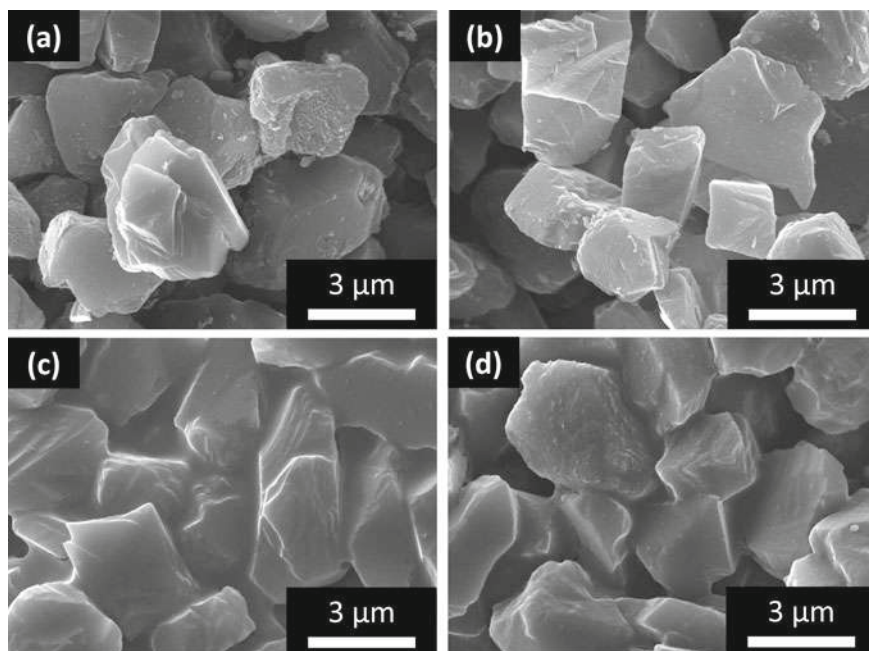


devices in a wide range of fields, such as clinical/biological, pharmaceutical, environmental, and food analyses, and can be modified with mediators, metal nanoparticles, enzymes, and antibodies to enable analyte-specific detection [9–13]. Screen-printed diamond electrodes using BDDP as an electrode material are considered to be useful as a lightweight, inexpensive, mass-producible, and highly sensitive electrochemical sensing platform, which can be used in a variety of electrochemical applications.

BDDP ink for screen-printed electrode was prepared by adding 100 mg of BDDP to 2-butanone/isophorone solution, where 30 mg of polyester resin (binder) was dissolved. Then, a screen-printed diamond electrode was fabricated on a polyimide substrate by printing carbon ink (current collector), silver ink (electrical lead), resist ink (insulation mask), BDDP ink, and resist ink in this order by using a screen printer (Fig. 5) [14]. The screen-printed diamond electrode showed a good electrochemical response, whereas the background current was smaller than that of the screen-printed carbon electrode [6], indicating that a highly sensitive electrochemical detection with a large signal-to-background (S/B) ratio can be obtained by using the screen-printed diamond electrode.

### 3.2 Sensitive Electrochemical Detection of Ciprofloxacin

We investigated the detection of ciprofloxacin (CIP) as an application of screen-printed diamond electrodes to a highly sensitive electrochemical detection [8]. CIP is a fluoroquinolone antibiotic and is used for the treatment of various infectious



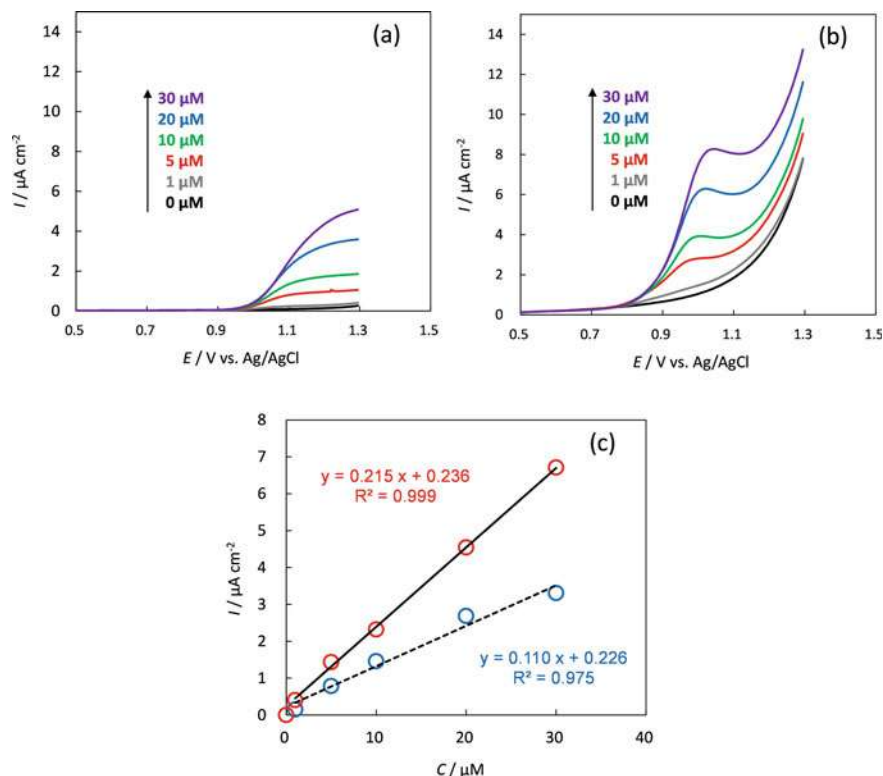
**Fig. 6** SEM images of **a** H-BDDP, **b** O-BDDP, **c** H-BDDP-printed, and **d** O-BDDP-printed electrode surface. Reprinted from [8], Copyright 2020, with permission from Elsevier

diseases [15]. It is known that antibiotics are excreted from the body of an administered patient via urine for example and can be discharged into environmental waters [16]. This can cause the development of antibiotic-resistant bacteria, and therefore, highly sensitive detection of antibiotics from urine or environmental water is desired.

To examine suitable conditions for the detection of CIP with high sensitivity, screen-printed electrodes were prepared using H-BDDP and O-BDDP. Figure 6 shows the SEM images of H-BDDP and O-BDDP and those of H-BDDP- and O-BDDP-printed electrode surfaces [8]. The shape, size, and surface morphology of H-BDDP and O-BDDP were almost unchanged. On the other hand, when comparing the surface of screen-printed electrodes, it was suggested that H-BDDP-printed electrode had a higher miscibility to the binder (polyester resin) than O-BDDP-printed electrode because the voids between the particles on H-BDDP-printed electrode were filled with the binder more than those on O-BDDP-printed electrode. This should be because the O-BDDP surface has a significant amount of polar oxygen-containing functional groups exhibiting hydrophilic properties, whereas the H-BDDP surface has few such functional groups and is rich in hydrophobic C–H groups.

Figure 7a, b shows the linear sweep voltammograms (LSVs) of CIP at various concentrations on H-BDDP- and O-BDDP-printed electrodes [8]. It was found that O-BDDP-printed electrode showed a clear anodic peak and the electrochemical response was found to be better than that of H-BDDP-printed electrode. Although

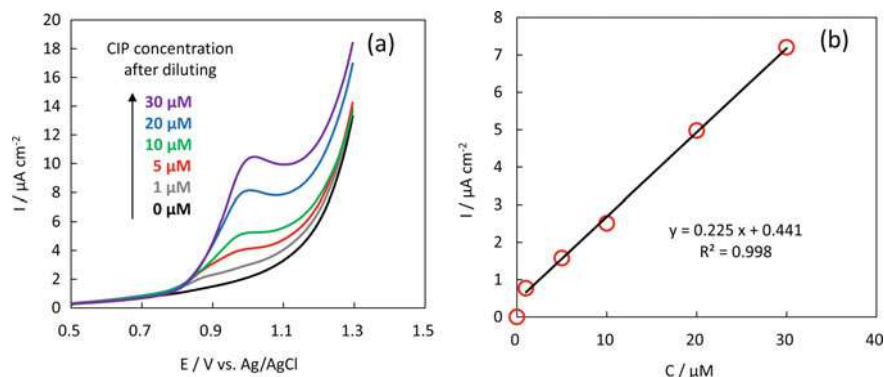




**Fig. 7** LSVs of CIP in 1/15 M PBS at **a** H-BDDP-printed, and **b** O-BDDP-printed electrodes. Potential sweep rate was  $10 \text{ mV s}^{-1}$ . **c** Calibration curves of CIP detection by LSV at O-BDDP-printed (solid line) and H-BDDP-printed electrodes (dotted line). Reprinted from [8], Copyright 2020, with permission from Elsevier

the calibration curve for CIP detection showed a linear response in the concentration range of 1–30  $\mu\text{M}$  at both electrodes, the slope of the calibration curve was larger for O-BDDP-printed electrode than that for H-BDDP one. H-BDDP was considered to have a higher affinity with the binder, so that the contact between the BDDP surface and the electrolyte solution was poor, and the apparent electron-transfer rate should be low compared to that of O-BDDP-printed electrode [8]. The slope of the calibration curve for O-BDDP-printed electrode was comparable to that for conventional H-BDD thin-film electrode [8], indicating that O-BDDP-printed electrode can be used as a highly sensitive and disposable electrode for CIP detection.

In order to simulate the detection of CIP in the human urine, CIP in artificial urine was detected using O-BDDP-printed electrode. Figure 8 shows the LSVs of CIP in artificial urine diluted 100-fold with 1/15 M phosphate buffer solution (PBS) [8]. A clear anodic peak was observed at + 1.0 V versus Ag/AgCl, and a good linear calibration curve was obtained in the CIP concentration range of 1–30  $\mu\text{M}$ . According to a report by Wagenlehner et al., CIP of 407 mg/L (1,221  $\mu\text{M}$ ) was



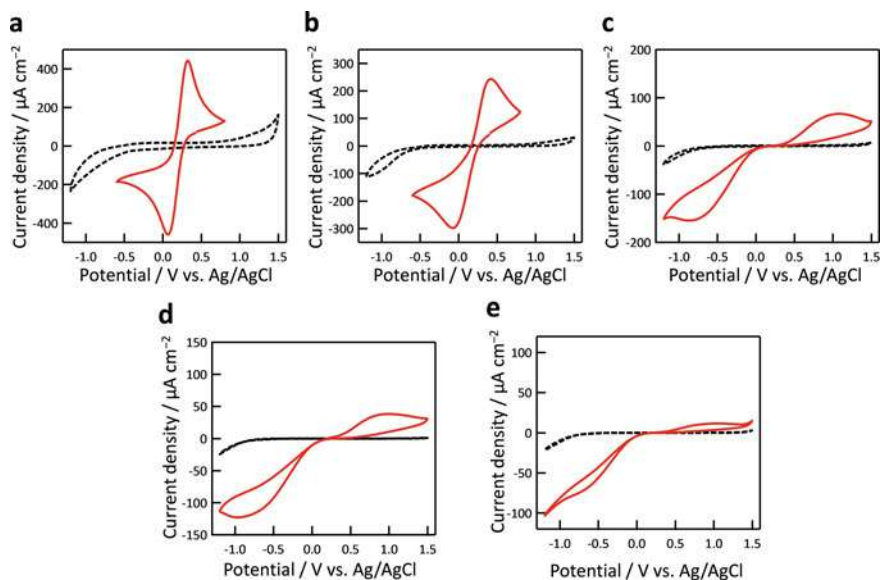
**Fig. 8** **a** LSVs of CIP in artificial urine diluted 100 times in 1/15 M PBS at the O-BDDP-printed electrode. Potential sweep rate was  $10 \text{ mV s}^{-1}$ . **b** Calibration curve created from the data in panel a. Final concentrations (after dilution) are indicated in (a). Reprinted from [8], Copyright 2020, with permission from Elsevier

detected in the urine of healthy volunteers who received CIP [17]. Therefore, if urine contains CIP at this concentration level, CIP in urine can be measured from a sample diluted 100-fold with PBS at O-BDDP-printed electrode. Since CIP with a final concentration of 1–30  $\mu\text{M}$  could be detected even from artificial urine diluted 10 times, the CIP in urine at a concentration range of 10–3,000  $\mu\text{M}$  can be determined by adjusting the dilution ratio appropriately. From the above studies, it was shown that O-BDDP-printed electrode can be used as a disposable electrode exhibiting a highly sensitive detection of CIP.

### 3.3 Random Microelectrode Array Effect for Highly Sensitive Detection

BDDP inks for screen-printed diamond electrodes contain BDDP and an insulating binder (PES). In this case, the working electrode fabricated by printing is a composite film consisting of BDDP and PES. Thus, the conductive domain (BDDP) and the insulating domain (PES) are exposed to the electrolyte solution. In order to increase the total area of the conductive domain in contact with the electrolyte, the PES-to-BDDP (PES/BDDP) ratio should be as low as possible to the extent that a stable electrode layer can be formed. On the other hand, when the proportion of insulating domains is extremely large and the conductive domains having a size in the order of micrometers are isolated from each other, the individual conductive domains should behave as microelectrodes, and the entire printed electrode is expected to function as a microelectrode array. In this case, faradaic current at such an electrode (i.e., with a large PES/BDDP ratio) should be smaller than at conventional printed electrodes regarded as a planar electrode (i.e., with a small PES/BDDP ratio), but the S/B ratio

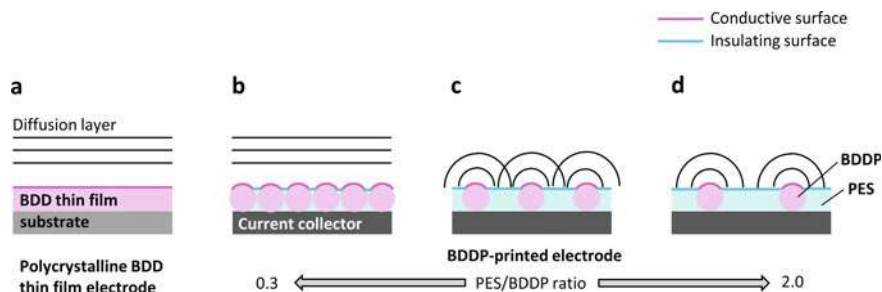




**Fig. 9** CV for 5 mM  $\text{K}_3\text{Fe}(\text{CN})_6$  in 0.1 M  $\text{Na}_2\text{SO}_4$  at the BDDP-printed electrodes with various PES/BDDP ratios of **a** 0.3, **b** 0.5, **c** 1.0, **d** 1.5, and **e** 2.0. Dashed lines indicate the background current. Potential sweep rate was  $100 \text{ mV s}^{-1}$ . Reprinted with permission from [14]. Copyright 2016 American Chemical Society

for the detection at the former electrode should be large due to very low background current. As a result, BDDP-printed electrodes with a large PES/BDDP ratio are expected show a highly sensitive electrochemical detection.

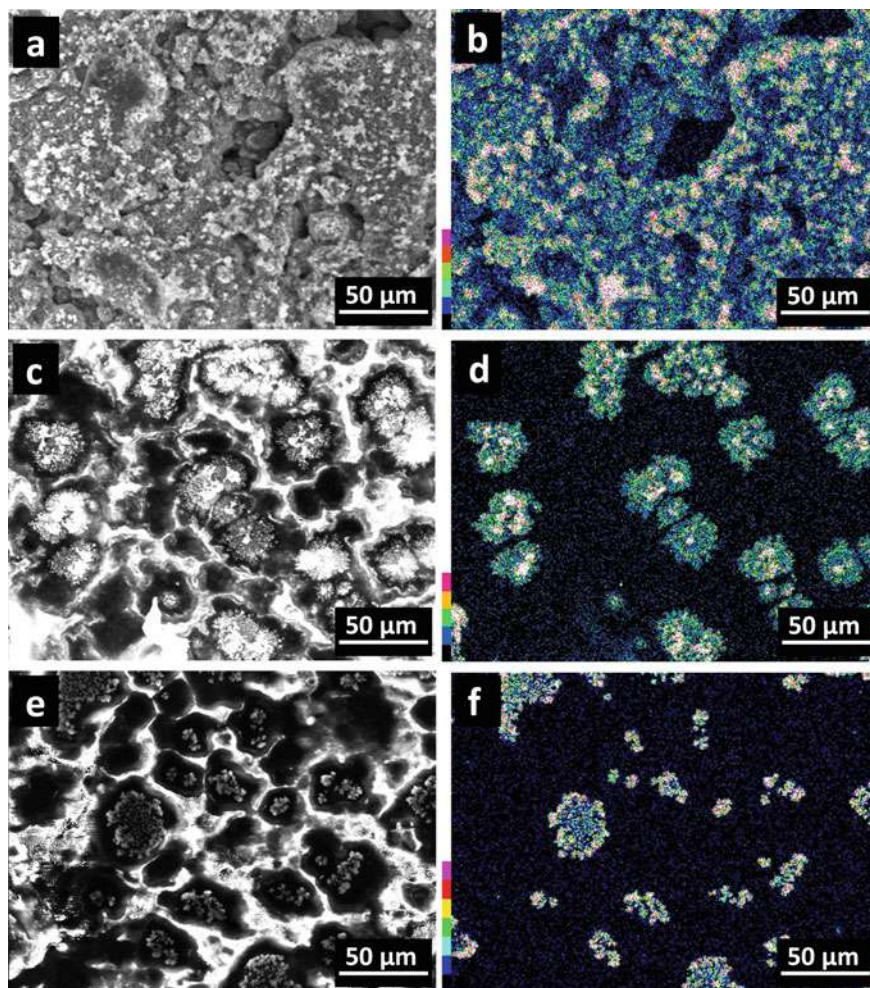
Figure 9 shows the CV of  $\text{K}_3\text{Fe}(\text{CN})_6$  at BDDP-printed electrodes prepared using BDDP inks with PES/BDDP ratios of 0.3, 0.5, 1.0, 1.5, and 2.0 [14]. When the PES/BDDP ratio was 0.3, the CV behavior was typical of a planar electrode showing a symmetrical redox peak pair. As the PES/BDDP ratio was increased, the peak separation of the redox peak pair increased, the peak current density decreased, and the asymmetry between anodic and cathodic peak currents became more pronounced. When the PES/BDDP ratio was 2.0, almost no anodic current was observed with a sigmoidal cathodic peak, which is a typical behavior of a microelectrode. This transition in the CV behavior was considered to be based on the transition of the type of diffusion layer: when the PES/BDDP ratio was small (0.3–0.5) and a linear diffusion layer was formed on the electrode surface as a planar electrode. However, as the PES/BDDP ratio increased, partially overlapped (PES/BDDP ratio of 1.0–1.5) and isolated hemispherical diffusion layers (PES/BDDP ratio of 2.0) were formed (Fig. 10) [14]. Figure 11 shows SEM and copper elemental map images obtained by energy-dispersive X-ray spectroscopy of the surface of BDDP-printed electrodes with PES/BDDP ratios of 0.5, 1.5, and 2.0 after the electrodeposition of copper from copper sulfate solution [14]. When the PES/BDDP ratio was 1.5 or 2.0, it was confirmed that the conductive domains with a size of several tens of micrometers were



**Fig. 10** Schematic illustration of the diffusion layers on polycrystalline BDD thin-film and BDDP-printed electrodes. Reprinted with permission from [14]. Copyright 2016 American Chemical Society

isolated from each other to form a sea-island structure (Fig. 11d, f) [14]. Therefore, it was found that a random microelectrode array based on such a sea-island structure consisting of insulating and conductive domains was formed on BDDP-printed electrode with a large PES/BDDP ratio.

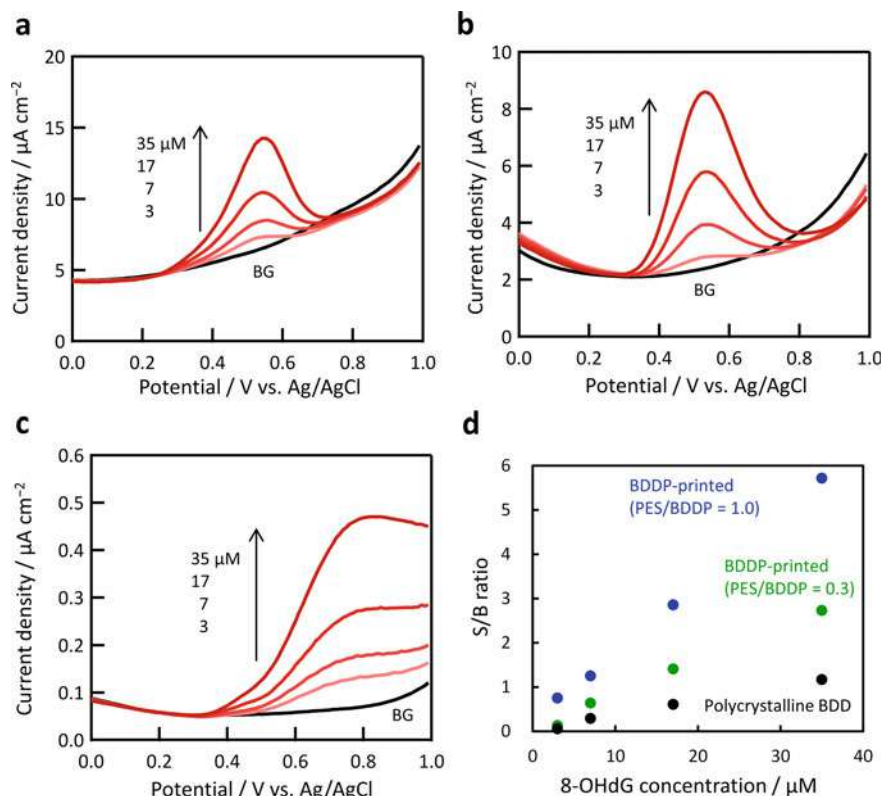
Figure 12a–c shows differential pulse voltammograms (DPVs) in 0.1 M Na<sub>2</sub>SO containing 8-hydroxy-2'-deoxyguanosine (8-OHdG) at various concentrations at polycrystalline BDD thin-film electrode and BDDP-printed electrodes (PES/BDDP ratios of 0.3 and 1.0) [14]. 8-OHdG is known to be an oxidative stress marker generated by oxidative DNA damage [18], and thus oxidative stress can be evaluated, for example, by measuring the concentration of 8-OHdG in urine [19–21]. At polycrystalline BDD thin-film electrode and BDDP-printed electrode with a PES/BDDP ratio of 0.3, a well-shaped anodic peak was observed and the peak current was confirmed to increase linearly with the concentration in the range of 3–35  $\mu$ M. Comparing the S/B ratios of 8-OHdG detection from the DPV data, BDDP-printed electrode was found to show a larger S/B ratio than conventional polycrystalline BDD thin-film electrode (Fig. 12d) [14]. Although the peak current density at BDDP-printed electrode was smaller than that at BDD thin-film electrode, the very small background current at the printed electrode was more effective, resulting in a larger S/B ratio. In the case of BDDP-printed electrode with a PES/BDDP ratio of 1.0, the shape of the DPV anodic peak was distorted, the current value became smaller, and the peak shifted to positive potentials. However, the S/B ratio of 8-OHdG detection was larger than that of BDD thin-film or BDDP-printed electrode with a PES/BDDP of 0.3, and it was found that BDDP-printed electrode with a PES/BDDP of 1.0 enabled the most sensitive electrochemical detection among these electrodes. This was also based on the effect of the very low background current due to the small total surface area of the conductive domain on the electrode. Therefore, it was shown that BDDP-printed electrode with a large PES/BDDP ratio exhibits a random microelectrode array effect and is suitable for application to highly sensitive electrochemical analysis.



**Fig. 11** a, c, e SEM images and b, d, f EDS elemental Cu maps of BDDP-printed electrode surfaces with PES/BDDP ratios of a, b 0.5, c, d 1.5 and e, f 2.0. Reprinted with permission from [14]. Copyright 2016 American Chemical Society

### 3.4 Other Applications

One of the advantages of preparing electrodes using BDDP ink is that it is easy to combine with other compounds and materials. For example, bare BDDP-printed electrodes cannot be used for electrochemical detection of hydrogen peroxide due to large over potential for the anodic reaction. However, CVs at printed electrodes prepared using BDDP ink containing cobalt phthalocyanine (CoPc) as an electro-catalyst showed a clear anodic peak for hydrogen peroxide oxidation [22]. Further,



**Fig. 12** DPV for 0.1 M  $\text{Na}_2\text{SO}_4$  containing various concentrations of 8-OHdG using **a** the polycrystalline BDD thin film electrode, and BDDP-printed electrodes with PES/BDDP ratios of **b** 0.3 and **c** 1.0. Potential sweep rate, pulse height, and pulse width were  $100 \text{ mV s}^{-1}$ , 50 mV and 100 ms, respectively. **d** S/B ratio for 8-OHdG detection as a function of the 8-OHdG concentration. Reprinted with permission from [14]. Copyright 2016 American Chemical Society

a glucose sensor could be fabricated by coating the CoPc/BDDP-printed electrode surface with a glucose oxidase-immobilized layer [22].

In addition to screen printing, the use of a stencil is another and easy way to fabricate electrodes using BDDP ink. Nantaphol et al. developed microfluidic and electrochemical paper-based analytical devices ( $\mu\text{PADs}$  and  $\text{ePADs}$ ) by using BDDP ink as the electrode material [23, 24]. They are inexpensive and disposable devices and can be applied to electrochemical detection of neurotransmitters and heavy metal ions with high sensitivity. In this way, it is possible to manufacture inexpensive analytical devices using various substrates by printing BDDP ink to form electrodes. It is considered that mass production of lightweight, inexpensive, and highly functional printed diamond electrodes becomes possible by optimizing the ink conditions (e.g., binder content, addition of catalyst).

## 4 Application to Cathode Catalyst Support for PEFC

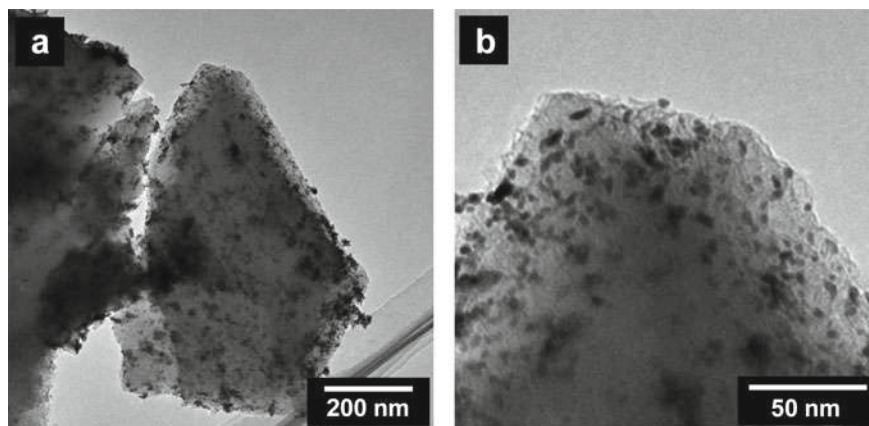
### 4.1 Durable Support for PEFC Cathode Catalyst

PEFC is a promising power generation system that can be used for portable devices and electric vehicles and have the advantages of low operating temperature, high-theoretical energy efficiency, and fast start-up [25, 26]. Platinum-supported carbon (Pt/C) is commonly used as a cathode catalyst of PEFC. One of the major issues of the Pt/C catalyst is corrosion of carbon support that can limit the durability of PEFC. Especially when applied to automobiles, the cathode is exposed to a highly positive potential during frequent start–stop operations, which causes oxidative corrosion of the carbon support [25, 27, 28]. There are several reports on durable cathode catalyst support such as  $\text{SnO}_2$ , Nb– $\text{SnO}_2$  [29],  $\text{TiO}_x$ , TiN [30], and polymer-coated CNTs [31] with excellent oxidation resistance. BDDP is also a candidate for highly durable catalyst support. Spătaru et al. reported the development of a highly active and durable methanol oxidation catalyst using BDDP or surface-modified BDDP as a support [32–34]. In this study, we prepared a Pt/BDDP catalyst with platinum nanoparticles supported on the BDDP surface and evaluated its electrochemical properties toward application to cathode catalyst for PEFC. Catalytic activity for oxygen reduction reaction (ORR) and durability to highly positive potentials were investigated.

### 4.2 Preparation of Pt/BDDP

Pt/BDDP was prepared via the nanocapsule method described in literature [35, 36]. Briefly, platinum acetylacetonate ( $\text{Pt}(\text{acac})_2$ ) was incorporated in reverse micelles consisting of surfactants (oleylamine and oleic acid) formed in organic solvent (diphenyl ether containing 1,2-hexadecanediol). After adding BDDP,  $\text{NaBH}_4$ , a reducing agent, was added into the solution to obtain Pt/BDDP. Figure 13 shows transmission electron microscopy (TEM) images of Pt/BDDP. Platinum nanoparticles were confirmed to have a particle diameter of 2–5 nm and were dispersed densely and supported on the BDDP surface. The particle size estimated from the diffraction peaks of Pt in the X-ray diffraction pattern using the Scherrer equation was 4.5 nm, which was consistent with the result of TEM observation [36]. From the results above, the nanocapsule method was confirmed to be suitable as a method for preparation of Pt/BDDP with relatively small Pt nanoparticles being densely supported on the BDDP surface.





**Fig. 13** TEM images of **a** Pt/BDDP-300. Panel **b** is magnified image of **a**. Reprinted from [36] by The Authors licensed under CC BY 4.0

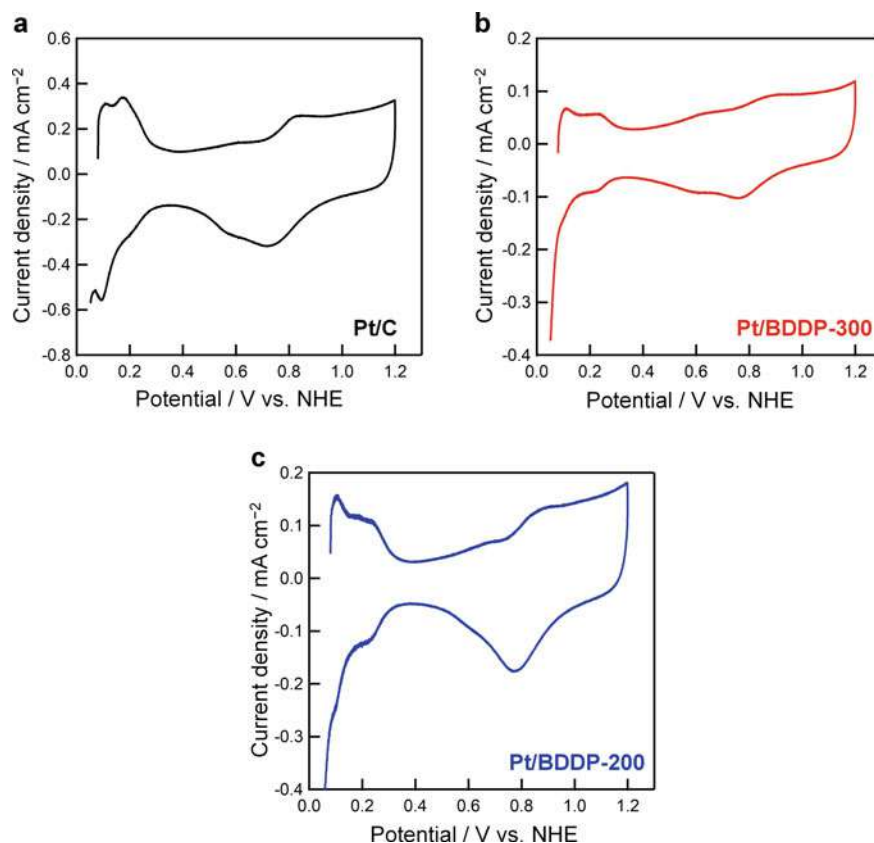
### 4.3 Electrochemical Properties of Pt/BDDP

Figure 14 shows CVs in 1.0 M HClO<sub>4</sub> of Pt/C (commercially available 10 wt% Pt-supported carbon black) and of Pt/BDDP-300 and Pt/BDDP-200 prepared using BDDP with an average particle size of 300 and 200 nm, respectively [36]. Redox peaks typical of platinum were observed in all CVs, confirming that the Pt nanoparticles supported on BDDP were electrochemically active. Figure 15 shows LSVs recorded by rotating disk electrode (RDE) method in O<sub>2</sub>-saturated 1.0 M HClO<sub>4</sub> [36]. At bare BDDP-300, no cathodic current for ORR was observed. In contrast, the cathodic current for ORR was observed at Pt/BDDP-300 with an onset potential similar to Pt/C. From this result, Pt nanoparticles supported on the BDDP surface were confirmed to have a sufficient catalytic activity for ORR.

### 4.4 Durability Test

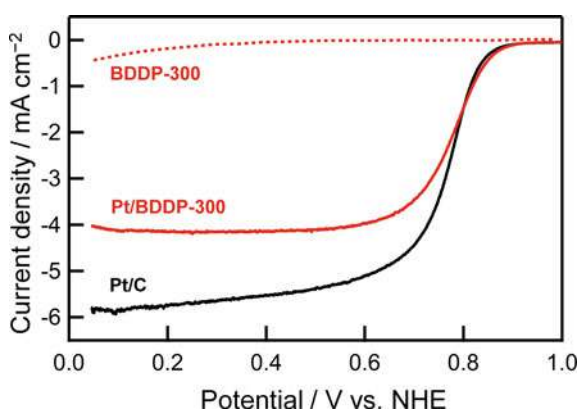
In order to evaluate the durability of Pt/BDDP as a PEFC cathode catalyst, a potential cycle test in a highly positive potential range (from +1.0 to +1.5 V versus NHE at a scan rate of 0.5 V s<sup>-1</sup>) simulating the start-stop operation of a fuel cell was performed in argon-purged 1.0 M HClO<sub>4</sub>. The durability of the catalyst was evaluated using electrochemically active surface area (ECA). ECA was calculated from the following equation using the charge amount  $Q_H$  (in microcoulombs) of the reductive adsorption peak of H<sub>2</sub> (typically ca. +0.1 to +0.3 V vs. NHE) of CV in 1.0 M HClO<sub>4</sub>, weight of platinum contained in Pt/BDDP  $w_{Pt}$  (in grams), and hydrogen adsorption charge amount on a smooth polycrystalline platinum surface (210  $\mu\text{C cm}^{-2}$ ) [37]:

$$\text{ECA} [\text{m}^2 \text{ g-Pt}^{-1}] = Q_H / (210 \times 10^4 \mu\text{C m}^{-2} \times w_{Pt}).$$

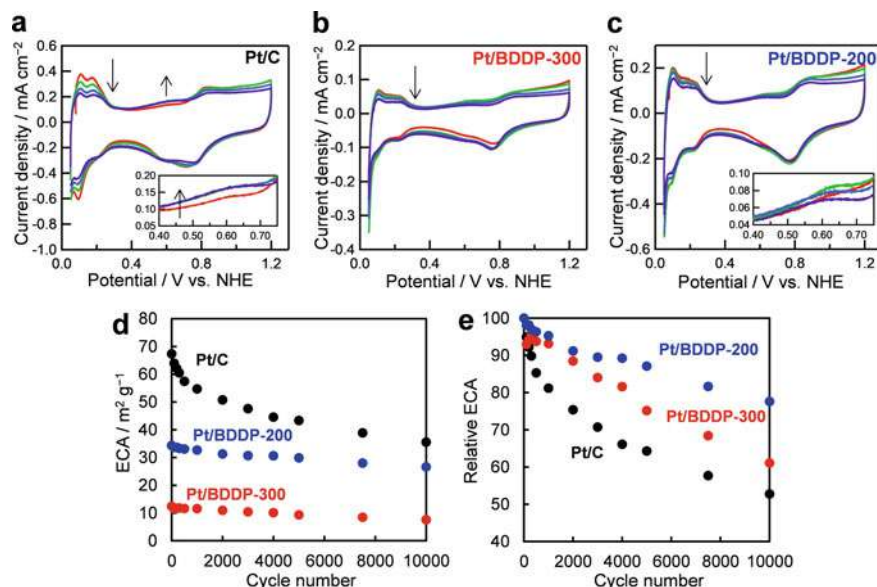


**Fig. 14** CV in 1.0 M HClO<sub>4</sub> at **a** Pt/C, **b** Pt/BDDP-300, and **c** Pt/BDDP-200 electrodes. Potential sweep rate was 30 mV s<sup>-1</sup>. Reprinted from [36] by The Authors licensed under CC BY 4.0

**Fig. 15** LSV in O<sub>2</sub>-saturated 1.0 M HClO<sub>4</sub> at BDDP-300, Pt/BDDP-300, and Pt/C electrodes recorded by the RDE method. Rotation speed was 1,000 rpm. Potential sweep rate was 10 mV s<sup>-1</sup>. Reprinted from [36] by The Authors licensed under CC BY 4.0







**Fig. 16** CV in 1.0 M HClO<sub>4</sub> at **a** Pt/C, **b** Pt/BDDP-300, and **c** Pt/BDDP-200 electrodes before and after the potential cycle test (1,000, 5,000, and 10,000 cycles). Arrows indicate this order of the curves. Potential sweep rate was 30 mV s<sup>-1</sup>. Insets indicate the magnified curves of the anodic scan. **d** ECA and **e** normalized ECA evaluated from the CV as a function of potential cycle test cycle number. Reprinted from [36] by The Authors licensed under CC BY 4.0

Figure 16a–c shows the CVs measured before and after 1,000, 5,000, and 10,000 cycles of the test [36]. In the CV of Pt/C, the current density of the redox peak derived from the platinum surface (+0.1 to +0.3 V vs. NHE) decreased with increasing cycle number. It was also found that the current density of the redox peak possibly for quinone/hydroquinone group on the carbon surface at +0.6 V versus NHE increased as the potential cycle number increased. This suggested that the potential cycles at a highly positive potential range caused corrosion of the carbon support and desorption of platinum from the surface. On the other hand, no change in the CV shape for Pt/BDDP due to such a change in the surface of the support was observed, suggesting sufficient stability of BDDP to application of highly positive potentials. Focusing on the change in ECA with respect to the potential cycle, Pt/C tended to decrease rapidly by 1,000 cycles and then gradually (Fig. 16d, e) [36]. On the other hand, in the case of Pt/BDDP, there was no such rapid decrease in ECA at the initial stage. Comparing ECA after 10,000 cycles, the ECA at Pt/C decreased to 53% of the initial ECA, while that at Pt/BDDP-300 and at Pt/BDDP-200 was 61% and 78%, respectively. The initial deterioration of ECA at Pt/C was considered caused by the aggregation and desorption of Pt particles due to carbon corrosion [38]. On the other hand, corrosion of the BDDP should hardly occur. The decrease in ECA was considered to be due to aggregation of platinum particles on the BDDP surface during the test. The platinum particles on the BDDP surface have basically the same activity as those on the carbon

support, and it was found that BDDP functions as a support with excellent corrosion resistance. Therefore, if platinum can be supported more strongly on the BDDP surface, a more durable cathode catalyst is expected to be obtained for improvement in PEFC durability.

## 5 Conclusions

The fabrication of BDDP, a conductive diamond powder, and its applications including screen-printed electrodes and PEFC cathode catalysts were described. BDDP is an electrode material that basically exhibits the basic characteristics typical of diamond electrodes (e.g., wide potential window, low background current, excellent stability). Thus, BDDP can replace and exceed conventional carbonaceous powder as a conductive powder material in some situations. BDDP is a basic material that can be obtained with an arbitrary particle size to some extent and can be used widely in the fields of electrochemical sensors, electrolysis, and electrochemical energy devices (e.g., fuel cells, electrochemical capacitor), by making it into paste or ink to form electrodes and by combining it with other materials for functionalization. It is also possible to manufacture electrodes and devices with BDDP using lightweight, inexpensive, and flexible substrates like resin films and papers. Development of mass production of high-quality BDDP should enable its practical use in a wide range of electrochemical application fields.

**Acknowledgements** The author appreciates the support of this work by KAKENHI (No. 26410246) grants from the Japan Society for the Promotion of Sciences (JSPS), by Tokyo Ohka Foundation for the Promotion of Science and Technology, and by the Joint Usage/Research Program of the Photocatalysis International Research Center, Research Institute for Science and Technology, Tokyo University of Science.

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# Electrogenerated Chemiluminescence at Diamond Electrode



Irkham, Andrea Fiorani, and Yasuaki Einaga

**Abstract** Electrogenerated chemiluminescence (also known as *electrochemiluminescence* and abbreviated ECL) is a complex phenomenon of luminescence triggered by electrochemical reactions where the heterogeneous electron transfer, then ECL as consequence, is affected by the electrode materials which is crucial in the signal development and intensity. Among electrode materials, doped diamond electrodes are still underdeveloped for ECL application especially compared to the more common noble metals and other carbon-based. After a brief and general introduction on electrochemiluminescence, this chapter will focus on several studies and developments of doped diamond electrodes by taking advantage on its own unique properties as the electrode for ECL.

## 1 Introduction

Electrochemiluminescence is the process of photons emission from a molecular specie (the luminophore) that undergo homogenous electron transfer (ET) in solution, which is triggered by a heterogeneous electrochemical reaction [1, 2]. The development of ECL started in the mid-1960s with the pioneering works of Hercules [3], Chandross [4] and Bard [5].

Generally, the ECL process starts with two species, an electron donor and an electron acceptor, that are produced at the surface of electrode which later undergo

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a homogeneous ET to generate the excited state. The formation of the excited state instead of the fundamental state has been rationalized by Marcus theory of ET [1, 2, 6]. Depending on the generation pathways, it is possible to recognize two types of ECL: annihilation and coreactant mechanisms.

In annihilation ECL, the luminophore is either oxidized and reduced by a transient electrochemical technique or by two separate electrodes in close proximity. When the two radicals encounter in the diffusion layer, a homogeneous ET results in the formation of the excited state. Although the approach is generally simple, only the luminophore is needed, annihilation ECL requires a high energy to generate both radicals (in the range of 2–3 eV), which is usually difficult to achieve in an aqueous solution due to the narrow potential window of water [7].

On the other hand, in coreactant ECL a sacrificial molecule (coreactant) is used in combination with the luminophore. Subsequent to its oxidation or reduction, the coreactant undergoes a chemical decomposition to form a highly reducing or oxidizing intermediate. The ET between this coreactant radical intermediate and the luminophore, also in its oxidized or reduced form, leads to the excited state of the luminophore. Consequently, the coreactant ECL emission can be achieved by a single potential step (either oxidation or reduction) and within the limited potential window of aqueous solutions which paved the way for analytical applications.

Through the years, ECL developed into a powerful transduction technique for analytical applications, mainly but not limited to important biological targets [8–10], and recently to imaging of cells and nanomaterials [11–14].

Some features of ECL include (i) an excellent signal-to-noise ratio with typical detection limit of pM; (ii) broad dynamic range of more than 6 order magnitude; (iii) rapid measurement; small working volume (tens of  $\mu\text{L}$ ), which could be achieved thanks to the combination of electrochemical stimulus and luminescent response.

Since ECL is primarily triggered by a heterogeneous electron transfer, electrode materials are crucial in the signal development and intensity [15–17]. Among electrode materials, doped diamond electrodes are still underdeveloped for ECL applications especially compared to the more common noble metals (i.e., Pt, Au) and other carbon-based electrodes such as glassy carbon (GC), carbon nanotubes or graphene [18]. The fact that doped diamond electrode, typically boron-doped diamond (BDD), has several advantages in the aqueous solution compared to the overmentioned electrode (wide potential window, low background current, high stability and durability) should make BDD also a suitable candidate for ECL applications. In this chapter, we will focus on several studies and development of the BDD electrode which take advantage of its own unique properties.

The research on ECL at diamond electrodes deals mainly with the coreactant mechanism, which is categorized in “oxidative-reduction” and “reductive-oxidation” ECL.

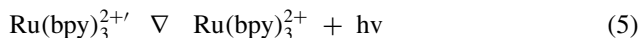
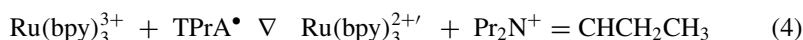
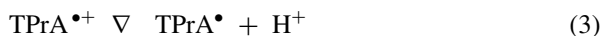
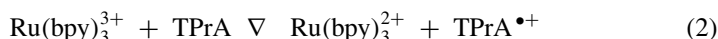


## 2 Oxidative-Reduction ECL

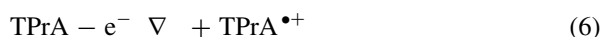
Generally, in the oxidative-reduction ECL, the light is generated by oxidation in a single potential step or sweep. The mechanism starts with both luminophore and coreactant oxidation. The oxidized form of the coreactant then generates a strong reducing radical via a chemical step (e.g., bond cleavage, deprotonation). An electron transfer between this radical and the oxidized luminophore leads to ECL. The most investigated luminophore and coreactant couple for oxidative-reduction ECL, including at diamond electrodes, is Ru(bpy)<sub>3</sub><sup>2+</sup> and tri-*n*-propylamine (TPrA) [19–21]. In fact, it is the only couple able to give ECL without Ru(bpy)<sub>3</sub><sup>2+</sup> oxidation, but only TPrA oxidation [22–24], and the only couple that is used in commercial ECL applications. Other coreactant such as oxalate [25] and hydroxyl radicals [26] have also been reported for oxidative-reduction ECL at diamond electrodes.

### 2.1 TPrA Coreactant System

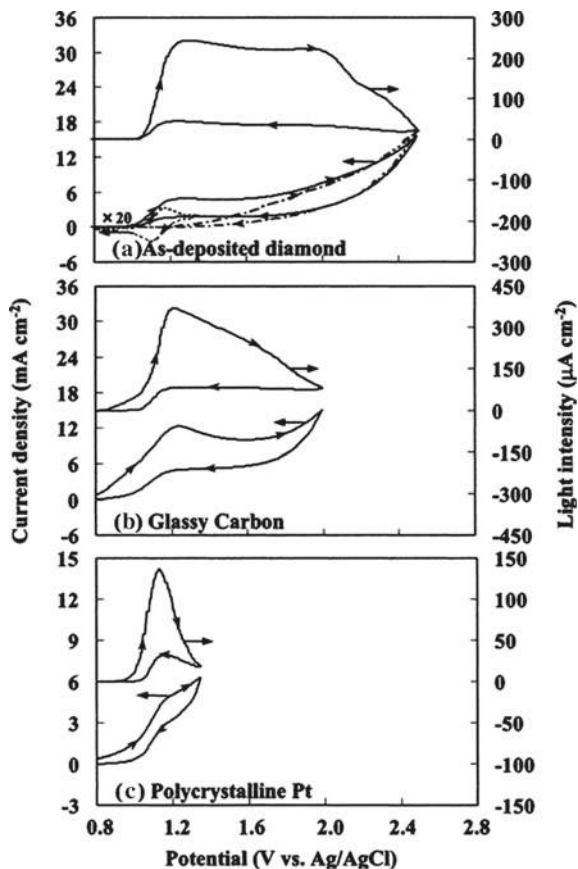
The ECL of TPrA coreactant coupled with Ru(bpy)<sub>3</sub><sup>2+</sup> on BDD was compared with the more common Pt and GC electrodes [20]. Figure 1 shows the electrochemical and ECL behavior by cyclic voltammetry of as-deposited boron-doped diamond, GC and Pt electrodes. At diamond electrode, ECL starts around 1.0 V with three ECL waves at 1.30 V, 1.89 and 2.33 V. The first ECL peak corresponds to the potential of Ru(bpy)<sub>3</sub><sup>2+</sup> oxidation, following the catalytic route mechanism of Eqs. 1–5.



The second ECL peak is related with direct oxidation of TPrA at the BDD electrode (Eq. 6), in fact the second voltammetric wave is obtained at ca. 1.8 V, the same potential as the second ECL peak, following the mechanism of Eqs. 1, 6, 3–5.



**Fig. 1** Cyclic voltammograms and ECL curves at **a** as-deposited boron-doped diamond, **b** glassy carbon, and **c** polycrystalline Pt electrodes in 200 mM phosphate buffer solution containing 300  $\mu\text{M}$   $\text{Ru}(\text{bpy})_3^{2+}$  and 100 mM TPrA. The dotted line is without TPrA, the dot-dashed line is without  $\text{Ru}(\text{bpy})_3^{2+}$ . Reprinted (Adapted or Reprinted in part) with permission from [20]. Copyright 2003 American Chemical Society



The higher overpotential, compared to GC, is due to the fact that redox species with inner sphere electron transfer are oxidized at relatively higher potential on diamond electrodes (as in the case of oxygen evolution from water oxidation).

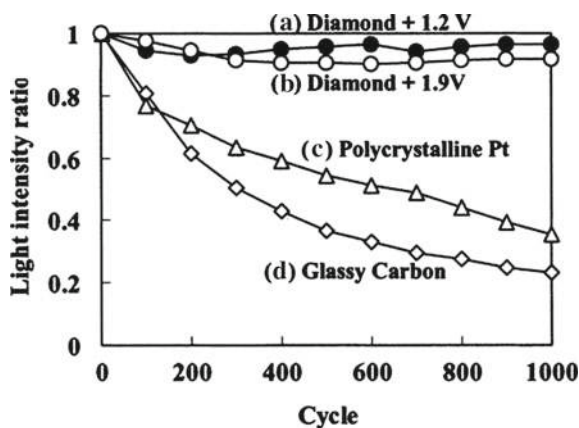
For this reason, it is believed that the mechanism with direct oxidation of TPrA plays a small role in the first ECL peak.

The third ECL shoulder is related to the direct oxidation of the dealkylation products of TPrA, since secondary and primary amines are supposed to undergo oxidation at higher potential than a tertiary amine. The mechanism would be similar to that described in Eqs. 1, 6, 3–5. Instead of TPrA, the secondary and primary amines will act as the coreactant.

The long-term behavior, of ECL for the  $\text{Ru}(\text{bpy})_3^{2+}$ /TPrA system, was compared for BDD, GC, and Pt electrodes by cycling up to 1000 times, to evaluate the relative stability (Fig. 2).

BDD electrode shows the highest persistence of ECL intensity, nearly constant at 90%. In contrast, ECL at GC decreases dramatically, reaching 23% of the initial value, while at Pt, the relative ECL intensity decreases linearly with the cycle number

**Fig. 2** Correlation of the relative ECL peak intensity and the number of the potential cycling. The ECL peak of polycrystalline Pt (C) and for the GC (D) electrodes is at 1.2 V versus Ag/AgCl. Reprinted (Adapted or Reprinted in part) with permission from [20]. Copyright 2003 American Chemical Society



reaching 35% at the end of the cycling. The low adsorption properties of BDD and resistance to fouling are most likely the reasons for this high stability compared to GC and Pt [27].

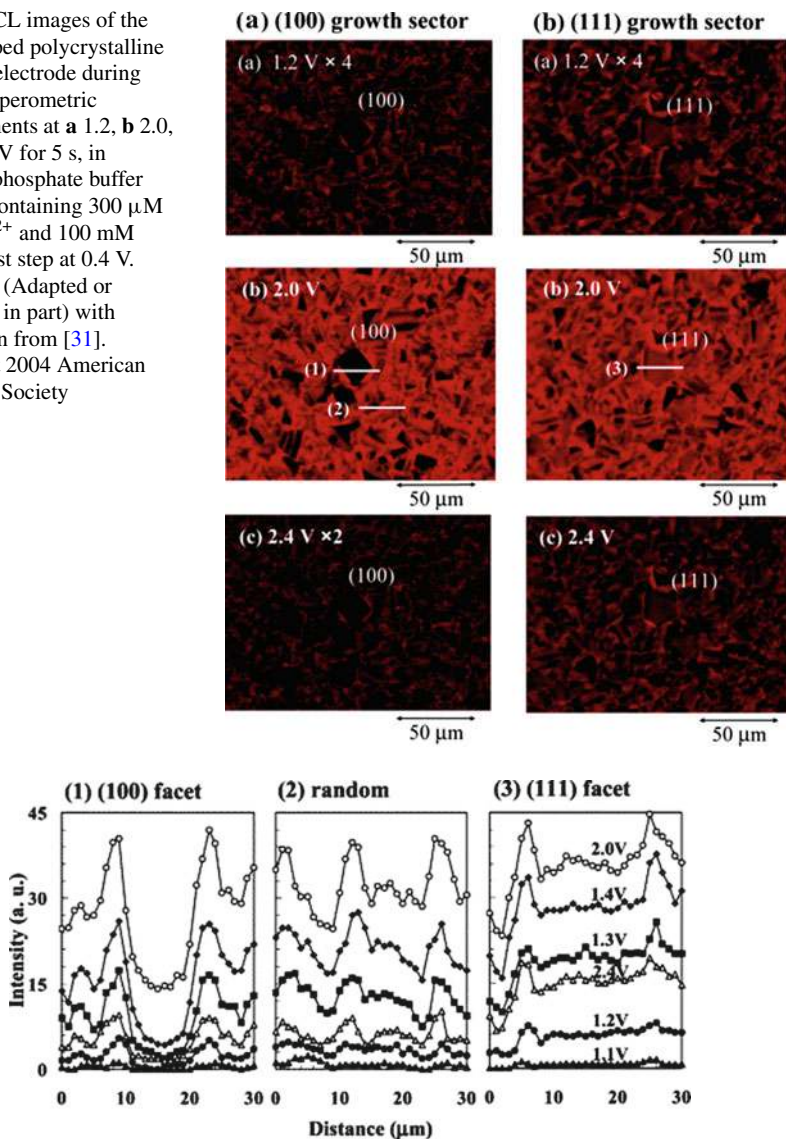
ECL, from electroanalytical technique can be turned to a powerful imaging technique if coupled with optical microscopy [11–13, 21, 23, 28–30]. When applied on BDD, ECL imaging enables to visualize the heterogeneity in electrochemical activity of the polycrystalline diamond surface [31].

Figure 3 shows the imaging of ECL emission for (100), (111) and randomly oriented growth sectors of BDD, with the plotted ECL intensity lines for the three different zone represented in Fig. 4.

The ECL emission at (100)-oriented microcrystallite shows the lowest intensity, which is approximately 50% of that for (111), while the ECL intensity for the (111) growth sector is similar to the ECL intensity at randomly oriented BDD. The ECL intensity for the (100) growth sector increases linearly with increasing potential, and it requires more overpotential than other regions to drive the ECL reaction. This suggests a remarkably lower conductivity at (100)-oriented microcrystallite than that for (111). This results from the microcrystallite-based heterogeneity of boron concentration, since heavily doped polycrystalline diamond contains microcrystals with different boron doping levels, i.e., semiconductor and semi-metallic diamond microcrystals. The microstructural heterogeneity of boron concentration leads to electrochemical reactivity heterogeneity at the heavily doped polycrystalline diamond.

The highest ECL peaks at the edges of the (100) and (111) facet are ascribed to diffusion effects. The ridges of microcrystallite form pointed-edge lines toward the solution and the reactant molecules could be supplied to the ridge regions by semispherical diffusion, enhancing the reaction rate of ECL.

**Fig. 3** ECL images of the boron-doped polycrystalline diamond electrode during chronoamperometric measurements at **a** 1.2, **b** 2.0, and **c** 2.4 V for 5 s, in 200 mM phosphate buffer solution containing 300  $\mu\text{M}$   $\text{Ru}(\text{bpy})_3^{2+}$  and 100 mM TPrA. First step at 0.4 V. Reprinted (Adapted or Reprinted in part) with permission from [31]. Copyright 2004 American Chemical Society



**Fig. 4** ECL intensity as a function of applied potential for three selected regions of the boron-doped polycrystalline diamond surface. The three selected regions are presented in Fig. 3 as horizontal bars. Reprinted (Adapted or Reprinted in part) with permission from [31]. Copyright 2004 American Chemical Society

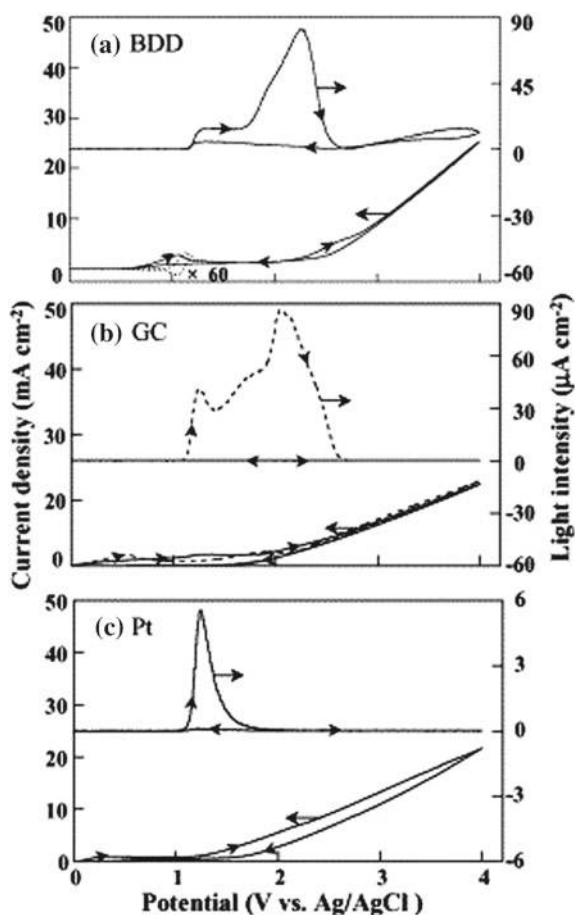
## 2.2 Hydroxyl Radical Coreactant System

The ability of BDD electrodes to carry out the oxidation of organic molecules mediated by the hydroxyl radical ( $\text{OH}\cdot$ ) generated upon water oxidation, was explored for novel ECL coreactant reactions [26]. In this way, it is possible to generate the reducing species by hydrogen abstraction from the coreactant. A class of compounds that can easily form this radical through the hydrogen abstraction are molecules with hydroxyl groups.

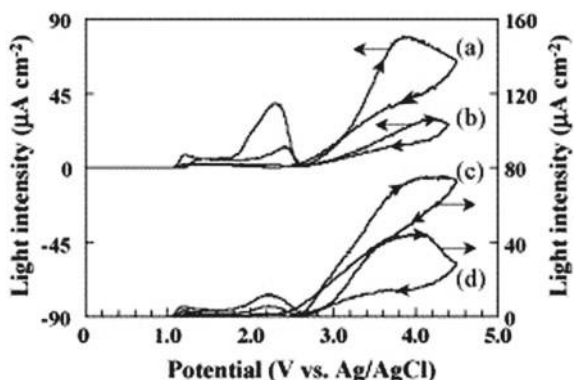
The first studied has been ascorbic acid (AA) and the ECL is reported for BDD, GC and Pt (Fig. 5). All three electrode show the first peak at 1.25 V, BDD and GC show the second peak at 2.1 V and 2.3 V, respectively, and BDD only shows a third peak at 3.7 V.

The first peak is related to the catalytic mechanism, while the second ECL peak is ascribed to direct oxidation of AA, similar to TPrA [26].

**Fig. 5** Cyclic voltammograms and ECL curves at: **a** BDD; **b** GC; **c** polycrystalline Pt electrodes in 100 mM phosphate buffer solution containing 200  $\mu\text{M}$   $\text{Ru}(\text{bpy})_3^{2+}$  and 20 mM AA. The dotted line for (a) represents the data in the absence of AA. The dotted line for (b) represents the data of the first cycle and the solid line represents the second cycle. Reprinted from [26], Copyright 2005, with permission from Elsevier

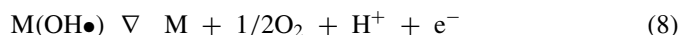


**Fig. 6** Voltage–ECL curves in 100 mM phosphate buffer solution containing 100  $\mu\text{M}$   $\text{Ru}(\text{bpy})_3^{2+}$  and 30 mM coreactant. The coreactants are THF **a**, AA **b**, 2-propanol **c** and 1-propanol **d**. Reprinted from [26], Copyright 2005, with permission from Elsevier



Concerning the third ECL peak, the constant onset potential (approximately 2.6 V) (Fig. 6) suggests the formation of the coreactant radicals by the reaction between intermediates of oxygen evolution and the coreactant itself.

Since this ECL reaction was observed only at BDD electrodes, the products or intermediates involved in this mechanism are hydroxyl radicals that are well known to be easily generated during oxygen evolution reaction at the BDD surface [32]. The initial step of water discharge is the formation of adsorbed hydroxyl radicals on the electrode surface ( $\text{M}(\text{OH}\bullet)$ ), which leads to  $\text{O}_2$  evolution:

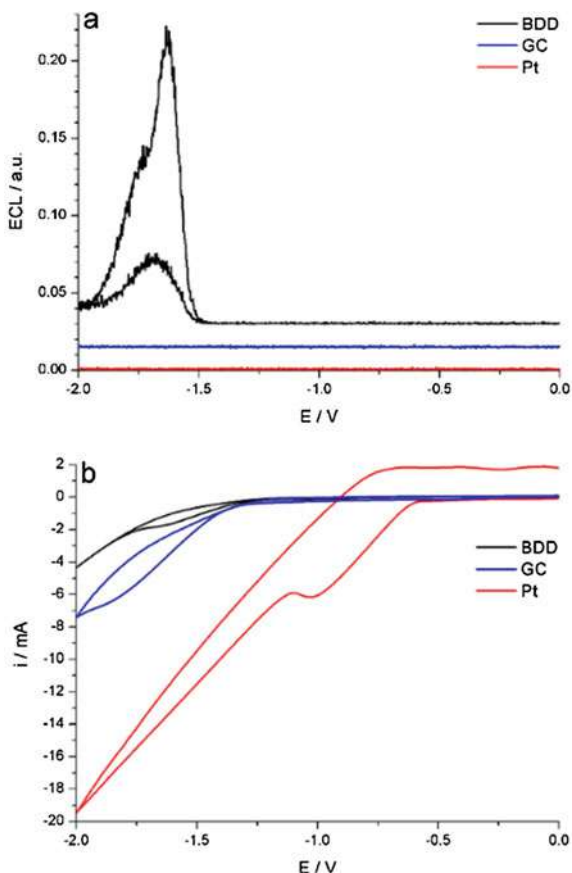


### 3 Reductive-Oxidation ECL

In the reductive-oxidation ECL, the light is generated by a reduction reaction upon the coreactant and luminophore. For analytical applications, this coreactant pathway is less popular because it can be easily hampered by hydrogen evolution reaction. In this context, the used of BDD electrodes could give a great advantage to the system since it has a high overpotential for hydrogen evolution in aqueous solutions. A coreactant used for generating ECL on BDD electrode by this pathway is peroxydisulfate ( $\text{S}_2\text{O}_8^{2-}$ ) [33].

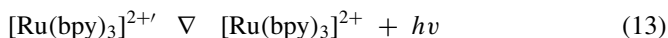
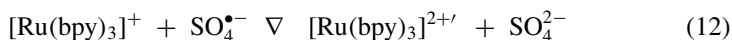
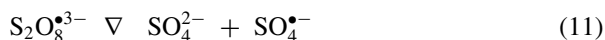
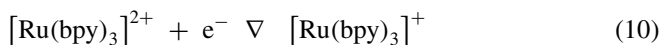
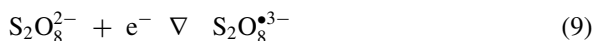
First the ECL of  $\text{Ru}(\text{bpy})_3^{2+}$ /persulfate at BDD electrode was investigated by using CV and compared with Pt and GC electrode (Fig. 7). In contrast with Pt and GC, ECL signal at BDD electrode was observed with high intensity. This could be achieved due to the higher overpotential for hydrogen evolution (Fig. 7b), leading to

**Fig. 7** Comparison of ECL (a) and CV (b) with BDD (black), GC (blue) and Pt (red) electrodes for 10  $\mu\text{M}$   $\text{Ru}(\text{bpy})_3^{2+}$  and 100  $\mu\text{M}$   $\text{S}_2\text{O}_8^{2-}$  in 0.2 M PB. The scan rate is 100 mV/s and the pH 6.8. In (a), the curves are shifted for clarity. Reprinted (Adapted or Reprinted in part) with permission from [33]. Copyright 2018 American Chemical Society

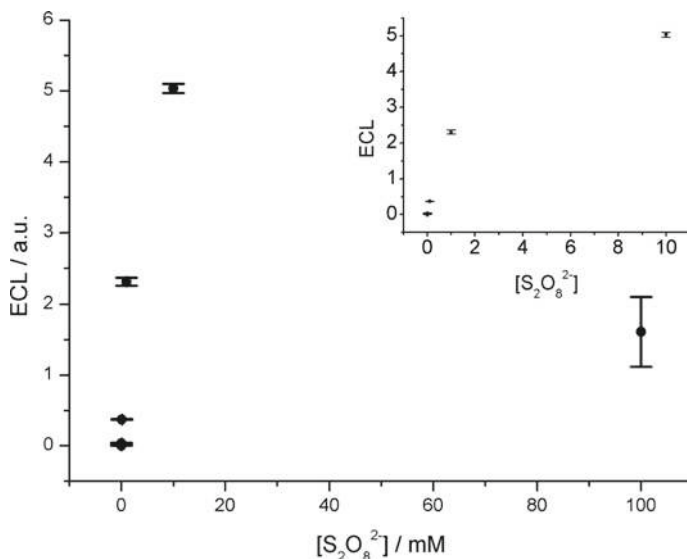


higher reduction rate of both  $\text{Ru}(\text{bpy})_3^{2+}$  and  $\text{S}_2\text{O}_8^{2-}$ , and at the same time reducing the hindrance to the light emission.

The mechanism of ECL at BDD starting at -1.5 V is described as follow:







**Fig. 8** Integrated ECL emission with a BDD electrode as a function of  $\text{S}_2\text{O}_8^{2-}$  concentration, from 1  $\mu\text{M}$  to 100 mM, by cyclic voltammetry. Inset: magnification of ECL response from 1  $\mu\text{M}$  to 10 mM. Solution: 10  $\mu\text{M}$   $\text{Ru}(\text{bpy})_3^{2+}$  in 200 mM PB; scan rate: 100 mV/s; pH: 6.8. Reprinted (Adapted or Reprinted in part) with permission from [33]. Copyright 2018 American Chemical Society

The ECL response as a function of the  $\text{S}_2\text{O}_8^{2-}$  concentration in the range from 1  $\mu\text{M}$  to 100 mM was investigated (Fig. 8). It is found that the maximum ECL emission is at 10 mM of  $\text{S}_2\text{O}_8^{2-}$  while at 100 mM the emission is much lower. This behavior is ascribed to the quenching of  $\text{S}_2\text{O}_8^{2-}$  on the excited state of  $\text{Ru}(\text{bpy})_3^{2+}$  (Eq. 14) [34]. In this case, the ECL emission for  $\text{Ru}(\text{bpy})_3^{2+}/\text{S}_2\text{O}_8^{2-}$  ratio was found to be 1/1000 at BDD electrode which is lower compared to the data reported by White et al. [8] (at Pt electrode, water/acetonitrile solution) with a value of 1/20 and those from Yamazaki-Nishida et al. [35] which gave value of 1/200. This increase in the  $\text{S}_2\text{O}_8^{2-}$  concentration range without the interference by the oxidative quenching would enable a wider range of  $\text{Ru}(\text{bpy})_3^{2+}$  detection.



#### 4 In-Situ Generation of Coreactant ECL

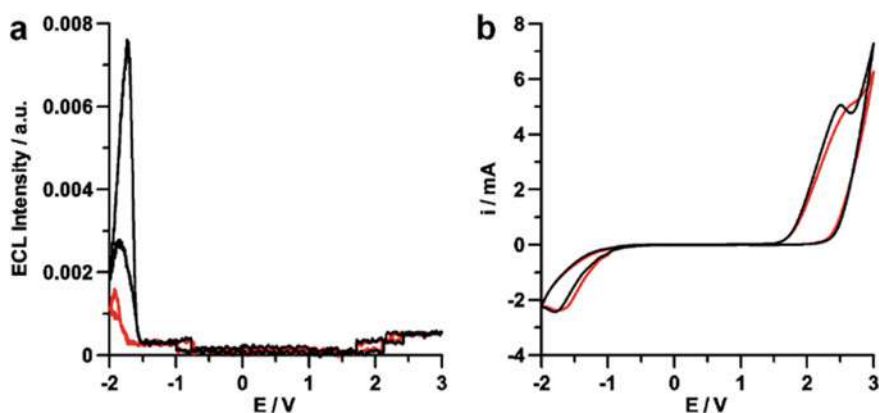
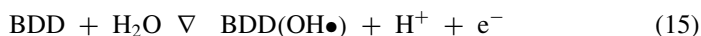
Although the research is focused on coreactant approach for bioanalytical applications, the high concentration of coreactant might shows some disadvantages such

as toxicity and it may interfere with the target bio-analyte [36]. In order to maintain a more general analytical applicability, the in-situ generation of coreactant starting from a relatively unreactive precursor would however represent an alternative approach capable to keep the highly sensitive technique and, at the same time, to circumvent most of the aforementioned drawbacks [37]. In such a context, peroxide compound, i.e.,  $\text{S}_2\text{O}_8^{2-}$  and  $\text{H}_2\text{O}_2$ , offers some advantages with respect to amines due to the possibility to be prepared at will and in-situ, by applying a suitably positive potential in an aqueous solution.

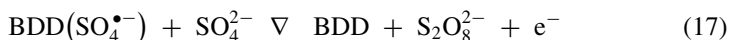
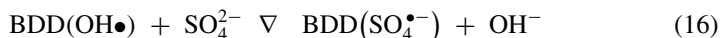
BDD is a suitable electrode candidate for this strategy due to its ability to efficiently generate strong oxidants upon oxidation of inorganic salts such as sulfate and carbonate [38, 39]. This, combined with the high overpotential for hydrogen evolution reaction, lead to the realization of coreactant-free ECL system in aqueous solutions.

#### 4.1 Sulfate Electrolyte System

Figure 9 shows the ECL-potential and its corresponding CV curves by scanning the potential from 0 V to 3.0 V, followed by a scan to negative potential to -2.0 V in 0.1 M  $\text{Na}_2\text{SO}_4$  solution. The first positive scan was meant to generate  $\text{S}_2\text{O}_8^{2-}$  (via oxidation of sulfate, Eq. 15–17) which later acts as the coreactant for the ruthenium to generate the ECL emission at the negative scan, where both  $\text{S}_2\text{O}_8^{2-}$  and  $\text{Ru}(\text{bpy})_3^{2+}$  reduction take place (Eq. 9–13).

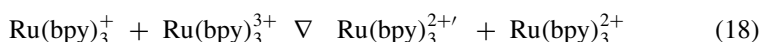


**Fig. 9** Comparison of **a** ECL and **b** CV between 100 mM  $\text{Na}_2\text{SO}_4$  (black) and 100 mM  $\text{KClO}_4$  (red) measurement of 5  $\mu\text{M}$   $\text{Ru}(\text{bpy})_3\text{Cl}_2$  in water solvent. Reprinted (Adapted or Reprinted in part) with permission from [37]. Copyright 2016 American Chemical Society



The ECL emission profile (Fig. 9a, black line) is similar to that obtained in the  $\text{Ru}(\text{bpy})_3^{2+}/\text{S}_2\text{O}_8^{2-}$  system which suggest that the coreactant  $\text{S}_2\text{O}_8^{2-}$  is generate effectively during the first scan to the positive potential.

Interestingly, a weak emission was also obtained in the  $\text{NaClO}_4$  solution where coreactant should not be generated (Fig. 9a, red line). A possible explanation for such an emission is therefore that ECL generation may also take place according to the annihilation route (Eq. 18), where  $\text{Ru}(\text{bpy})_3^{3+}$  generated in the positive scan (Eq. 1) may react with  $\text{Ru}(\text{bpy})_3^+$  generated in the negative scan (Eq. 10).



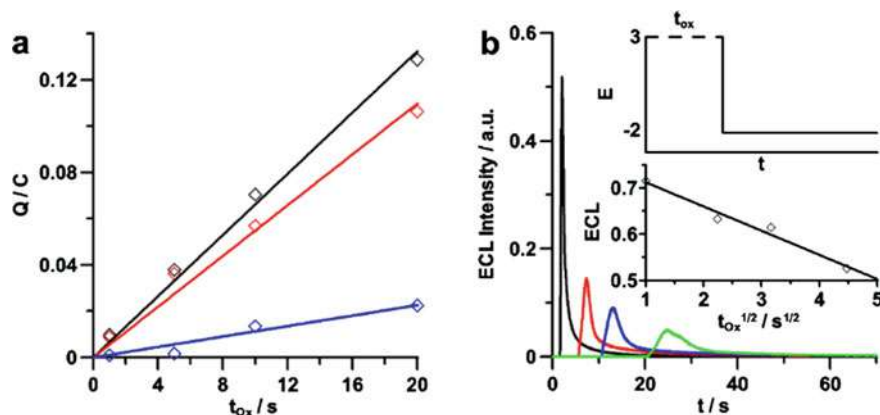
This annihilation mechanism of  $\text{Ru}(\text{bpy})_3^{3+}/\text{Ru}(\text{bpy})_3^+$  is usually unobserved in aqueous media where the prevailing HER prevents formation of the reduced species  $\text{Ru}(\text{bpy})_3^+$  [40], while it would be made possible in the present case by the high overpotential for HER on BDD [27, 41]. Notice that annihilation ECL for aqueous  $\text{Ru}(\text{bpy})_3^{2+}$  solutions was only previously reported in the case of interdigitated carbon microelectrode arrays, with 2  $\mu\text{m}$  width spacing, working in a generation/collection biasing mode [42].

Using chronoamperometry measurement, the oxidation charge at 3.0 V increase linearly with the oxidation time both in the presence and absence of sulfate ion (Fig. 10a). By contrast, the integrated ECL signals (measured during the step at -2.0 V, Fig. 10b) decreases linearly with the square root of oxidation time (Fig. 10b, inset) indicating that the efficiency of overall ECL generation process is limited by diffusion electrogenerated peroxydisulfate toward the bulk of solution.

## 4.2 Carbonate Electrolyte System

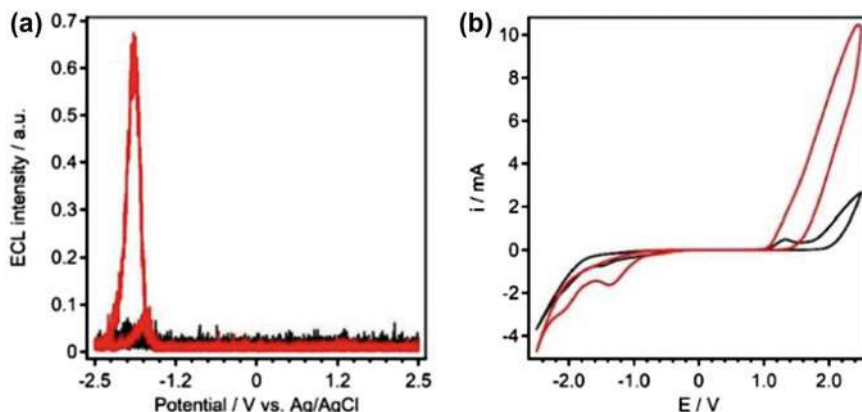
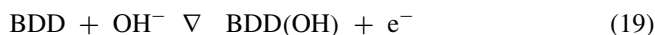
Hydrogen peroxide ( $\text{H}_2\text{O}_2$ ) is a common coreactant used in chemiluminescence, also in ECL. The reductive-oxidation mechanism of  $\text{H}_2\text{O}_2$  coreactant has already been reported for  $\text{Ru}(\text{bpy})_3^{2+}$  [43]. On the other hand, hydrogen peroxide can be conveniently prepared by electro-oxidation of carbonate in aqueous solution at BDD electrode [39]. The strategy for generating the ECL emission is similar to the  $\text{Ru}(\text{bpy})_3^{2+}/\text{sulfate}$  system, except in this time, carbonate is used as the source of the coreactant  $\text{H}_2\text{O}_2$  which requires an hydrolysis reaction to generate the coreactant [44].

A high ECL emission could be observed in 0.1 M  $\text{Na}_2\text{CO}_3$  aqueous solution at a BDD electrode by cyclic voltammetry from 0 V to 2.5 V, followed by a negative scan to -2.5 V, while parallel experiment in  $\text{NaClO}_4$  only gives a weak signal of

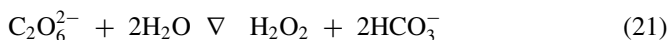


**Fig. 10** **a** Integrated charge at 3.0 V for different oxidation times for 100 mM  $\text{Na}_2\text{SO}_4$  (black), 100 mM  $\text{KClO}_4$  (red), and (blue) their difference. **b** ECL intensity transients measured during chronoamperometric experiments carried out in a  $5 \mu\text{M}$   $\text{Ru}(\text{bpy})_3\text{Cl}_2$  and 100 mM  $\text{Na}_2\text{SO}_4$  aqueous solution; first step from 0 to 3.0 V for  $t_{\text{ox}} = 1, 5, 10$ , or 20 s, followed by a step to  $-2.0$  V for 50 s. Fig. b, inset: (top) potential program used in the chronoamperometric experiments; (bottom) integrated ECL intensity versus square root of time step duration  $t_{\text{ox}}$ . Reprinted (Adapted or Reprinted in part) with permission from [37]. Copyright 2016 American Chemical Society

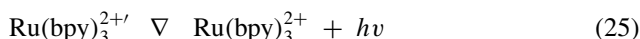
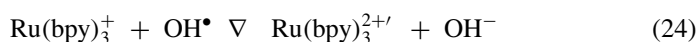
the annihilation ECL of  $\text{Ru}(\text{bpy})_3^{2+}$  (Fig. 11). The first positive scan generated the peroxydicarbonate ( $\text{C}_2\text{O}_6^{2-}$ ) from the oxidation of carbonate, which further reacts with water to produce  $\text{H}_2\text{O}_2$  according to the following mechanism:



**Fig. 11** Comparison between **a** the ECL measurements and **b** the CVs of  $10 \mu\text{M}$   $\text{Ru}(\text{bpy})_3\text{Cl}_2$  in aqueous solutions (pH 11.5) of 100 mM  $\text{Na}_2\text{CO}_3$  (red) and 100 mM  $\text{NaClO}_4$  (black). Reprinted (Adapted or Reprinted in part) with permission from [44]. Copyright 2019 American Chemical Society



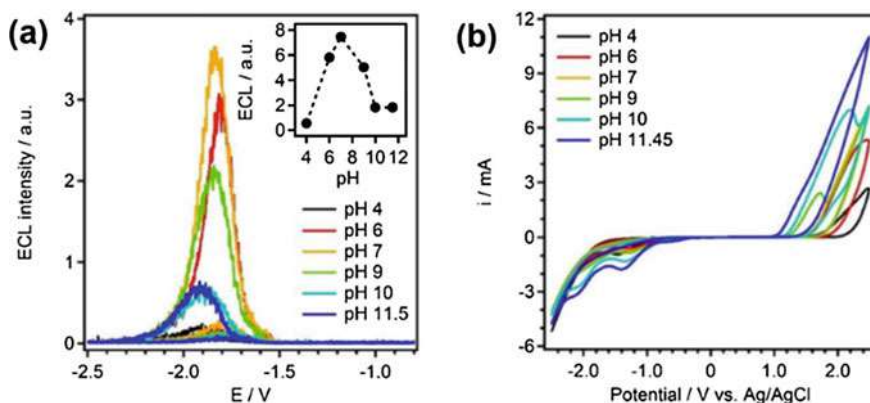
The CV in  $\text{Na}_2\text{CO}_3$  shows a significantly higher anodic current compared to that in  $\text{NaClO}_4$  (Fig. 11b), confirming the oxidation process of the carbonate. For both electrolytes, an onset oxidation potential of 1.1 V was observed, with a peak at 1.3 V in  $\text{NaClO}_4$  solution. This was previously reported to be the oxidation of hydroxide ions at a BDD electrode (Eq. 19) [45], which mediates the oxidation of carbonate (Eq. 20). The second scan, toward negative potentials, generated the ECL after both  $\text{H}_2\text{O}_2$  and  $\text{Ru}(\text{bpy})_3^{2+}$  are reduced, as proposed by Choi and Bard [43], with the following mechanism:



The  $\text{Ru}(\text{bpy})_3^{2+}$ /carbonate ECL system can work at a potential of 1.8 V, which is 500 mV lower than that previously mentioned  $\text{Ru}(\text{bpy})_3^{2+}$ /sulfate system.

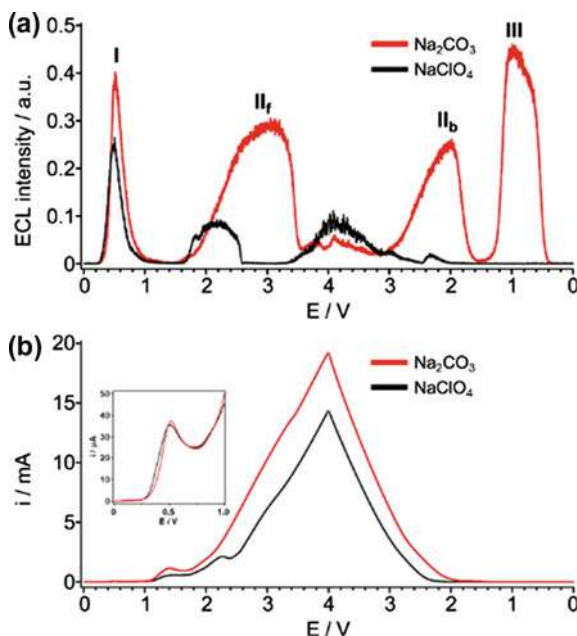
The effect of pH on the ECL signal was investigated using CV measurements (Fig. 12). The oxidation current, ascribed to carbonate, was found to decrease with the decreasing of pH, suggesting less  $\text{C}_2\text{O}_6^{2-}$ , and thus less  $\text{H}_2\text{O}_2$ , was generated. This is due to the effect of pH on the equilibrium of the carbonate species. In the neutral region, the concentration of carbonate is less dominant compared to the bicarbonate, and as the current decreases in the oxidation scan, it means that the carbonate ions is oxidized rather than bicarbonate or carbonic acid. However, the ECL signal increases as the pH decreases from 11.5, reaching a maximum at pH 7, while a further decrease down to pH 4 almost suppresses the ECL completely. In this case, the stability of the hydrogen peroxide generated in the solution seems to be the main factor for the ECL emission, since hydrogen peroxide is more stable when the pH is neutral or acidic [46–48]. In conclusion, the ECL emission peak at pH 7 is due to two trends, the decrease in carbonate oxidation current and the increase in  $\text{H}_2\text{O}_2$  stability.

$\text{H}_2\text{O}_2$  is also a well-known coreactant for luminol which lead to the formation of 3-aminophthalate dianion in its triplet state [49–51]. After undergo triplet-singlet transition, it emits light at a wavelength from 424 to 510 nm, depending on the solvent [52–54]. It means that luminol could also be used in the carbonate system at BDD electrodes. Figure 13 shows this efficient  $\text{H}_2\text{O}_2$  production for carbonate oxidation



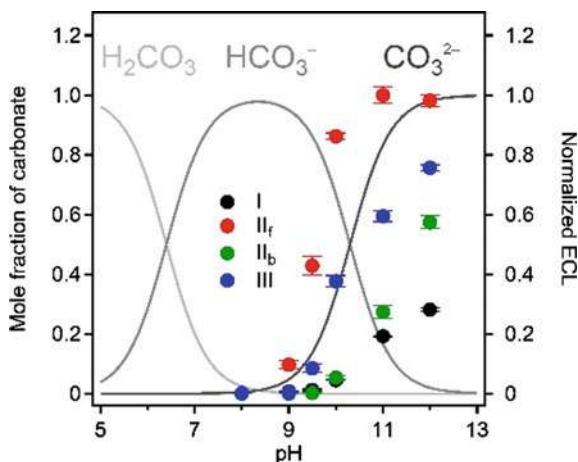
**Fig. 12** **a** ECL intensity and **b** current by CV for 100 mM  $\text{Na}_2\text{CO}_3$  and 10  $\mu\text{M}$   $\text{Ru}(\text{bpy})_3\text{Cl}_2$  with variation of pH. Potential was first swept to 2.5 V then -2.5 V. Inset: Plot of integrated ECL value as a function of pH. Reprinted (Adapted or Reprinted in part) with permission from [44]. Copyright 2019 American Chemical Society

**Fig. 13** **a** ECL intensity and **b** CV measurements of luminol 100  $\mu\text{M}$  in 100 mM  $\text{Na}_2\text{CO}_3$  (red), and in 100 mM  $\text{NaClO}_4$  (black) at pH 12. For clarity, the potentials of forward and backward scans have been plotted consecutively, and peaks are named by roman numerals. Subscripts f and b stand for forward and backward, respectively. Reprinted (Adapted or Reprinted in part) with permission from [55]. Copyright 2020 American Chemical Society



(red trace) compared to water oxidation (black trace) which results in higher ECL emission and different emission signals [55]. From the ECL profiles obtained by CV (Fig. 13a), it is clear that the ECL emission in carbonate solution has (i) a higher intensity compared to water oxidation in  $\text{NaClO}_4$  and (ii) one more peak, namely

**Fig. 14** Mole fraction of carbonate (left y-axis) and integral of ECL emission (right y-axis) as a function of pH. ECL by CV at 100  $\text{mVs}^{-1}$ , 100  $\mu\text{M}$  luminol in 100 mM  $\text{Na}_2\text{CO}_3$ . All ECL signals are normalized to the maximum of peak  $\text{II}_f$ . Reprinted (Adapted or Reprinted in part) with permission from [55]. Copyright 2020 American Chemical Society



peak III appeared. This highlights the role of carbonate in the  $\text{H}_2\text{O}_2$  production at BDD electrode compared to direct water oxidation.

The first peak (I) starting at 0.3 V and reaching the maximum at 0.5 V is from direct luminol oxidation to 3-aminophthalate (Fig. 13b, inset), where the  $\text{H}_2\text{O}_2$  is not involved. The second peak ( $\text{II}_f$ ) starting at 1.6 V is affected by the electrolyte, as the oxidation of carbonate generates the coreactant  $\text{H}_2\text{O}_2$  according to Eq. 19–21. At a basic pH [51], the  $\text{H}_2\text{O}_2$  is partially deprotonated ( $\text{pK}_a = 11.62$ ) and can be oxidized to superoxide radical anion. This superoxide then further react with oxidized luminol to form 3-aminophthalate and lead to ECL emission. The decrease in peak  $\text{II}_f$  after 3.5 V is induced by water oxidation that hampering the light emission. The effect is reversed in the backward scan where the peak  $\text{II}_b$  starts to appear.

The last ECL peak (III) starts at 1.4 V until 0.4 V, after the  $\text{II}_b$  is completely turned off. Form the potential range of emission this has been associated to oxidized luminol and  $\text{H}_2\text{O}_2$  [56, 57].

The pH effect on ECL revealed the role of carbonate on the ECL of luminol (Fig. 14). A clear correlation exists for the carbonate concentration and peak  $\text{II}_f$ . Since this peak is obtained directly by carbonate oxidation, the ECL emission reflects promptly the increase of its concentration. Furthermore, this reveals that electrochemical oxidation in carbonated solutions is upon the carbonate instead of the bicarbonate or both anions.

## 5 Summary

The electrogenerated chemiluminescence at doped diamond electrodes has shown how this material is capable to open new perspective in ECL applications as a result of its unique characteristics. First, it could improve the long established ECL system



of  $\text{Ru}(\text{bpy})_3^{2+}/\text{S}_2\text{O}_8^{2-}$ , due to its high stability and wide potential window, however, more investigations are needed for the  $\text{Ru}(\text{bpy})_3^{2+}/\text{TPrA}$  system. Second, it also offers a novel in-situ generation of coreactant approach, i.e., in sulfate or carbonate electrolytes, which could possibly find a viable application for bioanalytical application thanks to the unique ability to generate a strong oxidant from stable inorganic salt. This topic is still under development, and it can offer plenty of new applications to be investigated and new mechanisms to be uncovered.

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# Photoelectrocatalytic and Photocatalytic Reduction Using Diamond



Kazuya Nakata and Chiaki Terashima

**Abstract** Diamond has excellent physical and chemical stability and exhibits unique electrochemical properties. This chapter focuses on the behavior of diamonds as a photoelectrochemical electrode and photocatalyst under light irradiation. Since diamond has a large band gap, long wavelength light such as visible light cannot excite electrons from valence band to the conduction band. However, when irradiated with short wavelength ultraviolet light, a photocurrent based on electron excitation can be observed. Thus, diamond can act as a photoelectrochemical electrode. And also, the generated excited electrons can also give an opportunity as a photocatalyst under no bias. Since the conduction band of diamond is large negative, especially in the case of hydrogen-terminated diamond, it shows a negative electron affinity under light irradiation, and it generates dissolved electrons in a solution, which should reduce inactive molecules to make useful substances. In this chapter, we introduce reduction reactions utilizing negative electron affinity and also describe the effect of modification of the diamond surface on the reduction reaction, and finally, hybridization with nanomaterials that enhances the light absorption efficiency of diamond.

**Keywords** Photoelectrocatalysis · Photocatalysis · Ammonia synthesis · CO<sub>2</sub> reduction

## 1 Introduction

Diamond is a material that has outstanding properties such as high hardness, thermal conductivity, and chemical stability. Diamond is originally an insulator, but it changes to semiconductor when doped impurities. For example, it is known that when boron

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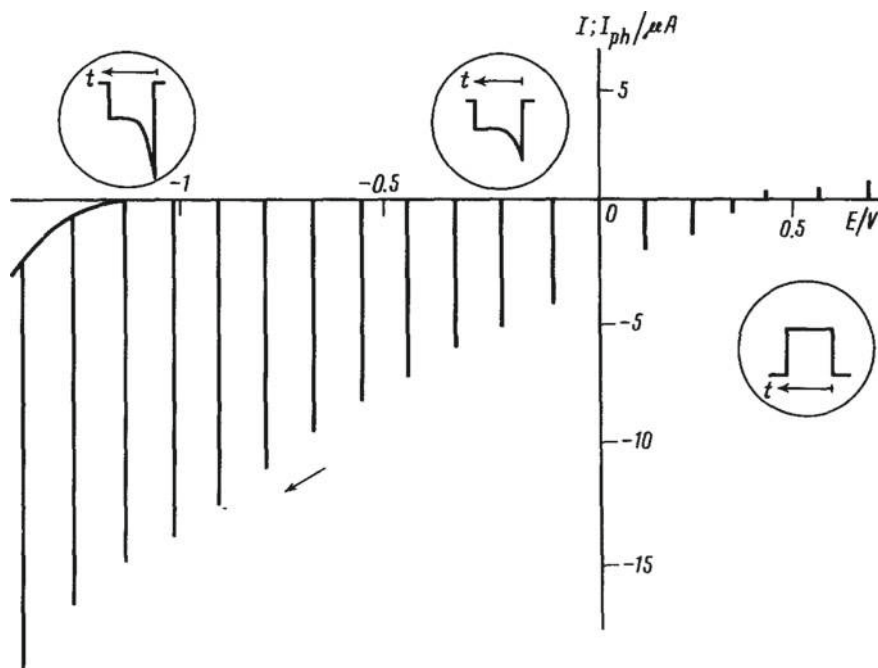
is doped into diamond, it acts as a p-type semiconductor (boron-doped diamond, BDD). When BDD is used as an electrode, it not only has excellent characteristics such as a large hydrogen and oxygen overpotential and a small background current in an aqueous solution, but also exhibits a unique electrode reactions [1, 2]. Therefore, diamond is attracting attention as a unique carbon electrode material different from  $sp^2$  carbon materials such as highly oriented pyrolytic graphite and glassy carbon in the fields of electrochemistry and photoelectrochemistry. In particular, since the conduction band of diamond is at a very high negative potential, it can be expected that the photoexcited electron has a very strong reduction ability that enables unique chemical reactions under mild conditions. In this chapter, unique properties of diamond as a photoelectrochemical electrode and photocatalyst under light irradiation are introduced.

## 2 Photocurrent of Diamond Electrode Under Light Irradiation

The photoelectrochemical behavior of diamond was firstly reported by Pleskov et al. [3]. They used non-doped polycrystalline diamond on a tungsten substrate. Figure 1 shows the dependence of dark and photocurrent on the potential when light is irradiated at regular intervals using a high mercury lamp. It was found that the diamond electrode is photo-sensitive because the photocurrent was observed under the light irradiation. When the experiment was conducted in an oxygen-free inert atmosphere, the observed photocurrent value was significantly reduced. This suggests that the photocurrent is associated with the electrochemical reduction of oxygen dissolved in the electrolyte. The band gap of diamond is 5.5 eV, and the light source used by the authors cannot generate carriers by inter-band transitions. Therefore, it is considered to be a transition of photoexcitation of electrons from impurity levels in the forbidden band to the conduction band. As a result of the spectral dependence of the cathodic photocurrent, it is assumed that the impurity level is at 2–3.5 eV below the bottom of the conduction band (Fig. 2).

Beside the non-doped diamond, Fujishima et al. reported that a cathodic photocurrent generates when BDD is irradiated with a 500 W Xe lamp, as shown in Fig. 3 [4]. This cathodic photocurrent is considered to be due to the reduction of protons in electrolyte, as confirmed that the pH increased during the light irradiation. Since the band-to-band excitation of electrons does not occur in the light source used at this time, the photocurrent was derived from the electrons being excited from the valence band to the impurity state in the band gap. The excited electrons are believed to go into solution, and the holes pass through the bulk and eventually cause an oxidation reaction at the counter electrode.

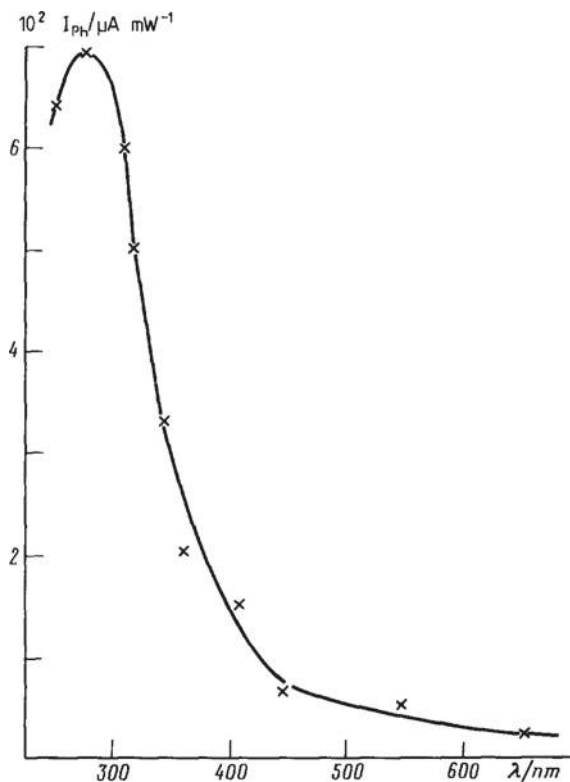
On the other hand, if the BDD electrode is irradiated with light having energy that causes band-to-band electronic excitation, a large photocurrent can be observed. Fujishima et al. conducted an experiment to observe the photocurrent by irradiating



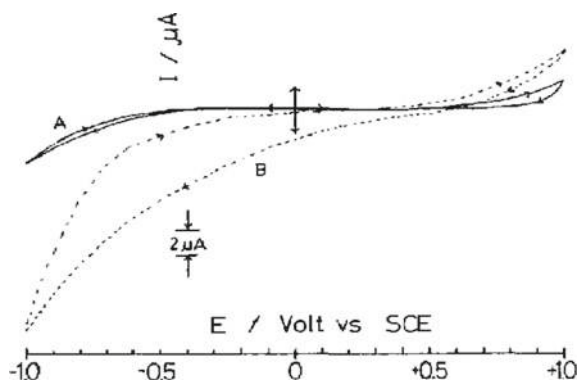
**Fig. 1** Dependence of the dark current (solid curve) and the photocurrent upon intermittent illumination on the potential. Air atmosphere, 5 mV/s. The insets show schematically the transients of the photocurrent after the light is switched on and off in different potential ranges. Reprinted from [3], Copyright 1987, with permission from Elsevier

BDD electrodes with different types of laser light such as ArF (193 nm, 6.4 eV), KrF (248 nm, 5.0 eV), and XeF (351 nm, 3.53 eV), as shown in Fig. 4 [5]. When ArF excimer laser light was applied to the surface of BDD electrode, that hydrogen evolution occurred at a very positive potential of + 1 V versus SCE, which was very difficult to occur in the dark, whereas almost no photocurrent was observed in KrF (wavelength 248 nm/5.0 eV) and XeF (wavelength 351 nm/3.53 eV). From this result, when ArF is used, band-to-band excitation from the valence band to the conduction band of diamond occurs and much reduction electrons generated in the conduction band of diamond by photoexcitation reacts with a proton in water, resulting in hydrogen evolution. In addition, the impedance of this electrode was measured, and the flat band potential was calculated from the Mott-Schottky plot, which gives  $0.9 \pm 0.1$  V versus SCE. This result offers the conduction band of diamond is about -4.20 V versus SCE (Fig. 5). Thus, BDD containing a small amount of boron has a high reduction ability by photoexcitation.

**Fig. 2** Spectral dependence of the cathodic photocurrent.  $E = -0.4$  V. Reprinted from [3], Copyright 1987, with permission from Elsevier

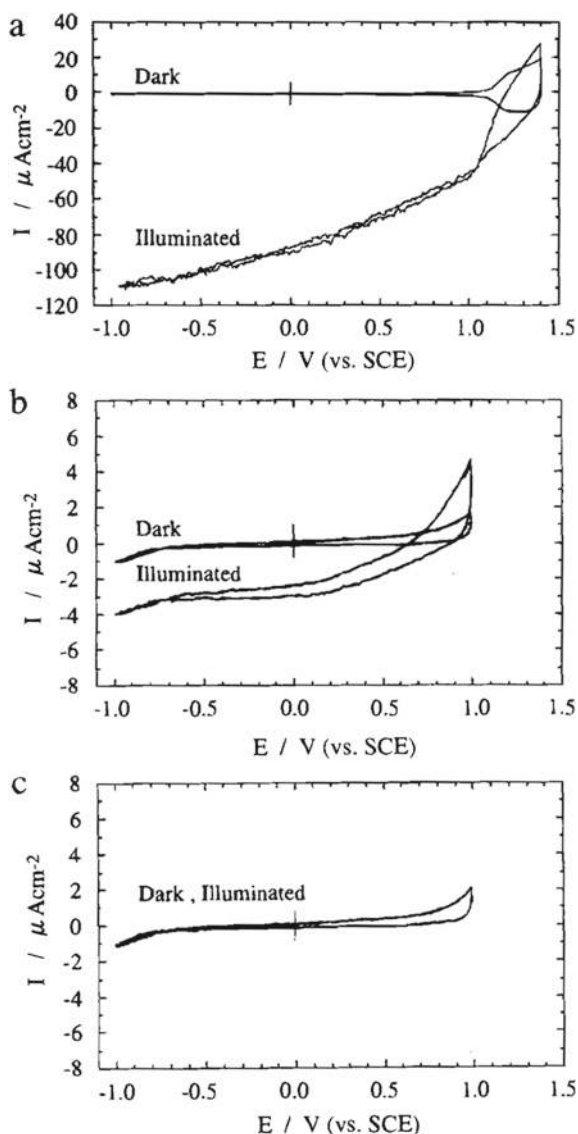


**Fig. 3**  $I$ - $E$  curves at boron-doped diamond electrode in the dark (curve A) and under illumination (curve B) (solution, 1.0 M KCl; scan speed,  $10 \text{ mV s}^{-1}$ ; electrode area,  $11.0 \text{ mm}^2$ ). Reprinted from [4], Copyright 1992, with permission from Elsevier



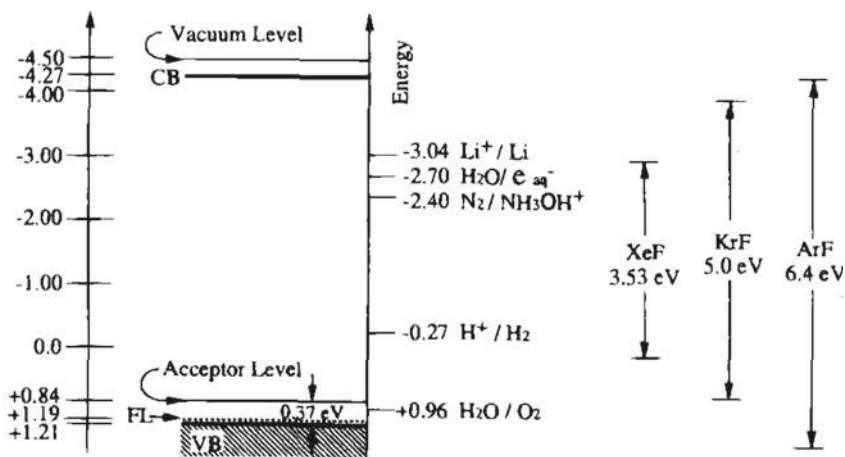


**Fig. 4** Current–voltage curves at the semiconducting boron-doped diamond electrode with and without illumination from **a** ArF, **b** KrF, and **c** XeF excimer lasers, all at an average power of  $80 \text{ mW cm}^{-2}$  in  $0.1 \text{ M KH}_2\text{PO}_4$ . In part (c), the light and dark curves coincide. Reprinted from [5], Copyright 1997, with permission from IOP Publishing



### 3 Negative Electron Affinity for Reduction Reactions at Diamond Surface

In 1979, Himpsel et al. investigated natural diamond of type Ib by photoelectron spectroscopy using synchrotron radiation [6]. As a result, it was reported for the first time that the (111) surface has a negative electron affinity (NEA), that is, the lowest energy level of the conduction band of diamond is located higher than the vacuum

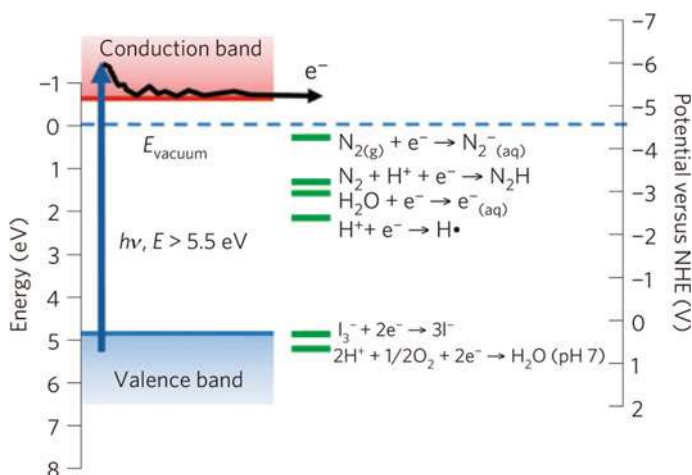


Potential vs. SHE

**Fig. 5** Energy band diagram for BDD, showing for comparison the energies for the various excimer lasers used. Representative aqueous redox couples (at pH 4.5) are also shown. Reprinted from [5], Copyright 1997, with permission from IOP Publishing

level. On the hydrogen-terminated diamond surface, the conduction band exists  $\sim 0.8$ – $1.3$  eV above the vacuum level. In other words, when a hydrogen-terminated diamond surface is irradiated with light having an energy larger than  $5.5$  eV, the electrons excited to the conduction band diffuse to the surface and are directly emitted to the vacuum (Fig. 6). Although various studies have been reported on the emission of electrons from diamond to a vacuum, there have been few studies on the emission of electrons into a solution. The energy of the emitted electrons is very high, and it is about  $-5.5$  V versus NHE. This has the potential to use the electrons generated by NEA as an excellent reducing agent.

Hamers et al. reported ammonia synthesis from nitrogen using reduction reaction caused by irradiation of hydrogen-terminated diamond [7]. In general, nitrogen is weakly absorbed on the catalyst surface, and it is necessary to form a high energy intermediate for the synthesis of ammonia. Industrially, ammonia is synthesized by reaction of nitrogen and hydrogen on the catalyst surface at a high temperature of  $300$ – $550$  °C. In other words, it is difficult to synthesize them at room temperature and ambient pressure. Reduction of nitrogen requires a reduction reaction to form  $\text{N}_2\text{H}$ , which has a high energy barrier. Theoretical studies have predicted that dissolved dinitrogen anions ( $\text{N}_{2(\text{aq})}^-$ ) may form in water, indicating the possibility of forming  $\text{N}_2\text{H}$  through the reaction of  $\text{N}_{2(\text{aq})}^- + \text{H}^{+8}$ . However, this requires high energy ( $-4.2$  V vs. NHE), so it has not been observed so far. On the other hand, solvated electrons give important intermediates and can cause a reaction ( $\text{H}_2\text{O} + \text{e}^- \sim \text{e}^-_{(\text{aq})}$ ) ( $E^0 = -2.86$  V vs. NHE)). Thus, the electrons emitted from diamond generate solvated electrons, which causes the reduction of  $\text{H}^+$  to  $\text{H}^\bullet$ , which requires high energy, and the subsequent reduction from  $\text{N}_2$  to  $\text{N}_2\text{H}$ .

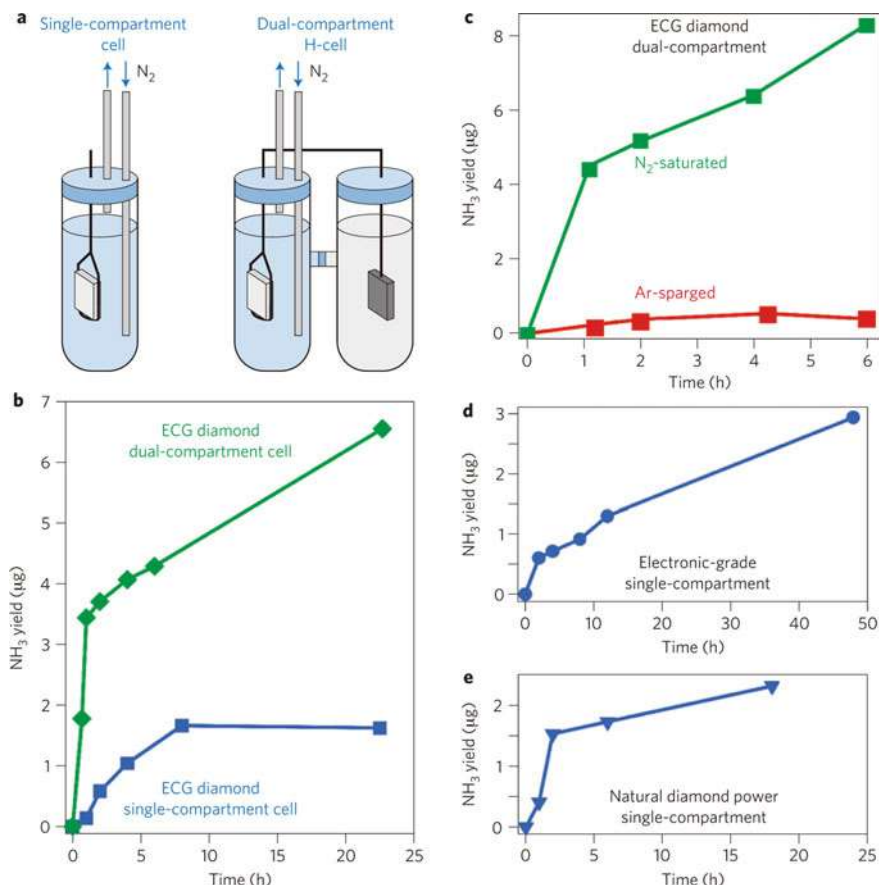


**Fig. 6** Electronic energy level diagram of diamond. The valence band and conduction band of H-terminated diamond are compared with several relevant electrochemical reduction potentials, including both absolute energy scale (left) and the electrochemical energy scale (right) relative to the NHE. Potential for reduction of oxygen to water is shown for pH 7; other potentials shown are standard  $E^0$  values. Reprinted from [7], Copyright 2013, with permission from Springer Nature

To observe solvated electrons, Hammers et al. measured transient absorption spectroscopy. The solvated electrons have a wide absorption band with a peak at 720 nm. The transient absorption was measured by exciting the diamond with a pulsed ultra-violet laser (213 nm). The transmitted 632 nm light was rapidly reduced by UV irradiation. The decreased intensity gradually recovered, and it is speculated that the solvated electrons were used for recombination and other reactions. No dissolved electrons were observed in the oxygen-terminated diamond. The quantum yield was  $\sim 0.6\%$  at 211.5 nm and  $0.15\%$  at 223.5 nm for polycrystalline BDD.

Furthermore, Hammer et al. conducted two types of experiments to reduce  $\text{N}_2$  with diamond. One is using a dual-component cell, BDD and platinum are used for cathode and anode, respectively. Another was using a single-component cell, in which only BDD was immersed in the electrolyte. In Fig. 7, ammonia was produced more efficiently using the dual-component cell than single-component cell. This is because the valence band of diamond is not so deep, so the oxidation power is poor, and in single-component cells, the reduction reaction of  $\text{N}_2$  is limited by the slow oxidation of water. In addition, in order to confirm that the  $\text{NH}_3$  generation is derived from  $\text{N}_2$ , an experiment was conducted using Ar instead of  $\text{N}_2$ , and as a result,  $\text{NH}_3$  generation was suppressed. Therefore, it was suggested that the produced  $\text{NH}_3$  was derived from  $\text{N}_2$ . Interestingly, it was also found that  $\text{NH}_3$  was produced even when using cheap diamond that was used as a polishing agent ( $\sim 125 \text{ nm}$  average size).

Next, in order to investigate the relationship between the ammonia yield and the excitation wavelength, the irradiation wavelength dependence was investigated (Fig. 8). The highest ammonia yield was obtained when irradiated with light of all

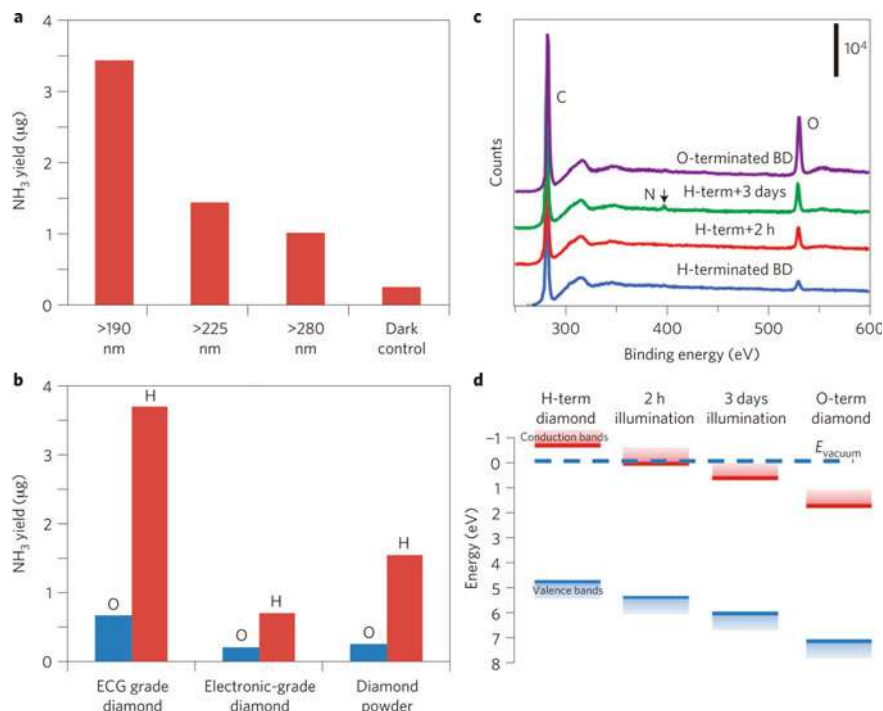


**Fig. 7** Ammonia yields from photochemical reduction of  $N_2$  at diamond surfaces. **a** Schematic diagram of reaction vessels. **b** Total ammonia yield from electrochemical grade (ECG) diamond in  $N_2$ -saturated water using single-compartment and dual-compartment cells. **c** Comparison of ammonia yield from ECG diamond in  $N_2$ -saturated water and argon-sparged water, using the H-cell geometry. **d** Yield from electronic-grade diamond. **e** Yield from natural diamond powder dispersed in water. Reprinted from [7], Copyright 2013, with permission from Springer Nature

wavelengths ( $>190$  nm), but it was also active at  $>225$  nm (energy  $< 5.5$  eV) and  $> 280$  nm (energy  $< 4.4$  eV).

When the diamond surface is hydrogen-terminated, it has NEA, whereas, when it is oxygen-terminated, it has positive electron affinity. To investigate the effect of surface termination on the reduction of nitrogen, hydrogen- and oxygen-terminated diamonds were synthesized and compared. As a result, the hydrogen yield was higher in the hydrogen termination than in the oxygen termination, as shown in Fig. 8

There is great interest in reduction of  $CO_2$ , to produce useful chemicals. One-electron reduction is thought to be effective in reduction of  $CO_2$ , but it requires a high potential of  $-1.9$  V versus SHE and exists at a position higher than the conduction band



**Fig. 8** The influence of excitation wavelength and surface termination on  $\text{N}_2$  photoreduction activity. **a** Influence of illumination wavelength. Ammonia yield from boron-doped ECG diamond in the H-cell when illuminated for 1 h, using absorptive filters to limit the range of incident radiation. **b** Comparison of  $\text{NH}_3$  yield from H-terminated and O-terminated diamond samples, measured after 2 h illumination. The ECG-grade sample was measured in the two-compartment cell geometry; others were in the single-cell geometry. **c** Comparison of X-ray photoelectron spectra of H-terminated and O-terminated BDD illuminated for 2 h and for 3 days. **d** Position of valence band and conduction bands of ECG diamond as determined by ultraviolet photoemission spectroscopy measurements, showing transition from NEA to PEA. Reprinted from [7], Copyright 2013, with permission from Springer Nature

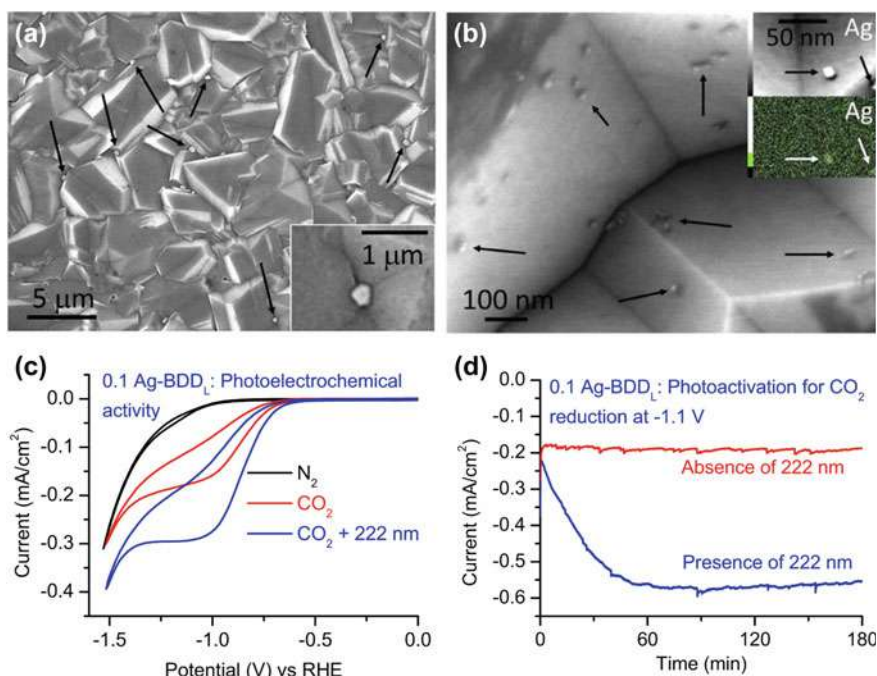
of general semiconductors. It is considered that the high reduction potential of BDD with NEA may cause one-electron reduction of  $\text{CO}_2$ . Hamers et al. performed  $\text{CO}_2$  reduction using inexpensive commercial grade diamond [8]. Since solvated electrons are at -5.2 eV versus SHE, they can sufficiently cause one-electron reduction of  $\text{CO}_2$ . Actually, an experiment was performed using two-component cells using BDD. The gas phase after the experiment was measured by FTIR. As a result, no absorption other than  $\text{CO}_2$  was observed in the absence of light irradiation and diamond, but CO was observed under the conditions of  $\text{CO}_2$  with light irradiation and diamond.  $\text{H}_2$  was not observed below the detection limit of 5 ppm. On the other hand, analysis by ion chromatography confirmed a very small amount of formate ion. That is, in this experiment, CO was produced with high selectivity of more than 95% by reduction of  $\text{CO}_2$ . Further, in order to promote the reduction reaction of  $\text{CO}_2$ , it is necessary to

proceed with the oxidation reaction by holes in the valence band. Since the valence band is +0.3 V versus SHE, diamond oxidation ( $\text{C} + \text{H}_2\text{O} \sim \text{CO} + 2\text{H}^+ + 2\text{e}^-$ ;  $E_{\text{red}} = 0.71 \text{ V}$  at pH 3.2) cannot occur. Actually, as a result of the reduction experiment using [9]  $\text{CO}_2$ , the produced CO was [9] CO.

## 4 Effect of Modification of Diamond Surface on Reduction Reactions

Terashima et al. conducted to reduce  $\text{CO}_2$  using Ag-loaded BDD (boron concentration: 1000 ppm) [10]. No clear cathodic peak was observed in the electrolyte saturated with nitrogen (Fig. 9). On the other hand, a strong cathodic peak at -1.1 V versus RHE was observed in the electrolyte saturated with  $\text{CO}_2$ . The cathodic peak appeared more strongly under the light irradiation. The products were identified after  $\text{CO}_2$  reduction using Ag-supported BDD under light irradiation. As a result, CO production was hardly observed for BDD without supporting Ag, but the CO production increased as the Ag loading increased (Fig. 10). On the other hand,  $\text{H}_2$  was also produced, but in a smaller amount than that of CO. Note that no product was observed in the electrolyte. The BDD used by Terashima et al. has a boron concentration of 1000 ppm, but they also prepared Ag-supported BDD with a boron concentration of 10,000 ppm and glassy carbon for comparison (Fig. 11). As a result of  $\text{CO}_2$  reduction under light irradiation, 1000 ppm BDD loaded with Ag had a faradaic efficiency of 72.5 and 9.08% for CO and  $\text{H}_2$  production, exhibiting high CO selectivity. On the other hand, when 10,000 ppm of BDD was used, the faradaic efficiency of CO was 36.8% and  $\text{H}_2$  was 38.3%, showing production ratio was almost 1:1. Diamond has high physical and chemical stability, but since there are few active sites on the surface, the substrate is difficult to absorb, resulting in low reactivity. Thus, it is necessary to conduct experiments under high pressure, when reduced  $\text{CO}_2$ . Therefore, it can be said that using diamond supporting Ag is more suitable for  $\text{CO}_2$  reduction under normal pressure. In addition, Ag strongly binds to  $\text{CO}_2$  molecule, giving high selectivity.

As above, the Ag-modified BDD electrode photoelectrochemically reduced  $\text{CO}_2$  under the irradiation condition of ultraviolet light (225 nm) to generate CO ( $\eta > 70\%$ ). On the other hand, CO generation at this electrode can also be performed at a considerably negative potential (-1.1 V vs. RHE), which is similar to those of BDD electrode modified with Cu-SnO<sub>x</sub> (-1.6 V vs. RHE), and Ag foil and particles (-0.8 – -1.2 V vs. RHE). A possible reason of this is recombination of photoexcited electrons and holes at the Ag-modified BDD electrode. In the Ag-modified BDD electrode, photoexcited electrons and holes are easily recombined, and a large negative overpotential was required to separate them and perform photoelectrochemical  $\text{CO}_2$  reduction. Ag has been experimentally reported to be an electrocatalyst that preferentially produces CO by  $\text{CO}_2$  reduction. However, if the photoexcited electrons cannot be easily transferred between the BDD surface and the Ag co-catalyst, the electrons and holes are not separated, and  $\text{CO}_2$  is not photoelectrochemically

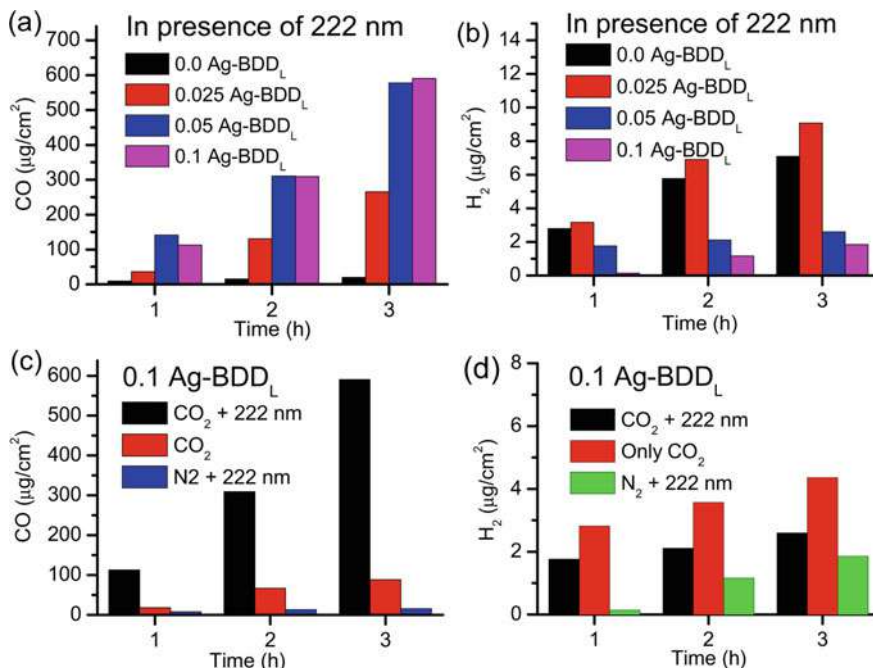


**Fig. 9** Surface morphology and photoelectrochemical  $\text{CO}_2$  reduction activity of Ag-BDD. **a** Low magnification FESEM image of 0.1 Ag-BDDL (0.1 Ag indicates that the  $\text{AgNO}_3$  concentration during deposition was 0.1 M; other parameters including potential and time were fixed at  $-0.5$  V and 60 s, respectively), clearly indicating its smooth surface. Brighter Ag nanoparticles with a diameter of  $\sim 300$  nm are indicated by arrows. **b** High-magnification FESEM image showing smaller Ag nanoparticles ( $\sim 20$  nm) as confirmed by elemental mapping (inset). **c** CVs of 0.1 Ag-BDDL in 25 mM  $\text{Na}_2\text{SO}_4$  aqueous electrolyte. The cathodic peak current at  $-1.1$  V versus RHE under  $\text{CO}_2$ -saturated conditions indicates the cathodic reduction of  $\text{CO}_2$  on the 0.1 Ag-BDDL electrode. **d** Chronoamperometric current–time curves of the photocurrent generated by the 0.1 Ag-BDDL electrode in the dark and under irradiation (222 nm). Photocurrent increased in the first hour and then became almost constant. Reprinted from [10], Copyright 2016, with permission from Springer Nature

reduced. In the previous study, BDD surface was observed with a scanning probe microscope to confirm that the conductivity of the BDD surface and the number of empty electron orbital increased with the increase of the C–H structure [9, 11, 12]. It was considered that the orbitals are related to the ease of electron movement on the BDD surface, and that the electrons easily move from the inside of the BDD to the surface by entering the empty orbital of the C–H structure.

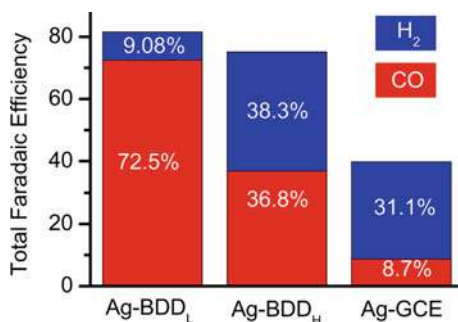
In order to photoelectrocatalytically reduce  $\text{CO}_2$  with the Ag-modified BDD electrode at a more positive potential, pre-treatment was performed before the Ag modification at the BDD surface to increase the C–H structure between BDD surface and Ag co-catalyst [13]. The dense C–H structure facilitates the transfer of electrons generated by photoexcitation between the BDD surface and the Ag co-catalyst and



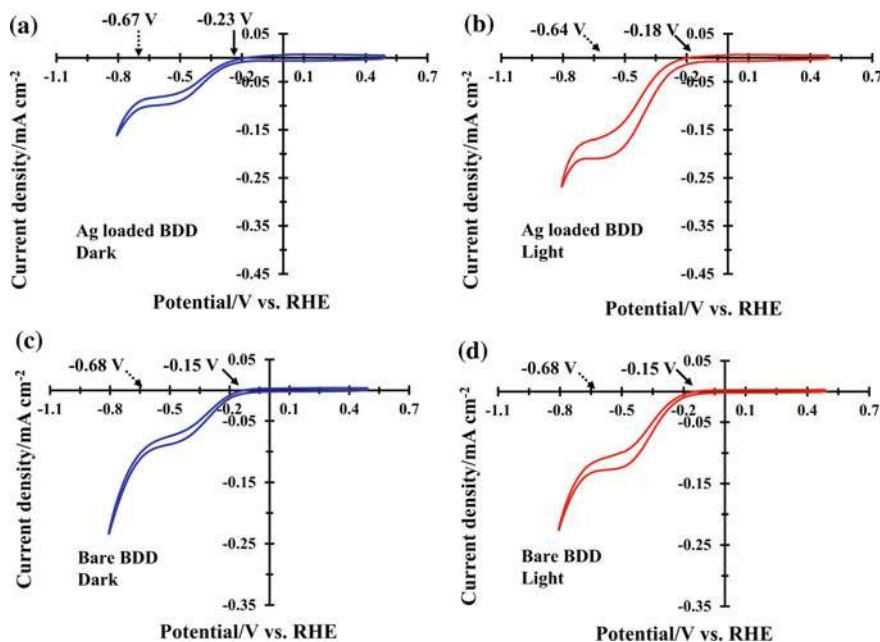


**Fig. 10** CO<sub>2</sub> reduction product analysis. **a** Amount of CO produced during irradiation (222 nm) over different Ag-BDD<sub>L</sub> electrodes in 25 mM Na<sub>2</sub>SO<sub>4</sub> aqueous electrolyte at  $-1.1$  V versus RHE. At a particular duration, the amount of CO increases with the Ag concentration used during deposition on the BDD<sub>L</sub> substrate. **b** Production of H<sub>2</sub> over the electrodes. At a particular irradiation time, the amount of H<sub>2</sub> decreased with increasing AgNO<sub>3</sub> concentration during electrode synthesis. **c** Photoelectrochemical effect of 0.1 Ag-BDD<sub>L</sub> under different conditions in 25 mM Na<sub>2</sub>SO<sub>4</sub> at  $-1.1$  V versus RHE. The amount of CO produced under irradiation (222 nm) is higher than that produced in the dark, revealing that the very high amount of CO produced originates from the photoelectrochemical effect of 0.1 Ag-BDD<sub>L</sub>. Negligible CO was produced over 0.1 Ag-BDD<sub>L</sub> under N<sub>2</sub>-saturated conditions. **d** Amount of H<sub>2</sub> produced over the 0.1 Ag-BDD<sub>L</sub> electrode under different conditions at  $-1.1$  V, indicating that H<sub>2</sub> is mostly produced through electrochemical reactions. Reprinted from [10], Copyright 2016, with permission from Springer Nature

allows photoexcited electrons and holes to be separated at a lower overpotential. As a result, the Ag-modified BDD electrode with pre-treatment can photoelectrochemically reduce CO<sub>2</sub> at a more positive potential. Figure 12 shows the current–voltage curves of the Ag-modified BDD electrode with pre-treatment. Comparing the current–voltage curves of the Ag-modified BDD electrode in the dark and under UV irradiation, the cathodic current increased by the UV irradiation. This suggests that the photoexcited electrons generated by the irradiation of UV light promoted the reduction reaction at the Ag-modified BDD surface. Moreover, when the BDD electrode and the Ag-modified BDD electrode are compared under the same irradiation condition of UV light, the Ag-modified BDD electrode has a relatively large reduction current value. This indicates that the Ag co-catalyst on the BDD surface



**Fig. 11** Selectivity of different carbon electrodes. Ag was deposited on BDD<sub>L</sub>, BDD<sub>H</sub>, and GCE in 0.1 M AgNO<sub>3</sub> at  $-0.5$  V for 60 s. Total Faradaic efficiency was measured after 3 h of photoelectrolysis at  $-1.1$  V in 25 mM Na<sub>2</sub>SO<sub>4</sub> under an excimer lamp (222 nm, 7 W). Reprinted from [10], Copyright 2016, with permission from Springer Nature



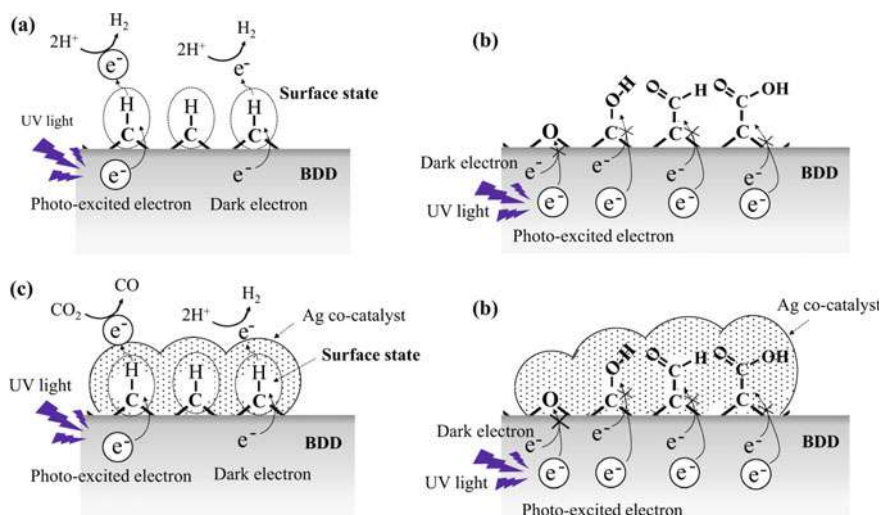
**Fig. 12** Current–voltage curves of cyclic voltammetry swept at  $0.05$  V s<sup>-1</sup> for the electrodes of **a, b** Ag-loaded BDD or **c, d** bare BDD in the present study under the conditions of dark (dark) or UV light irradiation (light), in which the curves were measured in the CO<sub>2</sub>-purged 25 mM Na<sub>2</sub>SO<sub>4</sub> solution. The apparent onset potentials for the cathodic currents were indicated for the electrodes with (solid line arrow) and without (broken line arrow) the electrochemical pre-treatment. Reprinted from [13], Copyright 2017, with permission from Springer

promotes the reduction reaction by photoexcited electrons in this electrolyte. Actually, the potential at which a reduction current is generated in this electrolyte was compared with and without pre-treatment of the BDD surface. As a result, a reduction current occurred at a more positive potential for the BDD surface with pre-treatment (0.18 V vs. RHE) than that without it (0.64 V vs. RHE). The reason why the Ag-modified BDD electrode with pre-treatment generated reduction current at a more positive electrode potential was not due to the effects of UV light irradiation or Ag co-catalyst modification, but due to the pre-treatment of the BDD surface.

Focusing on the current efficiencies of CO and H<sub>2</sub> generation of the Ag-modified BDD electrode, CO<sub>2</sub> can be reduced to CO to be preferentially generated ( $\eta > 70\%$ ) under the irradiation of UV light, which is independent of pre-treatment. On the other hand, the electrode potential of the BDD with pre-treatment is more positive (0.61 V vs. RHE) than without it (1.1 V vs. RHE). Furthermore, even if CO<sub>2</sub> reduction was performed under the irradiation of UV light with pre-treated BDD electrode (without Ag modification), H<sub>2</sub> is perennially generated ( $\eta = 37\%$ ) than CO ( $\eta = 7\%$ ). Electrochemical pre-treatment increases the C–H structure that favors electron transfer on the BDD surface. As mentioned above, it is expected that the electrons can easily move from the inside of the BDD to the surface by entering the empty orbital of the C–H structure. The transfer of electrons from the BDD surface into the electrolyte was also promoted, and as a result, the electrochemical reduction reaction by photoexcited electrons at the BDD electrode began to occur at a more positive potential. On the other hand, it has been experimentally shown that CO<sub>2</sub> reduction on the BDD surface is not efficient for CO production. Therefore, CO could not be preferentially generated by reduction of CO<sub>2</sub> with the pre-treated BDD electrode even under UV irradiation.

When an Ag co-catalyst, which has been experimentally shown to be efficient for CO generation, is supported on a BDD electrode to form an Ag-modified BDD electrode, an effective site for CO generation is introduced on the BDD surface. When the C–H structure on the BDD surface is increased by pre-treatment, the C–H structure is densely present at the interface between the BDD surface and the Ag co-catalyst (Fig. 13). The photoexcited electrons generated in the BDD enter the empty electron orbital of the C–H structure and easily move to the BDD surface. The photoexcited electrons transferred to the BDD surface move to the Ag co-catalyst on the surface and reduce CO<sub>2</sub> in the electrolyte. Thus, the C–H structure facilitates the transfer of photoexcited electrons between the BDD surface and the Ag co-catalyst, so that photoexcited electrons and holes can be separated with a smaller overpotential. As a result, the pre-treatment and Ag-modified BDD surface show CO<sub>2</sub> reduction at more positive potential (0.61 V vs. RHE) than it without the pre-treatment (1.1 V vs. RHE), to generate CO.

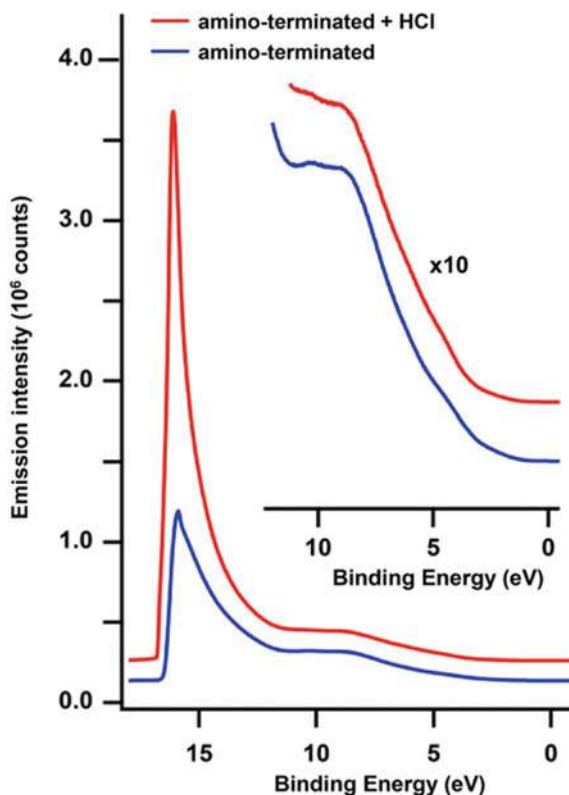
Hydrogen-terminated diamond exhibits NEA. This is because there is a small positive charge on the H atom on the C-H surface and it has a surface dipole that is advantageous for electron emission. On the other hand, it is known that hydrogen termination gradually deteriorates the electron emission property due to oxidation. On the other hand, amino groups (primary and secondary amines) can be protonated, resulting in a positive charge depending on the surrounding pH, and can exist as



**Fig. 13** Plausible mechanisms for the transfer of dark and photoexcited electrons in cathodic electrolysis on electrodes of **a, b** bare BDD or **c, d** Ag-loaded BDD in  $\text{CO}_2$ -purged solutions. The surfaces of BDD are assumed to be functionalized with **a, c** hydrogenated carbons or **b, d** oxygenated carbons. Reprinted from [13], Copyright 2017, with permission from Springer

quaternary cations on the diamond surface ( $\text{C-NH}_3^+$ ,  $\text{C}_2\text{-NH}_2^+$ ). In principle, protonated amino groups on the diamond surface are advantageous for electron emission. Hamers et al. fabricated  $\text{NH}_2$ -terminated diamond and measured the ultraviolet photoelectron spectroscopy (UPS) spectrum [14]. The amino group was protonated with HCl. Figure 14 shows the UPS spectra before and after protonation. In each case, a sharp peak appeared at around 17 eV. This is a characteristic of the NEA material and is attributed to the fact that electrons are excited, relaxed to the conduction band edge, and emitted electrons. For both samples, the intensity is similar at 5–10 eV, but the binding energy at 17 eV is clearly higher in the HCl-treated sample. Next, the surface photovoltage measurements were performed to measure the amount and polarity of excess charges existing on the surface. Figure 15 is a plot of surface charge versus incident photon energy. For hydrogen-terminated diamond, no peak was observed at  $<5.4$  eV, but a negative surface charge was observed at higher photon energy. On the other hand, the amino-terminated diamond treated with HCl showed a large negative surface charge. This is due to the positive surface charge generated by protonation of the amino group, which increased the band-bending downward. Figure 16 shows the yield of ammonia production by nitrogen reduction. Amino group diamond treated with hydrochloric acid showed higher ammonia yield than hydrogen-terminated diamond. It is considered that this is because the surface of the protonated amino group diamond has an advantageous structure for NEA and an advantageous reaction for nitrogen reduction.

The electron emission of hydrogen-terminated diamond is based on the surface dipole. In contrast, the electron emission of amino-terminated diamond is based on a



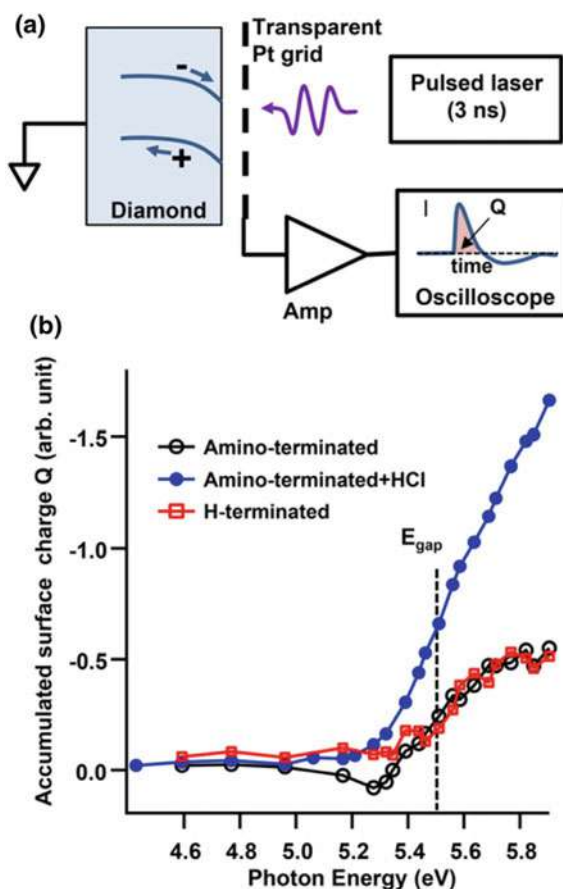
**Fig. 14** Ultraviolet photoemission spectra from amino-terminated diamond before and after brief immersion in HCl. The inset shows the same data magnified  $10\times$ . Reprinted from [14], Copyright 2016, with permission from Elsevier

static positive charge. The fixed charges have stronger electrostatic interactions than the dipole. Therefore, the amino group termination is more advantageous in electron emission and chemically stable than the hydrogen termination.

## 5 Hybridization of Diamond and Nanomaterials

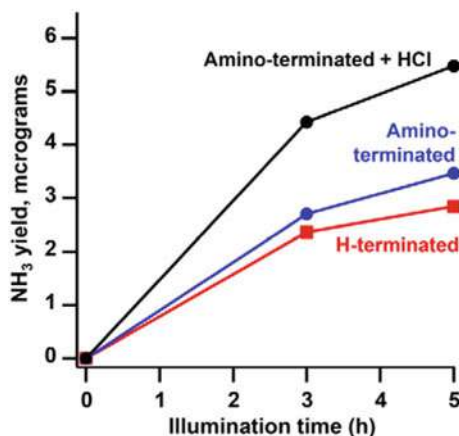
Diamond is an interesting material showing NEA. On the other hand, since diamond is an indirect band gap semiconductor, its absorption coefficient is small. Therefore, as one means for improving the NEA of diamond, it is considered to improve the absorption on the diamond surface. In recent studies, it has been known that small metal nanoparticles enhance photochemical reaction by Raman scattering and plasmon resonance. Hamers et al. fabricated a material with a sandwich structure in which Ag particles were deposited between polycrystalline diamonds and investigated the

**Fig. 15** Surface photovoltage measurements. **a** Schematic of apparatus; **b** plots of photo-initiated charge separation ( $Q$ ) as a function of UV photon energy from amino-terminated B-doped diamond before and after acidification, measured at UV pulse energy of 0.1 mJ. The dashed line shows the 5.5 eV bandgap energy of diamond. Reprinted from [14], Copyright 2016, with permission from Elsevier



effect of nitrogen reduction on ammonia production [15]. Figure 17 shows how to make a diamond-Ag-diamond (“D-Ag-D”) sandwich structure. First, a diamond thin film was deposited on a silicon substrate by microwave plasma-assisted chemical vapor deposition. Next, the diamond thin film was transferred to an electron beam metal evaporator and loaded with 100 nm of Ag. Then, the diamond thin film was deposited again. Diffused reflectance spectra were measured to investigate the optical properties of the prepared D-Ag-D. A new absorption was observed around 320 nm in D-Ag-D, as compared with the case where only the diamond thin film was deposited on the silicon substrate. This comes from the plasmon of buried Ag. In addition, the large absorption of D-Ag-D over the entire wavelength range compared to the control is considered to be partly due to scattering caused by the buried Ag nanoparticles.

Figure 18 is a comparison of ammonia production by nitrogen reduction in D-Ag-D and control. The yield of ammonia formation was higher in D-Ag-D than in diamond alone. Figure 19 shows a diagram of electron transfer in the D-Ag-D structure. When D-Ag-D is irradiated with light, electron emission proceeds through three



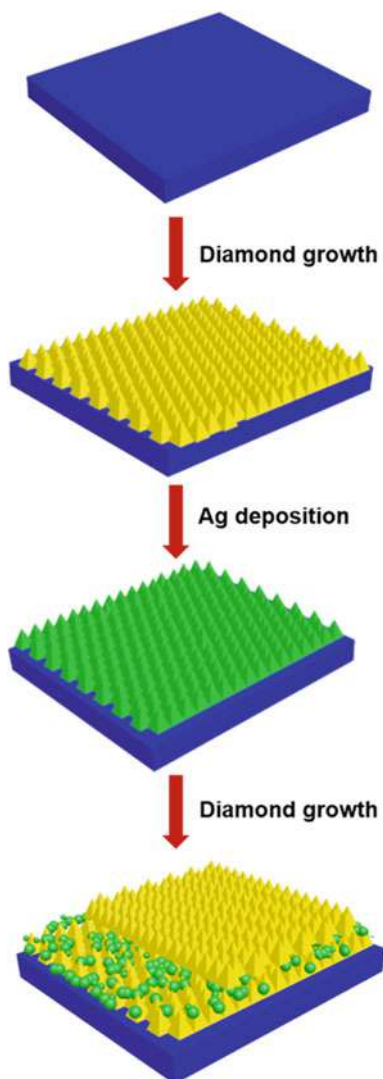
**Fig. 16** Ammonia yield results for H-terminated and for amino-terminated diamond before and after exposure to HCl. Reprinted from [14], Copyright 2016, with permission from Elsevier

passes. The first is derived from the excitation from the valence band to the conduction band of diamond. At this time, Ag particles have a function of enhancing optical scattering. The second is due to electron excitation from Ag, where the excited electrons are injected into the conduction band of diamond and then released into water. The third is the defect-associated pathway, which has absorption at 340–360 nm, although it also overlaps with absorption by Ag plasmon resonance. However, from the comparison of the yields of ammonia generation by nitrogen reduction, when the wavelength larger than 280 nm is used, it is difficult to generate ammonia, so the 3rd pathway does not have much influence.

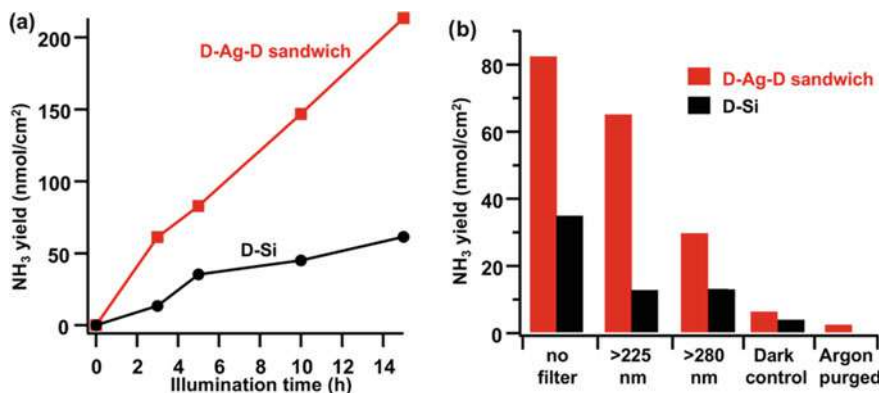
## 6 Conclusion

In this chapter, we described reduction reactions using diamond as a photoelectrochemical and photocatalytic material under light irradiation. NEA is very interesting property of diamond, which allows unique reduction reactions such as nitrogen and CO<sub>2</sub> reductions to produce useful chemicals. Furthermore, the NEA can be controlled by surface termination that opens a new direction of utilizing NEA for reduction reactions. Diamond showing NEA can contribute new and green chemistry utilizing ambient temperature and pressure.

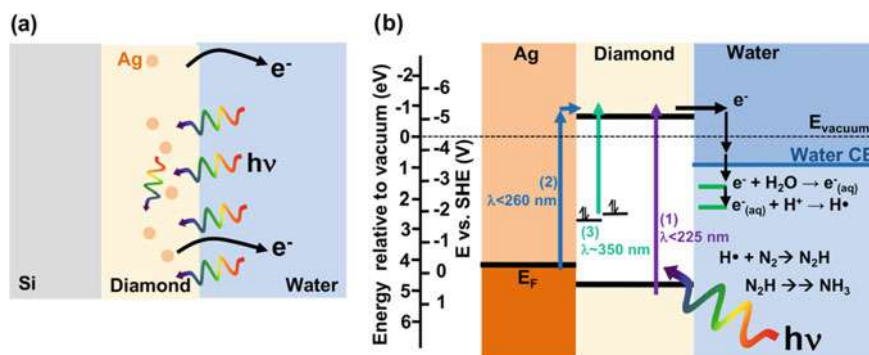




**Fig. 17** Illustration of the growth mechanism of D–Ag–D plasmonic structure. After the first diamond growth, a thin Ag film is deposited; subsequent diamond growth forms Ag nanoparticles that are encapsulated by the growing diamond film. {Li, 2018 #6869} Reprinted from [15], Copyright 2018, with permission from American Chemical Society



**Fig. 18** **a** Photocatalytic ammonia yield from the D–Ag–D and D–Si samples for different illumination times. **b** Ammonia yield after 5 h illumination using selected wavelength regions. Reprinted from [15], Copyright 2018, with permission from American Chemical Society



**Fig. 19** Schematic illustration of the influence of Ag nanoparticles on diamond photocatalysis **a**, with Ag nanoparticles as scattering centers to enhance electron emission. **b** Illustration showing three pathways for the excitation to diamond's conduction band, and subsequent steps in the reaction to form  $NH_3$ . Reprinted from [15], Copyright 2018, with permission from American Chemical Society

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# Electrochemical CO<sub>2</sub> Reduction



Mai Tomisaki and Yasuaki Einaga

**Abstract** BDD electrode was utilized as the cathode for the electrochemical CO<sub>2</sub> reduction due to its high hydrogen overpotential and high stability. Electroreduction of CO<sub>2</sub> is one of the ways to convert CO<sub>2</sub> into useful compounds which can be used in industrial fields and can be used as fuels. The efficiency or selectivity for the production of CO<sub>2</sub> reduction products can be affected by electrode materials and electrolysis conditions. BDD electrode is inert, so formic acid production was predominant. However, we could also produce various kinds of products from CO<sub>2</sub> by optimizing the electrode itself or electrolysis conditions. Many kinds of BDD electrodes were used such as BDD electrodes with various boron doping level, BDD electrodes with sp<sup>2</sup> carbon impurities and BDD electrodes modified with metal particles electrochemically. Electrolysis conditions were also optimized such as the applied potential, applied current, catholyte and anolyte. In this chapter, the electrochemical CO<sub>2</sub> reduction using BDD electrodes or using metal-modified BDD electrodes was described.

**Keywords** Boron-doped diamond • Carbon dioxide • Infrared spectroscopy • Electrochemical CO<sub>2</sub> reduction • Metal-modified electrode

## 1 Introduction

The electrochemical CO<sub>2</sub> reduction is one of the ways to convert CO<sub>2</sub>, which is an abundant source of carbon, into valuable products like alcohol, hydrocarbons, formic acid or carbon monoxide [1, 2]. There are some advantages to utilize CO<sub>2</sub> electrochemically. It can be performed under room temperature and ordinary pressure. The reaction can be controlled by optimizing the applied potential and current. It is relatively easy to scale up the reaction. There are some requirements for CO<sub>2</sub> reduction taken place in aqueous solution. They are the efficient and selective production of reduction products, the decrease of overpotential for CO<sub>2</sub> reduction reaction,

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high overpotential for hydrogen evolution reaction which is a competing reaction in CO<sub>2</sub> reduction reaction and a high durability to withstand a reaction for a long time [1, 3, 4].

BDD electrode can be a candidate for the working electrode used in CO<sub>2</sub> reduction for its great electrochemical properties [5]. BDD has a wide potential window in an aqueous solution, so a hydrogen evolution reaction can be restrained. BDD also has a high chemical and physical stability the electrochemical properties of BDD electrode can be changed by the boron doping level, the presence of sp<sup>2</sup> carbon impurities, and the surface chemical species [5–8], so the selective production of various products or the decrease of overpotential for CO<sub>2</sub> reduction can be achieved by optimizing these conditions. BDD has been used as the working electrode of CO<sub>2</sub> reduction so far, and several reduction products have been produced. In many cases, BDD electrodes showed a great durability for the electrolysis [9–12]. Each research is explained from the next section.

## 2 CO<sub>2</sub> Reduction Using Bare-BDD Electrodes

First, bare-BDD electrodes which are not modified with any metal microparticles or any organic compounds were used as the working electrode. Formic acid, carbon monoxide, methanol and formaldehyde were produced from CO<sub>2</sub> reduction using bare-BDD.

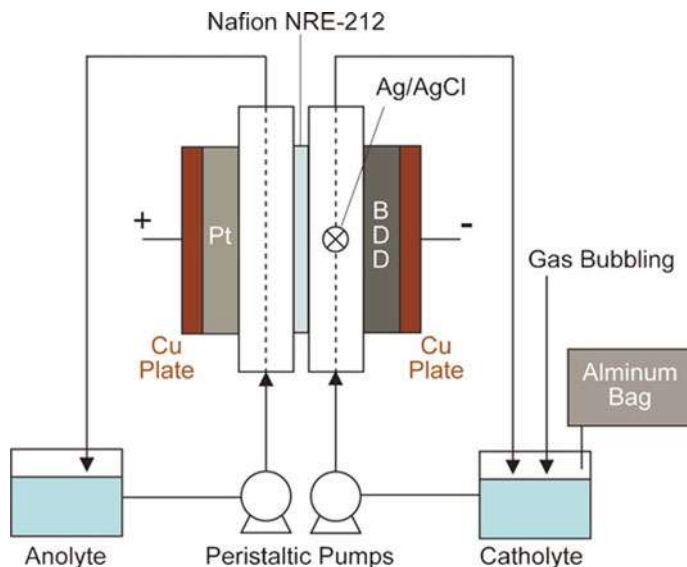
### 2.1 Formic Acid Production

Formic acid was produced efficiently when relatively high current ( $-2.0 \text{ mA cm}^{-2}$ ) was applied. The flow rate of electrolyte, applied current density, boron concentration of BDD electrodes, sp<sup>2</sup> carbon impurity concentration and electrolytes were varied and studied each effect on CO<sub>2</sub> reduction using BDD electrodes.

Each electrolysis was conducted by using a two-compartment flow cell separated by a Nafion membrane [9] (Fig. 1). 0.1% BDD whose boron-to-carbon ratio in the feed gases was 1000 ppm (0.1%) was used as the working electrode. Pt plate and Ag/AgCl were used as the counter and reference electrodes, respectively. The Faradaic efficiency was calculated by the following equation:

$$\text{Faradaic efficiency (\%)} = nFc/Q \times 100$$

where  $n$  is the number of electrons used to produce the certain product from CO<sub>2</sub> (e.g.  $n = 2$  to produce formic acid from CO<sub>2</sub>),  $F$  is the Faraday's constant ( $96,485 \text{ C mol}^{-1}$ ),  $c$  is the number of moles for the certain product and  $Q$  is all the charge passed.



**Fig. 1** Schematic diagram of a two-compartment flow cell separated with a Nafion membrane

First, the flow rate of electrolyte was varied from  $20 \text{ mL min}^{-1}$  to  $500 \text{ mL min}^{-1}$  and the electrolysis was conducted at  $-2.0 \text{ mA cm}^{-2}$  for 1 h in KCl aqueous solution. Formic acid was mainly produced, and a small amount of carbon monoxide and hydrogen were obtained (Table 1). By using the electrodes on which the intermediate of CO<sub>2</sub> reduction reaction (CO<sub>2</sub><sup>•-</sup> intermediates) is hardly adsorb, formic acid can be produced easily [2, 13]. As the surface of BDD electrode is chemically inert [5], it is supposed that CO<sub>2</sub><sup>•-</sup> intermediates might hardly adsorb on BDD surface and formic acid production can be easy to undergo. The Faradaic efficiency for formic acid production increased as a flow rate of electrolyte increased, and the maximum Faradaic efficiency (94.7%) was observed at a flow rate of  $200 \text{ mL min}^{-1}$ . The

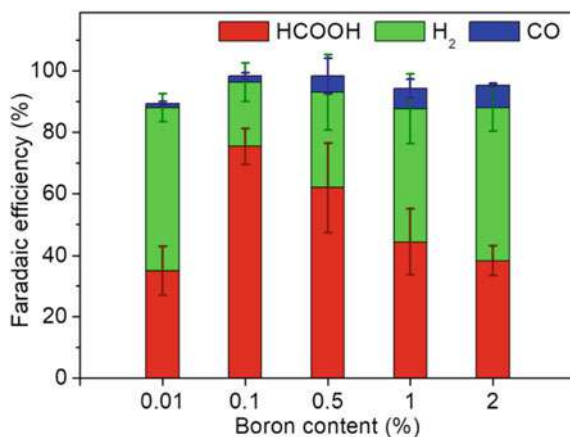
**Table 1** The production rate of formic acid and the Faradaic efficiencies for the production of formic acid, carbon monoxide, and hydrogen by the electrochemical reduction of CO<sub>2</sub> with a flow cell using 0.1% BDD electrode at  $-2.0 \text{ mA cm}^{-2}$  in KCl aqueous solution with various flow rates of the electrolyte

Flow rate [mL min <sup>-1</sup> ]	Production rate of HCOOH [ $\mu\text{mol m}^{-2} \text{ s}^{-1}$ ]	Faradaic efficiency [%]			
		HCOOH	CO	H <sub>2</sub>	Total
20	$36.6 \pm 9.8$	$35.4 \pm 9.4$	$0.4 \pm 0.1$	$40.8 \pm 9.6$	$76.6 \pm 5.1$
50	$87.7 \pm 2.0$	$84.7 \pm 2.0$	$0.9 \pm 0.6$	$8.6 \pm 2.5$	$94.2 \pm 0.7$
100	$94.3 \pm 0.8$	$91.1 \pm 0.7$	$0.5 \pm 0.4$	$3.6 \pm 1.3$	$95.2 \pm 0.9$
200	$97.9 \pm 2.3$	$94.7 \pm 2.3$	$0.6 \pm 0.5$	$4.1 \pm 1.9$	$99.4 \pm 0.6$
500	$91.4 \pm 1.9$	$88.4 \pm 1.8$	$2.7 \pm 2.6$	$8.1 \pm 3.4$	$99.2 \pm 0.8$

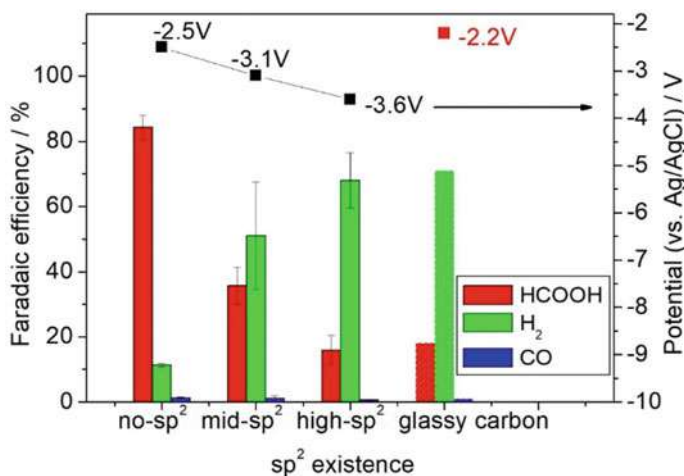
fresh  $\text{CO}_2$  was supplied more frequently to the surface of the electrode as the flow rate of electrolyte increased, so the Faradaic efficiency for producing formic acid increased. When the flow rate was too fast, the electron transfer from BDD electrode to  $\text{CO}_2$  was restrained and formic acid production decreased. Next, the applied current density was varied from  $-2.0 \text{ mA cm}^{-2}$  to  $-20 \text{ mA cm}^{-2}$ . The Faradaic efficiency of formic acid production decreased as the applied current density was increased. However, the production rate of formic acid increased and reached the maximum rate of  $473 \text{ } \mu\text{mol m}^{-2} \text{ s}^{-1}$ .

It is known that the electrochemical properties of BDD can be changed by the boron doping level and the presence or absence of  $\text{sp}^2$  carbon impurities [6, 7], so we studied the effect of them to the electrochemical  $\text{CO}_2$  reduction [14, 15]. By using BDD electrodes whose boron concentration is 0.01, 0.1, 0.5, 1 and 2% as the working electrode,  $\text{CO}_2$  reduction was conducted at  $-2.0 \text{ mA cm}^{-2}$  for 1 h in KCl aqueous solution. The applied potential shifted to more positive potential with increasing the boron concentration of BDD electrodes. Formic acid was produced as a main product and a small amount of carbon monoxide and hydrogen production were also observed (Fig. 2). The Faradaic efficiency for the production of formic acid became the maximum when using 0.1% BDD electrode as a cathode, and it decreased as the boron concentration of BDD electrodes became high due to the high hydrogen production caused from their narrow potential window [6]. On the other hand, the Faradaic efficiency for the production of carbon monoxide slightly increased as the boron concentration of BDD electrodes was increased. Carbon monoxide production is promoted by using the electrodes on which  $\text{CO}_2^{\bullet-}$  intermediates adsorb [2, 13]. It is assumed that on the high boron content BDD electrodes  $\text{CO}_2^{\bullet-}$  intermediates can adsorb more easily than the low boron content one. Moreover, BDD electrodes with various  $\text{sp}^2$  existence level were used as the cathode. As the  $\text{sp}^2$  existence was increased, the Faradaic efficiency for the production of formic acid decreased and that for the production of hydrogen increased (Fig. 3). More negative potential was applied during  $\text{CO}_2$  reduction at  $-2.0 \text{ mA cm}^{-2}$  as  $\text{sp}^2$  existence was increased. In addition,

**Fig. 2** Faradaic efficiencies for the production of formic acid (red), hydrogen (green), and carbon monoxide (blue) by the electrochemical reduction of  $\text{CO}_2$  with a flow cell using BDD electrodes with various boron doping level at  $-2.0 \text{ mA cm}^{-2}$  in KCl aqueous solution



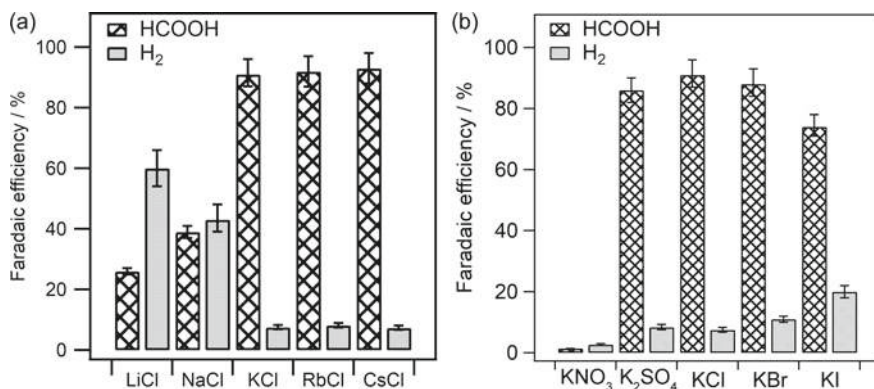




**Fig. 3** Faradaic efficiencies for the production of formic acid (red), hydrogen (green), and carbon monoxide (blue) and the observed potential by the electrochemical reduction of CO<sub>2</sub> with a flow cell using 0.1% BDD electrodes with various sp<sup>2</sup> existence level or glassy carbon electrode at  $-2.0 \text{ mA cm}^{-2}$  in KCl aqueous solution

carbon monoxide production was slightly promoted by using BDD electrodes with sp<sup>2</sup> species.

Furthermore, the products by CO<sub>2</sub> reduction can be affected by the electrolyte [1, 2, 13, 16]. We studied how the electrolyte can affect the efficiency or the selectivity of the products by CO<sub>2</sub> reduction reaction [17]. First, the effect of cations was investigated. Electrochemical CO<sub>2</sub> reduction was conducted in the catholytes containing alkali metal cations and halide anions (MX: M = Li, Na, K, Rb, and Cs; X = Cl, Br, and I). Other electrolysis conditions were same as written above. The main reduction product was formic acid. Carbon monoxide and hydrogen were also obtained (Fig. 4a). When K<sup>+</sup>, Rb<sup>+</sup> and Cs<sup>+</sup> were contained, the Faradaic efficiency for formic acid production was high and that for hydrogen production was low. Meanwhile, when Li<sup>+</sup> and Na<sup>+</sup> were contained, opposite tendency was observed. This is due to the buffering effect of hydrated alkali metal ions [16]. During the electrolysis, hydrogen ions are consumed by CO<sub>2</sub> reduction reaction and hydrogen evolution reaction, so pH near the cathode increases. Hydrated cations can emit oxonium ions to the electrolyte and this reaction is promoted more when K<sup>+</sup>, Rb<sup>+</sup> or Cs<sup>+</sup> are used as cations. In the electrolytes containing these cations, increase of pH near the cathode was suppressed, so the concentration of molecular CO<sub>2</sub>, which was thought to be electrochemically reduced in CO<sub>2</sub> reduction reaction [16], was kept high [18] leading to an efficient formic acid production. Next, the effect of anions was investigated. Electrolysis was conducted using the electrolytes whose cation was K<sup>+</sup> and anions were NO<sub>3</sub><sup>-</sup>, SO<sub>4</sub><sup>2-</sup>, Cl<sup>-</sup>, Br<sup>-</sup>, I<sup>-</sup> and ClO<sub>4</sub><sup>-</sup> (Fig. 4b). In the electrolyte containing NO<sub>3</sub><sup>-</sup>, neither CO<sub>2</sub> reduction products nor hydrogen were obtained. This is because electrons were consumed by NO<sub>3</sub><sup>-</sup> reduction reaction [19, 20]. When SO<sub>4</sub><sup>2-</sup> or halide



**Fig. 4** Faradaic efficiencies for the production of formic acid (net pattern) and hydrogen (dot pattern) by the electrochemical reduction of CO<sub>2</sub> with a flow cell using 0.1% BDD electrodes at  $-2.0 \text{ mA cm}^{-2}$  in **a** MCl and **b** KNO<sub>3</sub>, K<sub>2</sub>SO<sub>4</sub> and KX aqueous solutions (M = Li, Na, K, Rb, and Cs; X = Cl, Br, and I)

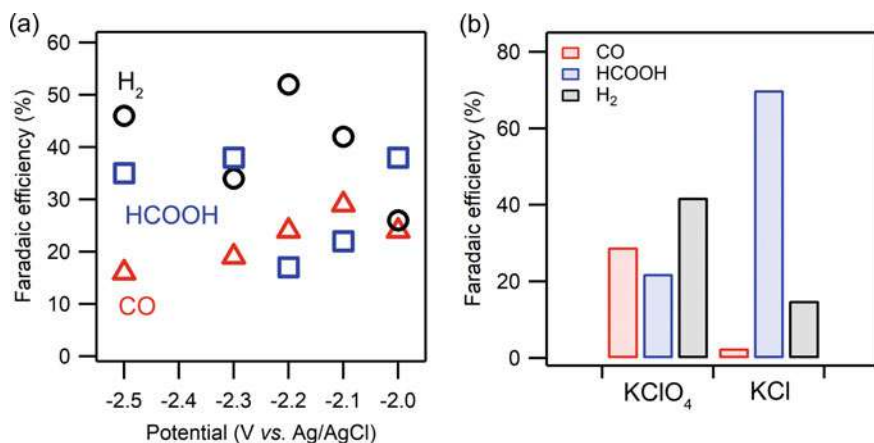
anions which are thought to be specifically adsorbed on electrodes were contained, formic acid was produced efficiently. These specifically adsorbed anions can restrict the movement of CO<sub>2</sub> to near the cathode, so the electron transfer from the cathode to CO<sub>2</sub> can be promoted [21]. On the other hand, when the electrolyte containing ClO<sub>4</sub><sup>−</sup> was used, production of formic acid was suppressed but that of carbon monoxide increased slightly. The details are written in the next section.

Efficient and selective formic acid production was achieved in the electrochemical reduction of CO<sub>2</sub> on BDD electrodes. It was also revealed that boron concentration and sp<sup>2</sup> carbon impurities of BDD electrodes and electrolytes can affect the production.

## 2.2 Carbon Monoxide Production

Although small amount of carbon monoxide production has been reported in CO<sub>2</sub> reduction using bare-BDD electrodes [9, 10, 14, 22], by changing the electrolyte and optimizing the electrolysis conditions, we also achieved to produce carbon monoxide efficiently. In the previous section, carbon monoxide production increased when KClO<sub>4</sub> was used as the electrolyte. CO<sub>2</sub> reduction using KClO<sub>4</sub> as the electrolyte was investigated in detail to improve the Faradaic efficiency and the selectivity for producing carbon monoxide [23].

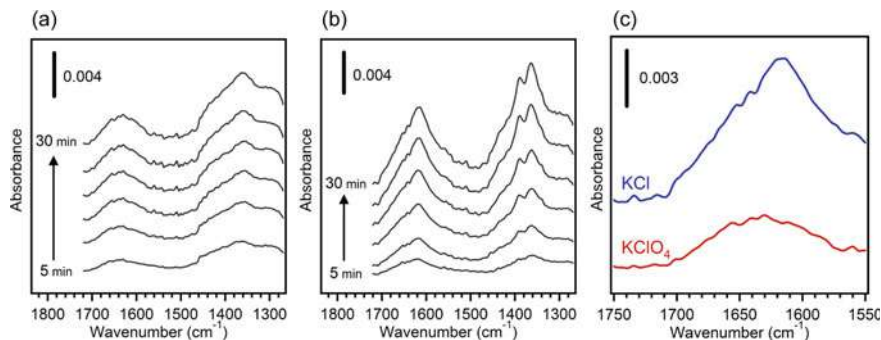
CO<sub>2</sub> reduction using 0.1% BDD in KClO<sub>4</sub> aqueous solution was conducted at various applied potential ( $-2.5 \text{ V} \sim -2.0 \text{ V}$  vs. Ag/AgCl). Carbon monoxide and formic acid were mainly obtained as CO<sub>2</sub> reduction products. The Faradaic efficiency of carbon monoxide production increased as the applied potential shifted to the positive potential and reached the maximum at  $-2.1 \text{ V}$  (Fig. 5a). CO<sub>2</sub> reduction



**Fig. 5** **a** Faradaic efficiencies for the production of carbon monoxide (red triangles), formic acid (blue squares), and hydrogen (black circles) by the electrochemical reduction of CO<sub>2</sub> with a flow cell using 0.1% BDD electrodes in KClO<sub>4</sub> aqueous solution. **b** Comparison of the Faradaic efficiencies of reduction products between the electrochemical reduction of CO<sub>2</sub> with a flow cell using 0.1% BDD electrodes at  $-2.1$  V (vs. Ag/AgCl) in KClO<sub>4</sub> and KCl aqueous solutions

at  $-2.1$  V using KCl aqueous solution in which formic acid was produced efficiently in the previous section was also conducted and compared with the results taken in KClO<sub>4</sub> aqueous solution (Fig. 5b). When KCl was used as the electrolyte, formic acid was produced efficiently. It is supposed that in KClO<sub>4</sub> aqueous solution carbon monoxide production is promoted, but in KCl aqueous solution formic acid production is promoted. Carbon monoxide can be produced by using the electrodes which CO<sub>2</sub><sup>•−</sup> intermediates can adsorb on, and formic acid can be produced by using the electrodes which CO<sub>2</sub><sup>•−</sup> intermediates hardly adsorb on [2, 13]. It is assumed that when KClO<sub>4</sub> was used as the electrolyte, CO<sub>2</sub><sup>•−</sup> intermediates can adsorb on the surface of BDD electrode, and when KCl was used as the electrolyte, CO<sub>2</sub><sup>•−</sup> intermediates hardly adsorb on it.

To investigate the effect of the anions, attenuated total reflectance-infrared (ATR-IR) measurements using BDD film deposited onto a Si ATR-IR prism [24, 25] were conducted during electrolysis at  $-2.1$  V (vs. Ag/AgCl) in KClO<sub>4</sub> and KCl aqueous solutions (Fig. 6). The peak which can be assigned to asymmetric OCO stretching was observed at around 1600 cm<sup>−1</sup>. This peak suggests the presence of CO<sub>2</sub><sup>•−</sup> intermediates. It was observed at 1634 cm<sup>−1</sup> in KClO<sub>4</sub> aqueous solution, but in KCl aqueous solution, it was observed at 1616 cm<sup>−1</sup>. Thus, the nature of the adsorption of CO<sub>2</sub><sup>•−</sup> intermediates on the BDD electrodes is different in the two different solutions. BDD film was also deposited by using <sup>13</sup>CH<sub>4</sub> as carbon source (<sup>13</sup>C-BDD), and ATR-IR measurements were done by using <sup>13</sup>C-BDD film for the further study. The peak which is assigned to CO<sub>2</sub><sup>•−</sup> intermediates was shifted to 1624 cm<sup>−1</sup> in KClO<sub>4</sub> aqueous solution, but in KCl aqueous solution, no peak shift was observed. Only in KClO<sub>4</sub> aqueous solution, we observed the difference caused by an isotope labelling,



**Fig. 6** Time-dependent ATR-IR spectra during the electrochemical reduction of  $\text{CO}_2$  using BDD film deposited onto a Si ATR-IR prism at  $-2.1$  V (vs. Ag/AgCl) in **a**  $\text{KClO}_4$  and **b**  $\text{KCl}$  aqueous solutions. Each spectrum was taken every five minutes during the electrolysis. **c** Comparison of the spectra taken after 30 min of electrolysis in  $\text{KClO}_4$  (red) and  $\text{KCl}$  (blue) aqueous solutions

so it is plausible that  $\text{CO}_2^{\bullet-}$  intermediates adsorb on the surface of BDD in  $\text{KClO}_4$  aqueous solution, but in case of  $\text{KCl}$  aqueous solution, many intermediates exist as free intermediates.

In addition, the boron concentration of BDD electrodes and a flow rate of electrolyte were varied to obtain good selectivity and efficiency for producing carbon monoxide. By increasing the boron concentration of BDD electrodes to 1% and using  $\text{KClO}_4$  as the electrolyte, the Faradaic efficiency of carbon monoxide production increased and the selectivity to produce carbon monoxide also improved. It was reported that BDD electrodes which are highly doped with boron have more acceptor states and holes [26], so  $\text{CO}_2^{\bullet-}$  intermediates can adsorb on the surface of BDD more easily. Furthermore, a flow rate of the electrolyte was varied from  $100 \text{ mL min}^{-1}$  to  $500 \text{ mL min}^{-1}$ . The Faradaic efficiency for producing carbon monoxide and formic acid increased and that for producing hydrogen dramatically decreased as the flow rate was increased. When the flow rate was high, fresh  $\text{CO}_2$  was supplied more to the surface of cathode [9], and the removal of carbon monoxide which was produced on the cathode was also promoted.

By using  $\text{KClO}_4$  as the electrolyte and high boron content BDD as the cathode, the Faradaic efficiency for producing carbon monoxide was reached to 68%.

### 2.3 Other C1 Products Production

In  $\text{CO}_2$  reduction using BDD electrode, formic acid or carbon monoxide is easily produced. However, methanol and formaldehyde were also produced by reducing the applied potential or changing the solution.

An aqueous ammonia solution which is one of the strong  $\text{CO}_2$  absorbers was used as the catholyte in  $\text{CO}_2$  reduction with a batch cell using 1% BDD electrodes [10].

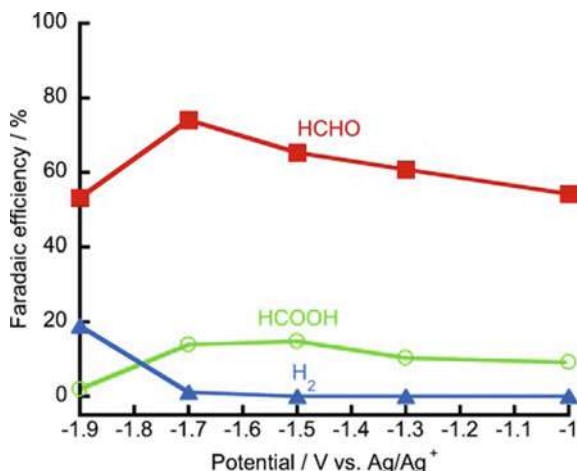
**Table 2** The Faradaic efficiencies for the production of methanol, carbon monoxide, methane and hydrogen by the electrochemical reduction of CO<sub>2</sub> with a batch cell using 1% BDD electrodes at  $-1.5$  V to  $-1.2$  V (*vs.* Ag/AgCl) in an aqueous ammonia solution

Potential (V <i>vs.</i> Ag/AgCl)	Faradaic efficiency (%)			
	CH <sub>3</sub> OH	CO	CH <sub>4</sub>	H <sub>2</sub>
-1.2	2.61	0	0.24	0
-1.3	24.3	0.05	0.13	19.7
-1.4	15.1	0.12	0.03	25.8
-1.5	2.02	0.20	0	57.2

Methanol was mainly produced and a small amount of methane and carbon monoxide were also obtained (Table 2). The Faradaic efficiency of methanol production was reached to 24.3% at  $-1.3$  V (*vs.* Ag/AgCl). The Faradaic efficiencies for producing methane and carbon monoxide were quite small, so a selective methanol production was achieved which could not be achieved even using metal-modified BDD electrodes [27]. The pH of an aqueous ammonia solution saturated with CO<sub>2</sub> was neutral, so CO<sub>2</sub> bubbled into the solution exists as HCO<sub>3</sub><sup>-</sup> in the solution [18]. Thus, it is assumed that HCO<sub>3</sub><sup>-</sup> undergoes CO<sub>2</sub> reduction in this system. The electrochemical reduction of CO<sub>2</sub> in NH<sub>4</sub>HCO<sub>3</sub> aqueous solution was also conducted without CO<sub>2</sub> bubbling to confirm that HCO<sub>3</sub><sup>-</sup> is a reactant. The results showed that methanol was mainly produced.

CO<sub>2</sub> reduction with a batch cell using BDD electrodes in a methanol solution containing tetrabutylammonium perchlorate as a supporting electrolyte was investigated at relatively positive potential ( $-1.9$  V  $\sim$   $-1.0$  V *vs.* Ag/Ag<sup>+</sup>) compared to that applied to produce formic acid [11]. In this condition, formaldehyde was mainly produced and formic acid was produced as a by-product (Fig. 7). The Faradaic efficiency of producing formaldehyde became the maximum (74%) at  $-1.7$  V and that

**Fig. 7** Faradaic efficiencies for the production of formaldehyde (red squares), formic acid (green circles), and hydrogen (blue triangles) by the electrochemical reduction of CO<sub>2</sub> with a batch cell using 1% BDD electrodes in a methanol solution containing tetrabutylammonium perchlorate as a supporting electrolyte



of producing formic acid reached to 15% at  $-1.5$  V. It is reported that the pH near the cathode becomes basic when more negative potential was applied, and Cannizzaro-type disproportionation reactions occur [28]. Formic acid and methanol are thought to be produced from formaldehyde by this reaction. It is likely that one of the reasons why formic acid was easily produced in the previous sections is that applying more negative potential during the electrolysis. Furthermore, the electrolysis using BDD electrodes for both the cathode and the anode was investigated in  $\text{Na}_2\text{SO}_4$  aqueous solution, and the highest Faradaic efficiency for the production of formaldehyde (42%) was obtained at  $-1.2$  V vs. Ag/AgCl [29].

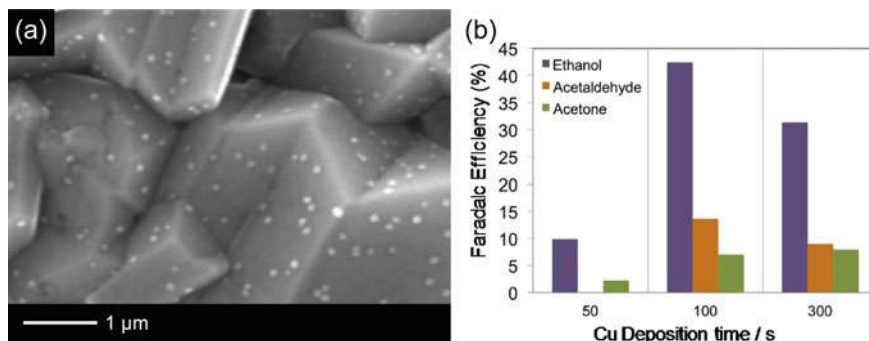
By changing applied potential or the electrolyte, methanol and formaldehyde were also obtained from  $\text{CO}_2$  electroreduction using bare-BDD electrodes.

### 3 $\text{CO}_2$ Reduction Using Metal-Modified BDD Electrodes

$\text{CO}_2$  reduction reaction using BDD electrodes occurs at more negative potential compared to using metal electrodes. It is also important to reduce the overpotential for  $\text{CO}_2$  conversion [3]. By modifying metal particles on the surface of BDD electrodes, we attempt to reduce the overpotential and expect to get other reduction products.

#### 3.1 *Cu-Modified BDD Electrodes: C2 and C3 Species Production*

Cu has a specific property for  $\text{CO}_2$  reduction reaction as it is the only metal which can also produce C2 and C3 products.  $\text{CO}_2$  reduction with a batch cell using Cu-modified BDD (Cu-BDD) electrodes was investigated [30]. Cu-BDD electrodes were prepared by chronoamperometry at  $-0.6$  V (vs. Ag/AgCl) in  $\text{H}_2\text{SO}_4$  aqueous solution containing  $\text{CuSO}_4$  for 50 s (Cu-BDD-50), 100 s (Cu-BDD-100), and 300 s (Cu-BDD-300). The average size of Cu particles on each Cu-BDD electrode was around 50–85 nm (Fig. 8a). Cu-BDD and Pt mesh were used as the cathode and the anode, respectively. KCl aqueous solution saturated with  $\text{CO}_2$  was used as the electrolyte. Although carbon monoxide was mainly produced in the previous research [31], ethanol was mainly produced, and acetaldehyde and acetone were also obtained (Fig. 8b). The Faradaic efficiency for producing ethanol was highest when using Cu-BDD-100 as the cathode. When Cu-BDD-50 was used, the amount of Cu particles was not enough to undergo efficient  $\text{CO}_2$  reduction reaction. In case of Cu-BDD-300, the production of  $\text{CO}_2$  reduction products decreased because of the instability of Cu particles. It is assumed that hydrogen evolution reaction was promoted due to the narrower potential window of highly metal-modified BDD electrodes, and Cu particles were detached by abundant hydrogen evolution. Moreover,  $\text{CO}_2$  reduction using Cu-BDD-100 was carried out at several potentials ( $-1.2$  V  $\sim$   $-0.8$  V vs.



**Fig. 8** **a** SEM images of Cu-BDD-100 electrode before the electrolysis. **b** The Faradaic efficiencies for the production of ethanol (purple), acetaldehyde (orange), and acetone (green) by the electrochemical reduction of CO<sub>2</sub> with a batch cell using Cu-BDD-50, Cu-BDD-100, and Cu-BDD-300 electrodes at  $-1.0$  V (vs. Ag/AgCl) in KCl aqueous solution

Ag/AgCl). The Faradaic efficiency for the production of ethanol was highest at  $-1.0$  V. At more negative potential than  $-1.0$  V, the Faradaic efficiency for producing ethanol decreased, which is due to the detachment of Cu particles by hydrogen evolution reaction. At more positive potential than  $-1.0$  V, Cu particles on BDD electrodes seemed to be stable after the electrolysis. It is supposed that at  $-1.0$  V or at more positive potential than  $-1.0$  V, hydrogen evolution reaction was suppressed and it is favourable to undergo C–C coupling reactions [32] which depend on the amount of carbon monoxide adsorbed to the surface of the cathode.

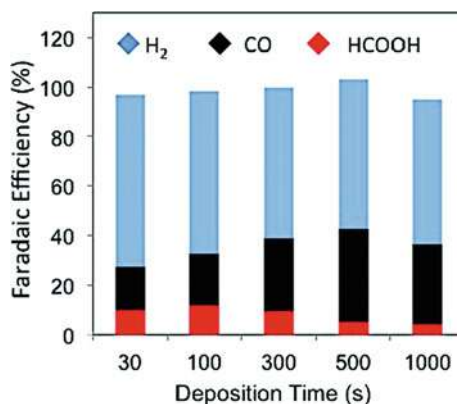
CO<sub>2</sub> reduction using Cu-BDD electrodes proceeded at low potential ( $-1.0$  V), which bare-BDD electrodes cannot undergo efficient electrolysis. Using Cu-BDD as the cathode, it has advantages for lowering the overpotential for CO<sub>2</sub> reduction reaction and producing C2 and C3 species from CO<sub>2</sub>.

### 3.2 Pd-Modified, Ag-Modified, and Cu-SnO<sub>x</sub>-Modified BDD Electrodes: Carbon Monoxide Production

BDD electrodes modified with Pd [33, 34], Ag [22], and Cu-SnO<sub>x</sub> [35] were also used in CO<sub>2</sub> reduction. Pd-modified BDD (PdBDD) electrodes were prepared by chronoamperometry at  $-0.15$  V (vs. Ag/AgCl) in HCl aqueous solution containing PdCl<sub>2</sub> for 30 s (PdBDD30), 100 s (PdBDD100), 300 s (PdBDD300), 500 s (PdBDD500), and 1000 s (PdBDD1000). The average size of Pd particles on PdBDD30, PdBDD100, and PdBDD300 electrodes was around 50 nm, and it was around 160 nm on PdBDD500 and PdBDD1000 electrodes. PdBDD and Pt mesh were used as the cathode and the anode, respectively. NaCl aqueous solution saturated with CO<sub>2</sub> was used as the electrolyte. Carbon monoxide was mainly produced, and formic acid was also obtained (Fig. 9). The Faradaic efficiency for producing



**Fig. 9** Faradaic efficiencies for the production of hydrogen (blue), carbon monoxide (black) and formic acid (red) by the electrochemical reduction of  $\text{CO}_2$  with a batch cell using PdBDD30, PdBDD100, PdBDD300, PdBDD500 and PdBDD1000 electrodes at  $-1.5$  V (vs. Ag/AgCl) in NaCl aqueous solution



carbon monoxide increased as the amount of Pd particles modified on BDD electrodes was increased, but it decreased slightly with PdBDD1000. In addition, the optimum applied potential was studied at  $-1.9$  V  $\sim$   $-1.4$  V (vs. Ag/AgCl). The highest Faradaic efficiency for the production of carbon monoxide (53.3%) was observed at  $-1.6$  V using PdBDD300 as the cathode. For the further study, PdBDD300 was used as the cathode. The effect of electrolyte concentration was then investigated using NaCl aqueous solution whose concentration was 0.1, 0.3, 0.5, and 1.0 mol L<sup>-1</sup>. Both the Faradaic efficiency and the partial current density for the production of  $\text{CO}_2$  reduction products tended to increase as the concentration of electrolyte was increased for the higher ionic conductivity. Furthermore, other cations ( $\text{K}^+$ ,  $\text{Rb}^+$ , and  $\text{Cs}^+$ ) were used. The Faradaic efficiency for the production of carbon monoxide in KCl and CsCl aqueous solutions showed the similar trend observed in NaCl aqueous solution that is the Faradaic efficiency increased as the electrolyte concentration was increased. However, in RbCl aqueous solution, formic acid was a main product. In our previous research of  $\text{CO}_2$  reduction using bare-BDD electrodes, the maximum production of formic acid was also achieved when  $\text{Rb}^+$  was used as a cation of the catholyte [17, 36]. Moreover, the effect of anions was investigated in NaCl,  $\text{Na}_2\text{SO}_4$ ,  $\text{Na}_2\text{CO}_3$ , and  $\text{NaClO}_4$  aqueous solutions. In NaCl and  $\text{NaClO}_4$  aqueous solutions, carbon monoxide was well produced, but in  $\text{Na}_2\text{CO}_3$  aqueous solution hydrogen evolution reaction was dominant. The pH of  $\text{Na}_2\text{CO}_3$  aqueous solution saturated with  $\text{CO}_2$  is neutral, so the concentration of  $\text{CO}_2$  molecules is low and that of  $\text{HCO}_3^-$  is high, leading to the suppression of  $\text{CO}_2$  reduction reaction.

Ag-modified BDD electrodes were prepared in a two-electrode system at 10 V (vs. Pt counter electrode) in  $\text{AgNO}_3$  aqueous solution.  $\text{CO}_2$  reduction using Ag-modified BDD was performed in  $\text{K}_2\text{SO}_4$  and  $\text{KHCO}_3$  aqueous solutions saturated with  $\text{CO}_2$ , and carbon monoxide production was promoted and the overpotential for  $\text{CO}_2$  reduction was also decreased.

Cu-SnO<sub>x</sub>-modified BDD electrodes were prepared by cyclic voltammetry (0 V  $\sim$   $-1.0$  V vs. Ag/AgCl) in  $\text{NaNO}_3$  aqueous solution containing  $\text{CuSO}_4$  and  $\text{SnCl}_2$ . The average size of Cu-SnO<sub>x</sub> hybrid particles on BDD electrodes was 50–100 nm.

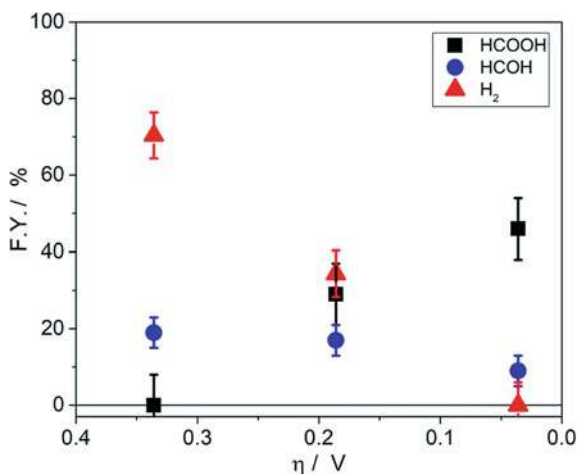
Cu-SnO<sub>x</sub>-modified BDD and Pt net were used as the cathode and the anode, respectively. Na<sub>2</sub>SO<sub>4</sub> aqueous solution saturated with CO<sub>2</sub> was used as the electrolyte. Carbon monoxide, formic acid, and methane were produced. At less negative potential ( $-1.8\text{ V} \sim -1.5\text{ V}$  vs. Ag/AgCl), carbon monoxide was mainly produced, but as the applied potential shifted to the more negative potential ( $-2.0\text{ V} \sim -1.9\text{ V}$  vs. Ag/AgCl), formic acid was mainly produced and methane production was also promoted. The Faradaic efficiency for the production of carbon monoxide reached to 82.5% at  $-1.6\text{ V}$ , and that for the production of formic acid reached to 46.6% at  $-2.0\text{ V}$ . It is assumed that this high activity of Cu-SnO<sub>x</sub> modified BDD electrodes is due to the cooperative effects of Cu-SnO<sub>x</sub> hybrid particles. The active sites through Cu doping and presence of oxides might stabilize CO<sub>2</sub><sup>•-</sup> intermediates.

We could obtain carbon monoxide from the electrochemical CO<sub>2</sub> reduction using BDD electrodes modified with Pd, Ag, and Cu-SnO<sub>x</sub> at relatively positive potential compared to the electrolysis using bare-BDD electrodes.

### 3.3 CeO<sub>2</sub>-Modified and IrO<sub>2</sub>-Modified BDD Electrodes: Formic Acid Production

BDD electrodes modified with CeO<sub>2</sub> [37] and IrO<sub>2</sub> [38] were also used in CO<sub>2</sub> reduction. CeO<sub>2</sub>-modified BDD electrodes were prepared by chronoamperometry in NaNO<sub>3</sub> solution containing Ce(NO<sub>3</sub>)<sub>3</sub>. CO<sub>2</sub> reduction using CeO<sub>2</sub>-modified BDD was carried out in KHCO<sub>3</sub> buffer solution or phosphate buffer solution. Formic acid was mainly produced and formaldehyde was also obtained (Fig. 10). The highest Faradaic efficiency for the production of formic acid (46%) was observed at quite low

**Fig. 10** Relationship between overpotential and the Faradaic efficiencies for the production of formic acid (black squares), formaldehyde (blue circles), and hydrogen (red triangles) in the electrochemical reduction of CO<sub>2</sub> using CeO<sub>2</sub>-modified BDD electrodes



overpotential ( $\phi \sim 40$  mV). Ceria seemed to act as a co-catalyst and adsorbed the reactants, so CO<sub>2</sub> reduction was promoted, leading to the decrease of the overpotential. Overpotential was calculated by the following equation:

$$\text{Overpotential } (\phi) = E_{\text{vs. SHE}} - E_{\text{Eq}}(\text{CO}_2/\text{HCOOH})$$

$$E_{\text{Eq}}(\text{CO}_2/\text{HCOOH}) = -0.418 \text{ V (at pH = 6.8)}.$$

IrO<sub>2</sub>-modified BDD electrodes were prepared by cyclic voltammetry (+0.2 V ~ +1.2 V vs. Ag/AgCl) in KNO<sub>3</sub> solution containing Na<sub>2</sub>IrCl<sub>6</sub> whose pH was fixed at 10.5. CO<sub>2</sub> reduction using IrO<sub>2</sub>-modified BDD was conducted in NaCl solution. Formic acid and carbon monoxide were produced at more positive potential than the electrolysis using bare-BDD electrodes. The Faradaic efficiency of producing formic acid could reach to 51.98% at -1.7 V (vs. Ag/AgCl).

In both cases, formic acid was produced at more positive potential than the previous electrolysis which was written in Sect. 2.1.

## 4 Conclusions

The electrochemical CO<sub>2</sub> reduction using bare-BDD and metal-modified BDD electrodes was investigated. We could obtain various CO<sub>2</sub> reduction products such as formic acid, carbon monoxide, methanol, formaldehyde, ethanol, acetaldehyde, and acetone by modifying the BDD electrodes, and using various electrolytes and applied potential. BDD electrode which is inert tends to produce formic acid efficiently. However, it is of great interest to produce several products by optimizing the electrolysis conditions. In most cases using bare-BDD electrodes, high durability was confirmed. In contrast, the electrolysis using metal-modified BDD electrodes showed some problems for the stability of metal-modified BDD electrodes. However, metal-modified BDD electrodes showed a decrease of the overpotential for CO<sub>2</sub> reduction reaction, and this is one of the things which CO<sub>2</sub> reduction using bare-BDD electrodes should achieve. Various products including C2 and C3 species can be produced more efficiently and selectively from the electroreduction of CO<sub>2</sub> using highly stable BDD electrodes in the future.

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# Electro-Organic Synthesis



Takashi Yamamoto and Tsuyoshi Saitoh

**Abstract** In this chapter, we describe electro-organic synthesis using a boron-doped diamond (BDD) electrode. First, a brief introduction about electro-organic synthesis is provided. This section begins with an explanation of a basic concept in electro-organic synthesis, followed by an introduction of the important milestones. Second, we focus on the electrochemical molecular conversions where the BDD electrodes play a significant role. This section begins with a review of electrochemical properties of BDD, followed by an introduction of reported examples such as anodic methoxylation and dehydrogenative anodic C–C coupling reactions. Third, we describe our approaches toward natural product synthesis via electro-organic synthesis using a BDD electrode: (1) anodic oxidation of the phenol compound possessing a phenylpropanoid framework and (2) cathodic reduction of aromatic carbonyl compounds.

**Keywords** Electro-organic synthesis • Boron-doped diamond • Anodic oxidation • Cathodic reduction

## 1 Introduction

Electro-organic synthesis refers to an organic synthetic method combined with electrochemistry [1, 2]. A striking feature in electro-organic synthesis is the use of electricity as a reagent, which allows to replace conventional oxidizing and reducing agents and reduce the reagent waste to a minimum. Obviously, as this characteristic matches well with the increasing demands to realize sustainable society, the field of electrosynthesis has experienced a renaissance [3, 4]. Although the application of electricity to organic synthesis offers a new perspective, some researchers might be

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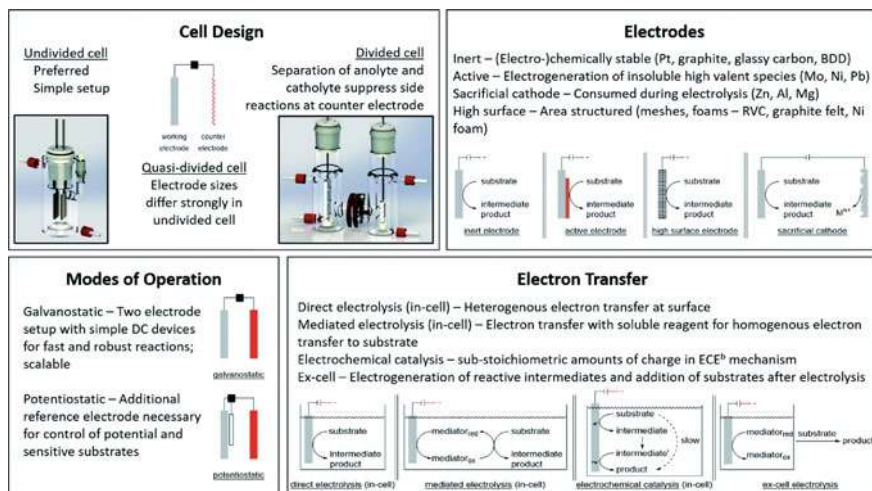
T. Saitoh

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passive to take advantage of such opportunities. However, thanks to the renaissance, a wide variety of literatures including review articles is now available, providing the concept, experimental setup and others [5, 6].

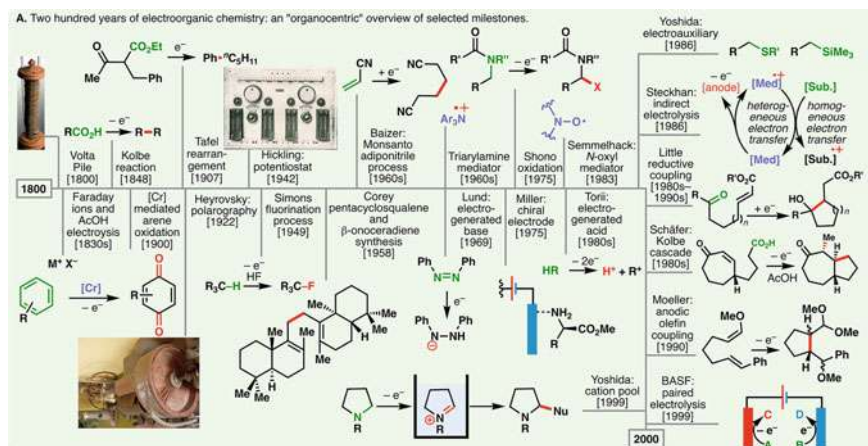
Figure 1 is adapted from the perspective article by Waldvogel et al., summarizing modes of operation for electro-organic synthesis and electrochemical facts [7]. As reactions occur at the anode (oxidation) and/or cathode (reduction), electrode materials are a significant parameter for electro-organic conversion. An electrolyte system consists of a chemically (or electrochemically) inert solvent and supporting electrolyte to ensure electrical conductivity. Particularly, the supporting electrolyte contributes to the formation of an electrochemical double layer at the electrode surface where highly active species such as radical cations and anions are accumulated. Besides, applied charge and current density (or potential) influence on the process: The former corresponds to the number of equivalents of reagent, and the latter controls the electrode reactions.

Figure 2 is adapted from the review article by Baran et al., summarizing the selected milestones in electro-organic chemistry [3]. Inspired by the invention of Volta Pile (1800) that allows the movement of electrons through a circuit [8], Faraday's pioneering efforts (1830s) triggered the utilization of the electric current for organic reactions [9]. Particularly, Faraday's electrolysis of acetic acid is the first preparative organic electrochemical experiment that leads to the invention of the Kolbe electrolysis (1847), in which anodic oxidation of carboxylic acids provides a convenient means for alkyl radicals [10]. On the other hand, Schoebeein's dehalogenation of trichloromethanesulfonic acid is the first cathodic reduction of an organic compound (1845) [11]. Scalability of electro-organic synthesis was demonstrated by Simons fluorination process (1949) [12] and Monsanto adiponitrile process (1960s)



**Fig. 1** Modes of operation for electro-organic synthesis and electrochemical facts. Adapted with permission from *Chem. Sci.* **2020**, *11*, 12,386–12,400. Copyright (2020) Royal Society of Chemistry





**Fig. 2** Two hundred years of electro-organic chemistry: an “organocentric” view of selected milestones. Adapted with permission from *Chem. Rev.* **2017**, *117*, 13,230–13,319. Copyright (2017) American Chemical Society

[13]. In terms of stabilization of an electrogenerated intermediate, indirect electrolysis using redox mediators has been developed such as triarylamine (1970s) [14] and nitroxyl radical (1980s) [15].

Other notable achievements are summarized as follows. Shono oxidation (1975) allowed the  $\alpha$ -functionalization of alkyl amides [16]. Yoshida et al. introduced the concept of electroauxiliary (1986), allowing controls over regio- and chemoselectivities [17]. Little et al. established the cathodic reduction methodology (1980–1990s) as a robust means to accomplish ring-forming reactions without utilizing sensitive single-electron metal reductants [18]. Moeller’s anodic olefin coupling (1990) provided fundamental insights on the polarity of radical cations [19]. BASF utilized paired electrolysis, highlighting the possibility of boosting the energy efficiency of electro-organic synthesis in an industrial setup [20]. Yoshida’s concept of cation pool (1999) enabled the accumulation of reactive cations that can engage various nucleophilic species in further functionalization [21].

## 2 Electro-Organic Synthesis Using a Boron-Doped Diamond (BDD) Electrodes

This section introduces the electrochemical molecular conversions where the BDD electrodes play a significant role. As the electrochemical properties of BDD can be found in the review articles [22], the attractive properties are briefly described from the perspective of electro-organic synthesis. BDD is chemically inert and does not swell in electrolyte solution. Moreover, BDD exhibits high chemical stability even

in harsh conditions, at high current densities and potentials. As the electrochemical properties, BDD exhibits a wide electrochemical potential window, weak molecular adsorption, and microstructural stability. Especially, the ability to generate alkoxy or hydroxyl radicals easily is attractive [23].

## 2.1 Anodic Methoxylation

Comninellis is the pioneer about electro-organic synthesis using BDD electrodes. In 2004, anodic methoxylation of 4-*tert*-butyltoluene has been reported [24]. A twofold two-electron oxidation yielded 4-*tert*-butylbenzaldehyde dimethyl acetal, which can be considered as protected aldehyde (Fig. 3a). Hydrolysis provides corresponding aldehyde and hydrolyzed methanol can be recovered. In 2006, anodic methoxylation of dimethoxymethane to trimethylorthoformate has been reported (Fig. 3b) [25]. Trimethylorthoformate is a widely used reagent as a formic acid equivalent exhibiting a strong dehydrating characteristic. Later, such anodic methoxylation has also been reported by Suryanarayanan et al. in 2013, in which 2-oxazolidinone was methoxylated in a regioselective manner (Fig. 3c) [26].

Comninellis et al. have also reported the bond cleavage reactions and subsequent methoxylation. Anodic oxidation of 1,2-bis(4-*tert*-butylphenyl)ethane led to C – C bond cleavage and the subsequent methoxylation yielded the methoxylated compounds (Fig. 4a) [27]. In addition, phenanthrene was cleaved to yield the corresponding acetal (Fig. 4a) [28].

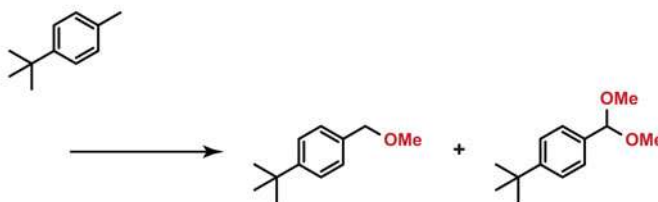
## 2.2 Dehydrogenative Anodic C–C Coupling Reactions

Coupling reactions of aryls are significantly important in modern organic chemistry [29]. This molecular transformation is essential for synthesizing complex and nonsymmetric structures, which contributes to a wide variety of research fields ranging from material science [30] and natural product synthesis [31]. Generically, such coupling reactions require a leaving functionality and a transition metal catalyst. On the other hand, as described in introduction, the most striking advantage of electro-organic synthesis is the reduction or avoidance of reagent waste. Furthermore, electrochemistry enables a mild and easy procedure to generate radical intermediates from substrates in addition to typical oxidative or reductive transformations. Based on these advantages, Waldvogel et al. developed a large number of anodic coupling reactions that offers a new sustainable method to obtain biaryl compounds [32].

The first example was the anodic homo-coupling of 2,4-dimethylphenol (Fig. 5a) [33]. Using BDD as an anode enabled the controlled conversion with almost no over-oxidation or mineralization, leading to high selectivity and yield of the corresponding biphenol. In 2009, Waldvogel et al. have reported *ortho*-selective phenol coupling reactions using fluorinated alcohols as solvent (Fig. 5b) [34]. Among the

### Addition Reaction of Electrogenerated Methoxy Radical

(a) Comninellis et al., *Electrochem. Commun.* (2004).



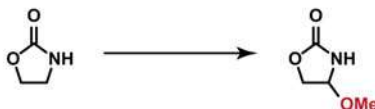
(+) BDD, (–) stainless steel,  $\text{H}_2\text{SO}_4$  in  $\text{MeOH}/\text{H}_2\text{O}$ ,  
34  $\text{mA}/\text{cm}^2$ , undivided flow cell, 54  $^\circ\text{C}$ .

(b) Comninellis et al., *Electrochem. Commun.* (2006).



(+) BDD, (–) stainless steel, MeONa and MTBS in MeOH,  
180  $\text{mA}/\text{cm}^2$ , undivided flow cell, 40  $^\circ\text{C}$ .

(c) Suryanarayanan et al., *Electrochem. Commun.* (2013).



(+) BDD, (–) stainless steel,  $\text{H}_2\text{SO}_4$  in MeOH,  
50  $\text{mA}/\text{cm}^2$ , undivided flow cell, 54  $^\circ\text{C}$ .

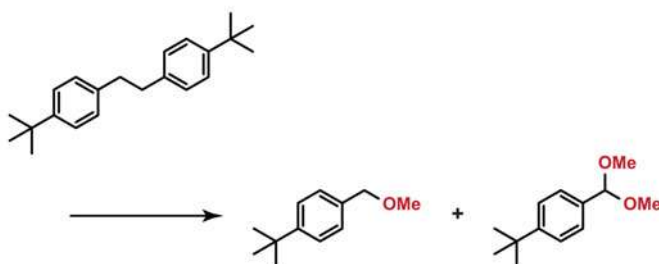
**Fig. 3** Addition reactions of electrogenerated methoxy radicals. **a** Anodic methoxylation of 4-*tert*-butyltoluene [24]. **b** Anodic methoxylation of dimethoxymethane [25]. MTBS denotes *N*-methyl-*N,N,N*-tributylammonium methylsulfate. **c** Anodic methoxylation of 2-oxazolidinone [26]

examined fluorinated alcohols, 1,1,1,3,3,3-hexafluoroisopropanol (HFIP) was found to be a major effect on the lifetime of phenoxy radicals, due to the ability to act as a strong hydrogen bonding donor. It should be noted that HFIP is electrochemically inert and offers, especially in combination with BDD electrodes, the largest known electrochemical potential window [35]. Recently, the *para*-selective coupling reactions (Fig. 5c) [36] and coupling reactions of phenols bearing electron-withdrawing groups (Fig. 5d) [37] have been reported.

In 2010, Waldvogel et al. have reported the first example of the anodic phenol – arene cross-coupling reactions in BDD/HFIP system (Fig. 6a) [38]. Afterward, cyclic voltammetry measurements revealed the role of protic additives such as water and methanol, in which an oxidation potential of substrates can be controlled within

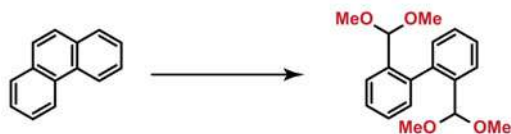
**Bond Cleavage Reaction by  
Electrogenerated Methoxy Radical**

(a) Comninellis et al., *Electrochem. Commun.* (2004).



(+) BDD, (–) stainless steel,  $\text{H}_2\text{SO}_4$  in  $\text{MeOH}/\text{H}_2\text{O}$ ,  
34  $\text{mA}/\text{cm}^2$ , undivided flow cell, 54 °C.

(b) Comninellis et al., *J. Appl. Electrochem.* (2005).



(+) BDD, (–) stainless steel,  $\text{H}_2\text{SO}_4$  in  $\text{MeOH}$ ,  
34  $\text{mA}/\text{cm}^2$ , undivided cell, 54 °C.

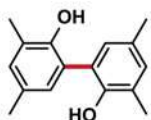
**Fig. 4** Bond cleavage reactions by electrogenerated methoxy radicals. **a** Anodic cleavage and methoxylation reactions of 1,2-bis(4-*tert*-butylphenyl)ethane [27]. **b** Anodic cleavage and methoxylation reactions of phenanthrene [28]

a given range and therefore define the predominantly oxidized species [39]. HFIP is capable of a unique decoupling of nucleophilicity and oxidation potential of the substrates due to a tight solvation sphere (Fig. 7) [40, 41]. Based on these features, the anodic phenol – phenol cross-coupling reactions to yield nonsymmetrical 2,2'-biphenols have been reported (Fig. 6b) [42]. Installing a protective group to phenol with the higher oxidation potential enabled high-yield synthesis of the nonsymmetric 2,2'-biphenols and the of extremely electron-rich phenols (Fig. 6c) [43]. Recently, the anodic cross-coupling reactions of thiophenes/benzo[b]thiophenes with phenols have been also reported (Fig. 6d) [44].

Figure 8 shows the mechanistic rationale for an anodic cross-coupling reaction of phenol with arene. A selective oxidation of phenol (**A**) exhibiting the lower oxidation potential resulted in generating a strongly acidic radical cation. This radical cation loses a proton immediately and is converted to the phenoxyl radical (**I**) that is stabilized over resonance structures. Subsequently, the radical is attacked nucleophilically

**Anodic C–C Homo-Coupling Reaction of Phenol**

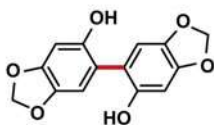
(a) *Eur. J. Org. Chem.* (2006); 1 example.



56%

(+) BDD, (–) Ni, MTES in H<sub>2</sub>O,  
10 mA/cm<sup>2</sup>, undivided cell, 70 °C.

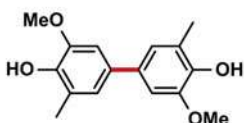
(b) *Chem. Eur. J.* (2009); 8 examples.



74%

(+) BDD, (–) Ni, MTES in HFIP,  
4.7 mA/cm<sup>2</sup>, undivided cell, 50 °C.

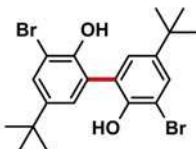
(c) *Chem. Eur. J.* (2019); 5 examples.



60%

(+) BDD, (–) BDD, MTBS in HFIP/H<sub>2</sub>O,  
7.2 mA/cm<sup>2</sup>, undivided cell, rt.

(d) *Angew. Chem. Int. Ed.* (2020); 5 examples.



60%

(+) BDD, (–) BDD, DIPEA in HFIP,  
5.0 mA/cm<sup>2</sup>, undivided cell, rt.

**Fig. 5** Anodic C–C homo-coupling reactions of phenols. **a** An *ortho*-coupling reaction [33]. MTES denotes *N*-methyl-*N,N,N*-triethylammonium methylsulfate. **b** *ortho*-selective coupling reactions [34]. **c** Selective formation of 4,4'-biphenol [36]. **d** Coupling reactions of phenols bearing electron-withdrawing groups [37]. DIPEA denotes diisopropylethylamine

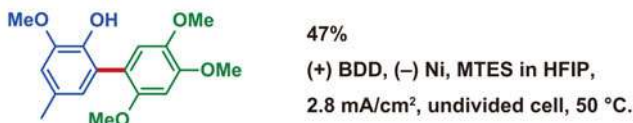
by arene (**B**) exhibiting the higher oxidation potential. The resulting radical intermediate (**II**) is rearomatized, and the loss of an electron and proton leads to the cross-coupling product (**AB**).

The above concept was extended to the electrochemical synthesis of teraryls. A twofold anodic cross-coupling of biaryls with an phenol species led to symmetrical and nonsymmetrical *meta*-terphenyl-2,2''-diols (Fig. 9a) [45, 46]. Similarly,

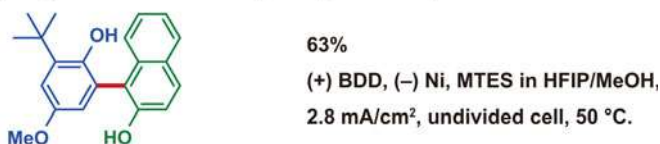
### Anodic C–C Cross-Coupling Reaction



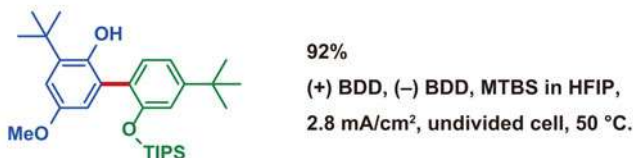
(a) *Angew. Chem. Int. Ed.* (2010); 8 examples.



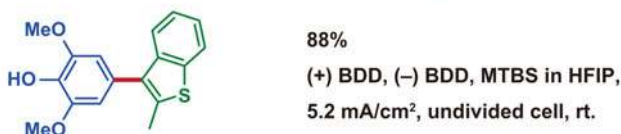
(b) *Angew. Chem. Int. Ed.* (2014); 14 examples.



(c) *Angew. Chem. Int. Ed.* (2016); 14 examples.

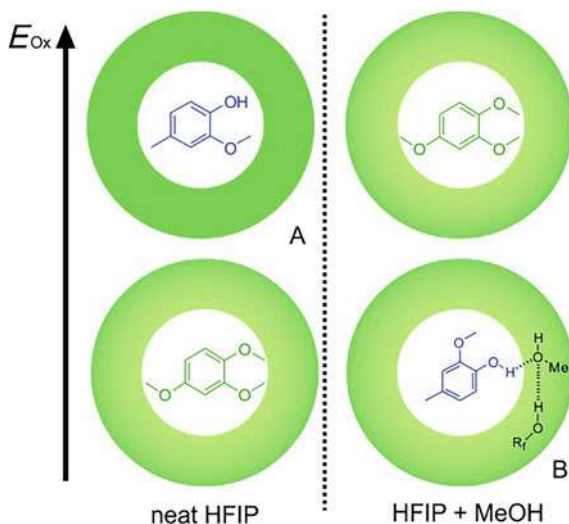


(d) *Angew. Chem. Int. Ed.* (2018); 18 examples.

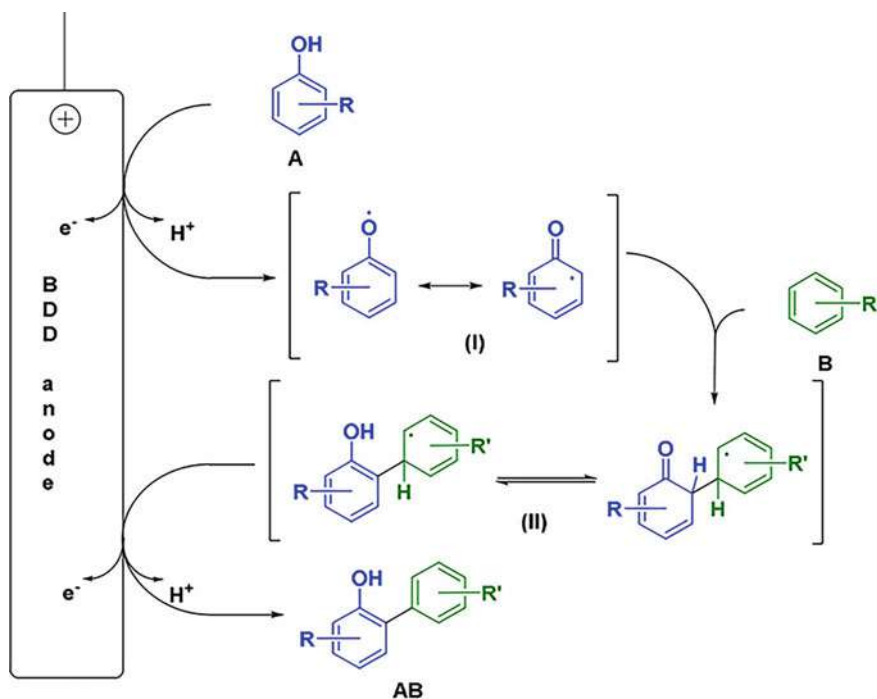


**Fig. 6** Anodic C–C cross-coupling reactions to biaryl. **a** Phenol–arene coupling reactions [38]. **b** Phenol–phenol (naphthol) coupling reactions [42]. **c** Phenol–protected phenol coupling reactions [43]. **d** Phenol–thiophene coupling reactions [44]

2,5-bis(2-hydroxyphenyl)thiophenes have been obtained by a twofold anodic cross-coupling of phenol with an thiophene species (Fig. 9b) [47]. Other examples reported by Waldvogel et al. can be found in their review articles [32, 48].



**Fig. 7** Decoupling of the nucleophilicity and oxidation potential of the substrates due to a tight solvation sphere of HFIP. Adapted with permission from *Chem. Eur. J.* **2015**, *21*, 12,321–12,325. Copyright (2015) Wiley–VCH

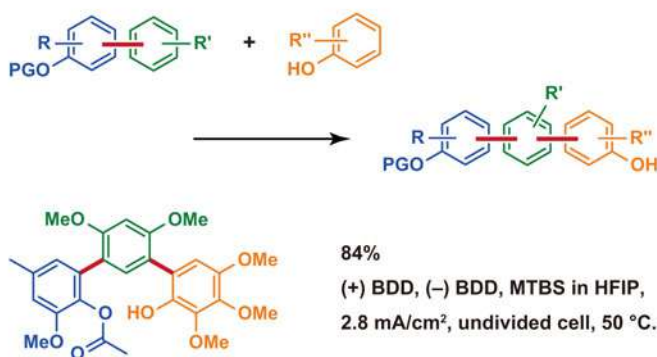


**Fig. 8** Mechanistic rationale for an anodic cross-coupling reaction of phenol with arene. Adapted with permission from *ChemElectroChem* **2019**, *6*, 1649 – 1660. Copyright (2019) Wiley–VCH

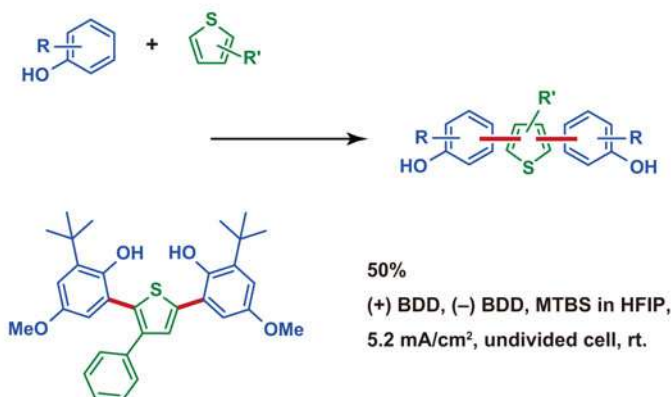


**Anodic C–C Cross-Coupling Reaction to Teraryl**  
(Waldvogel et al.)

(a) *Angew. Chem. Int. Ed.* (2016) and *Synlett* (2019); 15 examples.



(b) *Angew. Chem. Int. Ed.* (2017); 14 examples.



**Fig. 9** Anodic C–C cross-coupling reactions to teraryl. **a** Biphenol–phenol coupling reactions [45, 46]. **b** Phenol–thiophene coupling reactions [47]

### 3 Electro-Organic Synthesis Using BDD Electrodes Toward Natural Product Synthesis

Obviously, natural products are an important class of materials for our life because a wide variety of natural products and their synthetic derivatives have been used as a drug for a wide variety of diseases [49]. In nature, natural products are synthesized through an enzyme-catalyzed multi-step reaction, called biosynthesis. Therefore, it would be straightforward to synthesize natural products by mimicking the biosynthesis. Among a numerous number of enzymes involved in the biosynthesis, we have

focused on the oxidative enzymes because the reaction conducted by such enzymes could be replaced with electro-organic chemistry.

### 3.1 Anodic Oxidation of Phenol Compound

The beginning of the electrochemical natural product synthesis using BDD electrodes started in 2012 reporting the anodic oxidation of isoeugenol in methanol [50], aiming at the synthesis of the benzofuran-type neolignan, licarin A. Previously, the anodic oxidation of isoeugenol using a Pt anode provided licarin A in the isolated yield of 29% [51], which would be a benchmark for the performance of a BDD electrode.

Prior to the anodic oxidation of isoeugenol in BDD/methanol system, we carried out electron spin resonance (ESR) measurements to confirm the generation of methoxy radicals (Fig. 10, top). Anodic oxidation of methanol solution containing the radical trapping agent (5,5-dimethyl-1-pyrroline-*N*-oxide (DMPO)) were performed under constant potential condition (1.06 V vs. saturated calomel electrode (SCE)). The obtained ESR signals and the magnetic field value anticipated for nitroxyl radicals generated by reaction of DMPO with methoxy radicals [52]. Clearly, the intensities of the ESR signals varied with the anode used and followed the order of boron-doped diamond (BDD) > Pt > glassy carbon. Therefore, methoxy radicals generated efficiently by the anodic oxidation of methanol using the BDD anode. It should be emphasized that this observation is the first experimental evidence of the electrogenerated methoxy radical in BDD/methanol system.

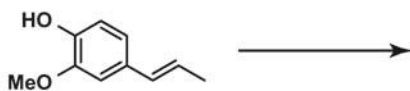
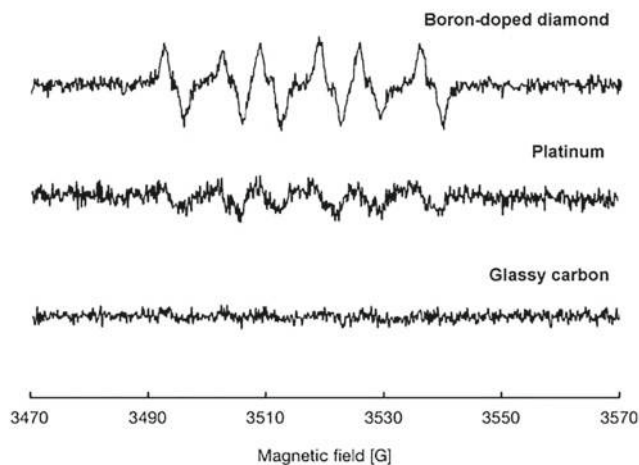
Based on this finding, the anodic oxidation of isoeugenol was performed in BDD/methanol system. As a result, licarin A and dimethoxylated phenol were obtained in 40 and 16% yield, respectively, which suggests that the electrogenerated methoxy radicals participated in the mechanism of molecular conversions. Please note that this rationale is supported by the investigations of reaction conditions such as electrode materials, applied potential, and solvent. Subsequently, we conducted the anodic oxidation in a flow cell system, aiming at the improvement of current efficiency and avoidance of secondary oxidation reactions. Moreover, we expected that the highly concentrated methoxy radicals at the anode would facilitate an efficient one-electron oxidation process. Investigations of current density and flow rate gave the optimized condition, providing dimethoxylated phenol in 90% yield. This observation suggests that the radical intermediate generated by sequential one-electron oxidation and deprotonation reacts rapidly with methoxy radicals.

As described in Sect. 10.2.2, the combination of BDD electrodes and HFIP solvent is a particularly powerful electrolytic system. BDD allows clean electrode reactions leading to a far superior anode material even at much more positive potentials [53]. In addition, the outstanding feature of HFIP lies in the solvent effect enabling highly selective electro-conversions [40]. Based on these advantages, we expected a unique anodic conversion of isoeugenol in the BDD/HFIP system [54].

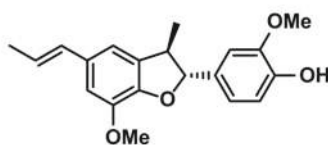
The anodic oxidation under constant current conditions resulted in complete consumption of isoeugenol and a sole peak corresponding to a coupling product was

**Anodic Oxidation of Isoeugenol Mediated by  
Electrogenerated Methoxy Radical  
(Einaga and Nishiyama et al.)**

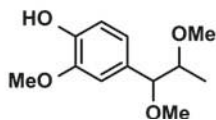
*Angew. Chem. Int. Ed.* (2012).



(+) BDD, (–) Pt, LiClO<sub>4</sub> in MeOH, undivided cell, rt.  
(Batch) 1.06 V vs. SCE; (Flow) 1.0 mA/cm<sup>2</sup>.



**Licarin A**  
(Batch) 40%  
(Flow) 4%

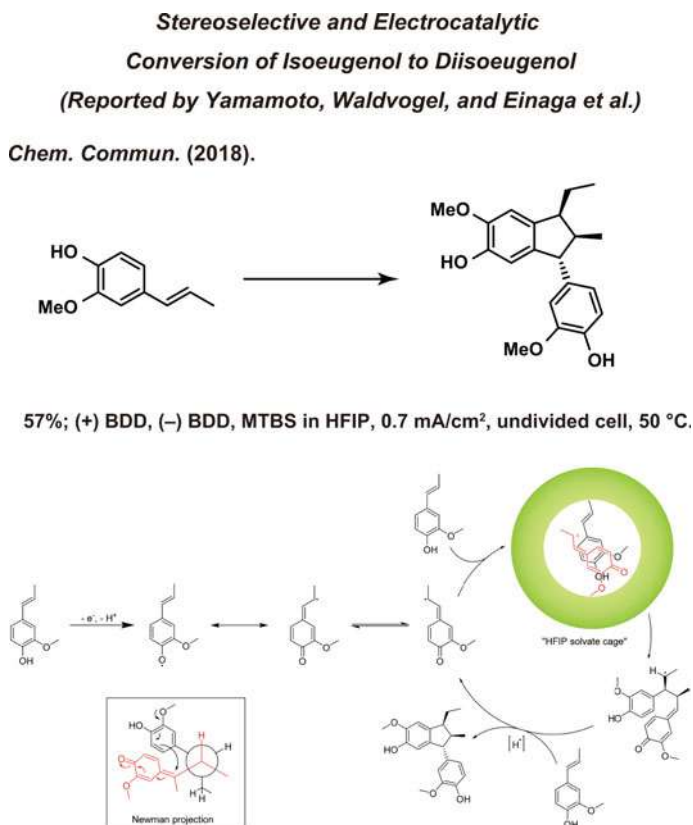


**Dimethoxylated Phenol**  
(Batch) 16%  
(Flow) 90%

**Fig. 10** Anodic oxidation of isoeugenol in MeOH [50]. The top graph shows the ESR spectra of the electrogenerated methoxy radicals. Adapted with permission from *Angew. Chem. Int. Ed.* **2012**, *51*, 5443–5446. Copyright (2012) Wiley–VCH

found in the gas chromatography–mass spectrometry (GC–MS) analysis, even upon applying a low current density. Presumably, in keeping with the seminal findings of Chiba et al. [55], the anodic oxidation of electron-rich substrates proceeded via the radical cation chain reaction, where a catalytic amount of electricity was sufficient to complete the conversion. Furthermore, investigations with varying the amount of charge revealed that isoeugenol was completely consumed even upon applying a small amount of charge. As different solvents such as acetonitrile or dichloromethane did not work well, the unique ability of HFIP to stabilize radical species is crucial for the anodic conversion. Upon isolation of the product, we could unequivocally assign the molecular structure of the coupling product as  $\alpha$ -diisoeugenol, which was identical regardless of the electrolytic conditions.

Figure 11 (bottom) displays a mechanistic rationale for the stereoselective and electrocatalytic conversion. In the initial step, isoeugenol is anodically oxidized at the BDD electrode to generate the corresponding radical species, followed by the loss



**Fig. 11** Anodic oxidation of isoeugenol in HFIP [54]. The bottom illustration shows the postulated electrocatalytic cycle of the anodic conversion. Adapted with permission from *Chem. Commun.* 2018, 54, 2771–2773. Copyright (2018) Royal Society of Chemistry

of a proton. This radical species can be solvated by HFIP and trapped by an another isoeugenol molecule to afford the intermediate species. In the solvate structure, the dispersion interaction and the solvation result in a specific molecular orientation, probably due to an aromatic donor–acceptor interaction, leading to unusual addition onto the exocyclic C–C double bond. The generated carbon-centered radical undergoes a hydrogen atom transfer to maintain the radical chain reaction. A cyclization reaction followed by an aromatization reaction afford  $\alpha$ -diisoeugenol. The first C–C bond formation (addition step) is crucial to control the stereochemistry, in which the orthogonal approach would be favored due to the aromatic donor–acceptor interaction. Here, the roles of HFIP are rationalized as follows. First, HFIP strongly enhances the stability of the radical species and suppresses the carbonization of isoeugenol, due to its non-nucleophilic and protic nature [56]. Second, HFIP forms a unique hydrogen bonding network, where the fluorine atoms are excluded and cluster together [41]. Overall, confinement of the radical species in the HFIP solvate cage is supposed to induce the specific molecular orientation that is favorable for the stereoselective conversion in  $\alpha$ -configuration.

### 3.2 Cathodic Reduction of Aromatic Carbonyl Compounds

While anodic oxidation reactions have been extensively investigated with a wide variety of substrates, cathodic reduction reactions are rare. Generically, in protic media, a high overpotential for hydrogen evolution is required for cathode materials, in which a substrate itself should be reduced preferentially. Although heavy metals such as cadmium, mercury, and lead satisfy the requirements, such metals are highly toxic and have a high environmental load. Carbon-based electrodes exhibit a lower overpotential for hydrogen evolution than heavy metals. With this regard, BDD electrodes would be beneficial for cathodic reduction, and therefore offer a new perspective in electro-organic synthesis.

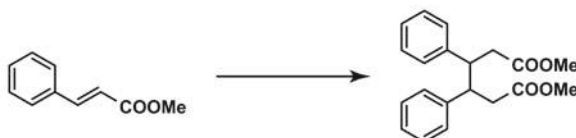
First, we performed the cathodic reduction of methyl cinnamate (Fig. 12a) [57], aiming at the synthesis of lignans and/or neolignans possessing two phenylpropane ( $C_6-C_3$ ) fragments that exhibit interesting biological activities [58].

In the cathodic reduction under constant current conditions, solvents played a significant role in the desired coupling reaction. Particularly, acetonitrile containing phosphate-buffer (PB) was the optimized solvent that afford the coupling product in 85% yield (racemate/meso = 74:26). The obtained molecule was submitted to the chemical conversion and could be derivatized into the new neolignan-type compounds.

Second, we investigated the reductive coupling of carbonyl compounds to 1,2-diol, so-called pinacol coupling (Fig. 12b) [59]. This is because the pinacol coupling reaction is still a powerful tool for organic chemists as can be found in total synthesis of taxol [60]. Moreover, electro-organic synthesis helps to avoid using a stoichiometric or even more amounts of reducing reagents to generate a radical intermediate. We chose acetophenone as the substrate, and optimization of reaction conditions

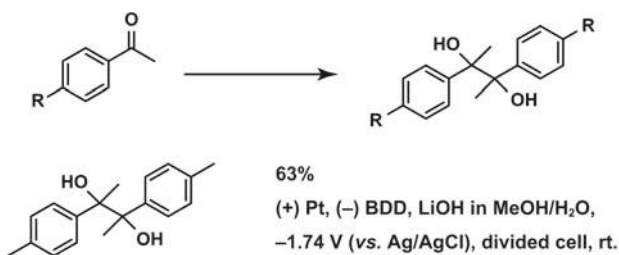
**Cathodic Reduction**

- (a) Einaga and Nishiyama et al.,  
*Beilstein J. Org. Chem.* (2015).

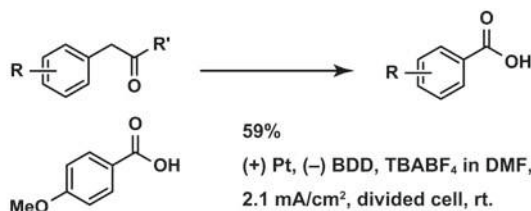


85%; (+) Pt, (–) BDD, TBABF<sub>4</sub> in MeCN/PB, 1.29 mA/cm<sup>2</sup>, divided cell, rt.

- (b) Yamamoto and Einaga et al.,  
*ChemElectroChem* (2019); 6 examples.



- (c) Saitoh and Nagase et al.,  
*ChemElectroChem* (2019); 13 examples.



**Fig. 12** Cathodic conversions of aromatic carbonyl compounds. **a** A reductive coupling reaction [57]. TBABF<sub>4</sub> denotes tetrabutylammonium tetrafluoroborate. **b** Pinacol coupling reactions [59]. **c** Acyl-carbon bond cleavage reactions [61]

such as supporting electrolyte and solvent revealed that lithium ions increased a reactivity of the radical intermediate and water apparently influenced on the electrochemical potential of one-electron reduction. Overall, the reaction was relatively tolerant to *para*-substituted acetophenone derivatives and afforded the corresponding pinacol-type compounds with good conversion yields.

During the investigation of the electrochemical pinacol coupling reaction, we unexpectedly discovered a cleavage of the acyl-carbon bond in a phenylacetone

derivative to give a benzoic acid derivative (Fig. 12c) [61]. Since the conversion of ketone into acid requires transition metal catalysts and/or chemical oxidants [62], this finding demonstrates a metal-, oxidant-, and acid/base-free molecular conversion. Optimization of reaction conditions clearly highlighted the importance of molecular oxygen in the electrolyte. Cyclic voltammetry and UV–vis absorption spectroscopy revealed that a superoxide anion (SOA) was generated by reduction of dissolved molecular oxygen, which mediate the oxidative cleavage of the acyl–carbon bond in the phenylacetone derivative. The electrogenerated superoxide anion does not cause undesired side reactions such as the oxidation of amine and aryl moieties. Notably, molecular transformations accompanied by bond cleavage are useful for the modification and derivatization of biologically important substances [63].

## 4 Conclusion

In this chapter, we described the electro-organic synthesis utilizing boron-doped diamond (BDD) electrodes. The outstanding electrochemical properties of BDD play a significant role in both anodic and cathodic molecular conversion. The strong ability to generate alkoxy radicals contributes to the anodic methoxylation that is obviously unique to BDD. Combination of BDD and 1,1,1,3,3,3-hexafluoroisopropanol (HFIP) enables the dehydrogenative anodic C–C coupling reactions: (1) position selective homo-coupling reactions of phenol, (2) selective cross-coupling reactions of phenol–arene, phenol–phenol, and phenol–thiophene, and (3) cross-coupling reactions of phenol to afford teraryls. Electro-organic synthesis of natural products is also demonstrated: (1) the electrogenerated methoxy radicals-mediated coupling reaction to give licarin A, (2) the cathodic coupling reaction to afford the precursor of the neolignane-type compound, and (3) the stereoselective and electrocatalytic synthesis of  $\alpha$ -diisoeugenol driven by the solvate interaction. The clever use of BDD electrodes does lead to develop a unique molecular conversion, which contributes to a future sustainable society.

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# Industrial Application of Electrochemical Chlorine Sensor



Yasuaki Einaga

**Abstract** Monitoring free chlorine concentration is an important issue in various situations. Residual chlorine that does not react with organic compounds, and metals dissolve in water to produce HClO (hypochlorous acid) and ClO<sup>-</sup> (hypochlorite ion). In 2008, we reported that free chlorine, which has a strong disinfecting effect, can be monitored with high sensitivity using a boron-doped diamond (BDD) electrode. However, the problem of pH fluctuation of the solution and the detailed mechanism of redox have not been completely clarified. Then, in 2016, the electrochemical redox mechanism of this free chlorine was carefully studied. Based on these results, we designed a new system using BDD electrodes that can monitor the free chlorine concentration in real time.

## 1 Introduction

Free chlorine, which is defined as the concentration of dissolved gas (Cl<sub>2</sub>), hypochlorous acid (HClO), and hypochlorite (ClO<sup>-</sup>) have been widely utilized as a disinfecting agent in various fields such as in clinical practice, the food industry, and drinking water treatment. Therefore, it is necessary to monitor and control the free chlorine concentration for public health maintenance.

The general detection methods for free chlorine include the colorimetric method such as DPD (N,N-diethyl-p-phenylenediamine) method, the amperometric titration method, and iodometry [1–3]. However, these methods are unsuitable for continuous on-line monitoring because they each have a number of disadvantages, such as the requirement for many types of reagent that may produce greater toxicity, a high detection limit, and the difficulty of operation. As one of the methods, electrochemical techniques can be used for real-time monitoring of the free chlorine concentration [4–8]. Indeed, free residual chlorine sensors based on electrochemical reduction with platinum or gold electrodes are commercially available from many companies. However, the conditions under which systems with these sensors can

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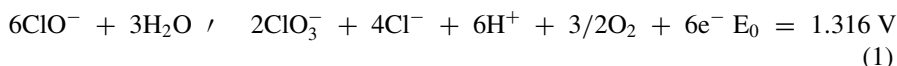
<https://www.twirpx.org> & <http://chemistry-chemists.com>

be operated are constrained, since they require skillful operators and frequent calibration to make reliable measurements because the electrochemical reaction of free chlorine is affected by various factors such as pH, surface fouling, and dissolved oxygen [9]. Consequently, a more reliable and versatile system are needed for the continuous monitoring of free chlorine.

Here in this chapter, the development on chlorine detection by BDD electrodes is introduced.

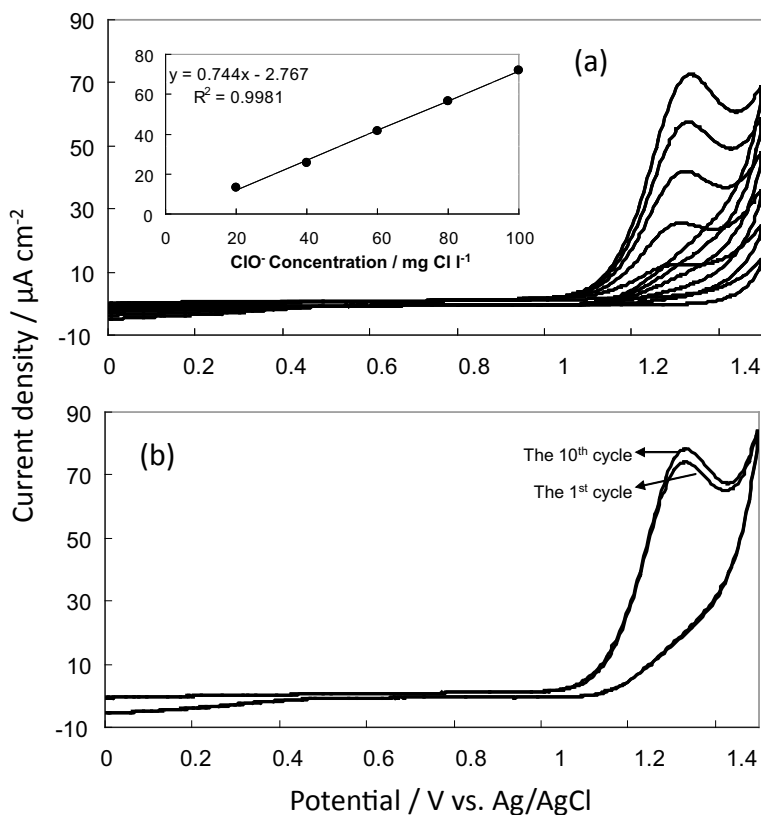
## 2 Oxidation Reaction of Free Chlorine (2008)

In 2008, we have reported the quantitative determination of free chlorine based on its oxidation. NaClO solutions were used for the experiment of free chlorine detection [10]. Cyclic voltammograms for various concentrations of NaClO in a 0.1 M NaClO<sub>4</sub> obtained at a scan rate of 100 mV s<sup>-1</sup> using BDD electrode were shown (Fig. 1). An irreversible oxidation peak was observed at ~ 1.4 V (vs. Ag/AgCl). The oxidation reaction is due to the oxidation of ClO<sup>-</sup> as follows.



The inset in Fig. 1a shows a plot of the oxidation peak current versus the free chlorine concentration. A linear calibration curve was obtained in the concentration range of 20–100 mg Cl L<sup>-1</sup>, indicating that determination of free chlorine can be possible at BDD electrodes. This sensitivity (slope: 0.744 A cm<sup>-2</sup> mg<sup>-1</sup> L) was more than 3 times higher than those at conventional electrodes, [11, 12] suggesting the superiority of using BDD for chlorine oxidation. The background current of 3 μA cm<sup>-2</sup> was obtained very small in comparison with that at Pt electrode in the same condition (115 μA cm<sup>-2</sup>). It is known that BDD electrodes have a small background current due to the inert surface [13]. The small background current can give rise to a very low detection limit due to the decrease in the noise. Furthermore, excellent stability is shown with a relative standard deviation (RSD) of 2.56% for 10 repetitive voltammograms (Fig. 1b).

Then, flow injection analysis (FIA) with amperometric measurement was applied to estimate the low limit of detection (LOD). A solution of 0.1 M phosphate buffer solution (PBS) with pH = 8 was used for the mobile phase. A hydrodynamic voltammogram in the range of potential from 0.9 to 1.5 V versus Ag/AgCl was obtained to optimize the experimental conditions. A potential of 1.1 V versus Ag/AgCl was fixed for the FIA. Figure 2 shows FIA measurements for a series of 20 μl injections in various concentrations of free chlorine. The stability was confirmed by 5 repeated injections for each concentration of free chlorine. The amperometric calibration curve obtained from the responses is linear over the range from 0.1 to 2.0 mg Cl L<sup>-1</sup> (n = 5) with a correlation coefficient of 0.96. The background current was ~ 0.1 nA. A LOD of 0.0083 mg Cl L<sup>-1</sup> (8.3 ppb) was estimated (S/N = 3), suggesting

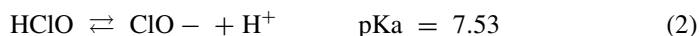


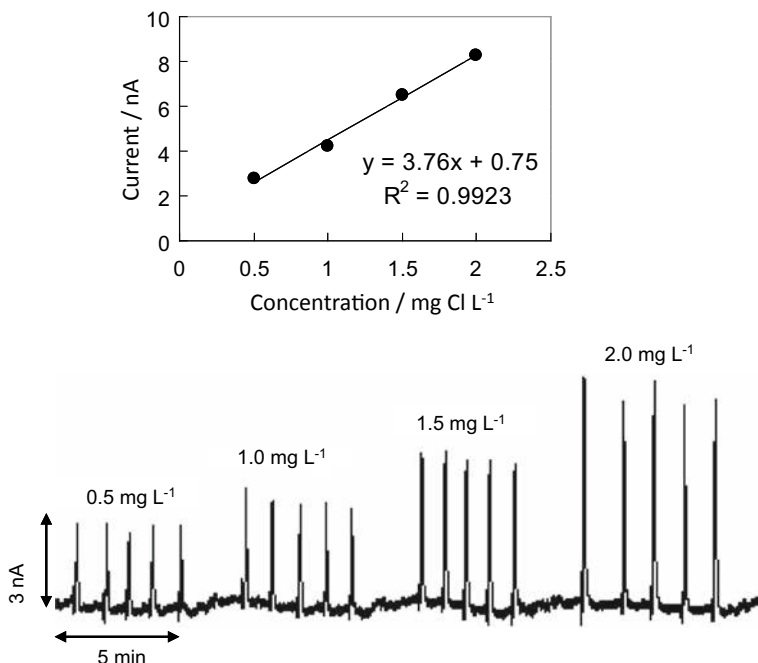
**Fig. 1** Cyclic voltammograms of a 0.1 M NaClO<sub>4</sub> solution **a** in the presence of various concentrations of free chlorine (2–100 mg Cl L<sup>-1</sup>) and **b** for 10 repetitive scans of 50 mg Cl L<sup>-1</sup> using an as-deposited BDD electrode. Linear calibration plots are shown in the inset. Reprinted from [10], Copyright 2008, with permission from Elsevier

that this method can be adapted for application in a monitoring instrument for high free chlorine concentrations in disinfection, bleaching, and various manufacturing processes and low concentration in drinking water.

### 3 Reduction Behavior of Free Chlorine

In order to apply to practical application, not only the concentration of ClO<sup>-</sup> but also that of HClO should be monitored, because the equilibrium distribution of the free chlorine species, HClO and ClO<sup>-</sup>, depends on the pH of the solution.



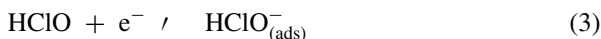


**Fig. 2** Flow injection analysis plots of free chlorine for various concentrations between 0.1 to 2.0 mg Cl L<sup>-1</sup> obtained with a BDD electrode at an applied potential of 1.1 V versus Ag/AgCl. The mobile phase was 0.1 M PBS (pH 8), and the flow rate was 1 mL min<sup>-1</sup>. The inset shows the linear calibration plot. Reprinted from [10], Copyright 2008, with permission from Elsevier

HClO can be deprotonated to form ClO<sup>-</sup> at higher pH. This equilibrium distribution of HClO and ClO<sup>-</sup> is practically important for free chlorine sensing because the pH of tap water is usually controlled to be neutral over a range (from 5 to 9).

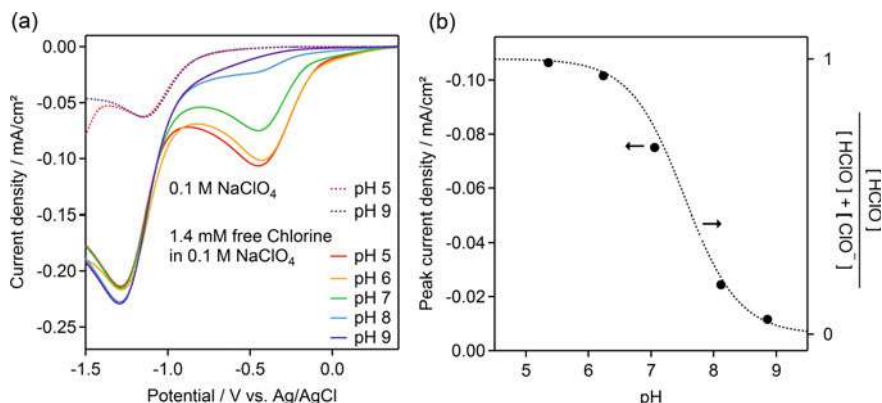
Here, the electrochemical reduction behavior of ClO<sup>-</sup> and HClO at BDD electrodes is studied [14].

Figure 3a shows linear sweep voltammograms (LSVs) of 1.4 mM free chlorine in 0.1 M NaClO<sub>4</sub> with different pH from 5 to 9 using a BDD electrode. For the LSVs in the presence of free chlorine, the BDD exhibits two reduction waves at around -0.4 and -1.3 V (vs. Ag/AgCl). The first peak at -0.4 V (vs. Ag/AgCl) is assigned to the reduction of HClO.



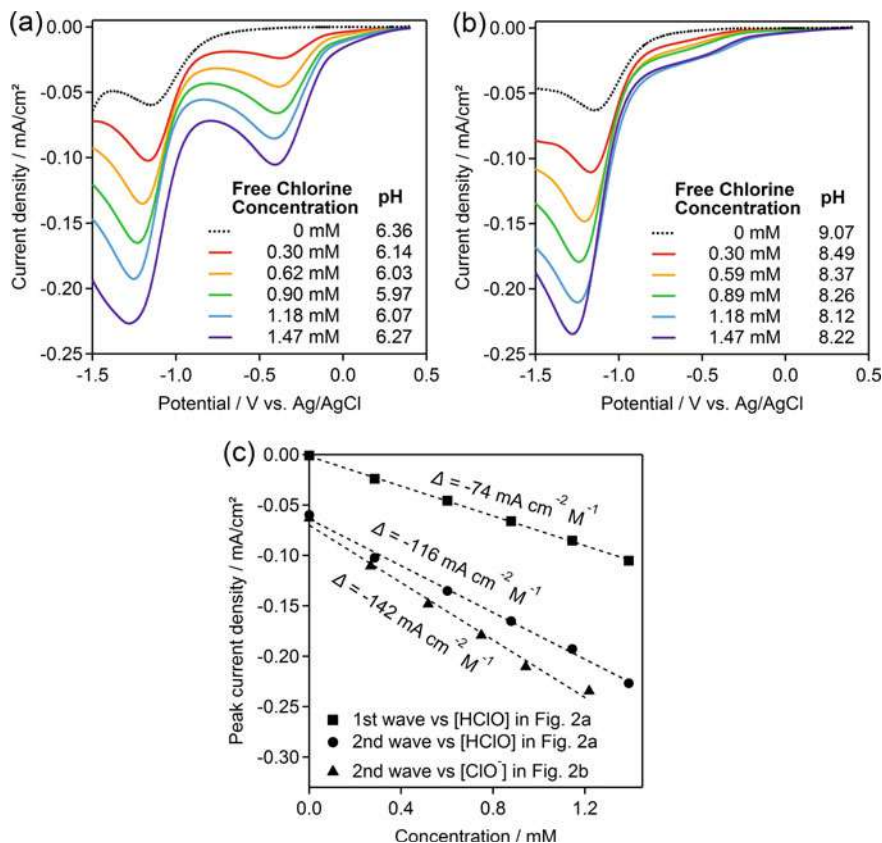
As for the first reduction wave, the peak current decreases with increasing pH and almost disappears at pH 9. Figure 3b shows the pH dependence of the peak current at the potential at which the peak or minimum  $|dI/dV|$  in the first wave occurs. The ratio of HClO to total free chlorine, which can be determined from pK<sub>a</sub>, is also displayed in Fig. 3b. The trend is consistent with the equilibrium distribution shown in Eq. (2).





**Fig. 3** **a** LSVs for 1.4 mM free chlorine in 0.1 M NaClO<sub>4</sub> with different pH and the LSVs in the absence of free chlorine in 0.1 M NaClO<sub>4</sub> at a scan rate of 20 mV/s using a BDD electrode. **b** The pH dependence of peak current in the first reduction waves of the LSVs and the ratio of HClO to HClO plus ClO<sup>-</sup> in equilibrium. Reprinted from [14], Copyright 2016, with permission from Elsevier

As for the second reduction wave (at -1.3 V (vs. Ag/AgCl), on the other hand, the wave seems to be independent of pH (Fig. 3a). Therefore, ClO<sup>-</sup> can also be reduced in the second reduction wave although the potential significantly overlaps with the oxygen reduction reaction (ORR), which is observed in the absence of free chlorine. In order to understand the mechanisms for the second wave, peak currents at -1.3 V (vs. Ag/AgCl) and -0.4 V were carefully monitored in both pH = 6 and pH = 8–9. Figure 4 shows the free chlorine concentration dependences of LSVs obtained in non-degassed 0.1 M NaClO<sub>4</sub> around pH 6 (Fig. 4a) and pH 8–9 (Fig. 4b), and therefore, most of the free chlorines are HClO (pH = 6) and ClO<sup>-</sup> (pH = 8–9). In Fig. 4a, the peak current in the first wave increases in proportion to the concentration of HClO. In contrast, a small current in the first wave can be seen in Fig. 4b due to the low fraction of HClO. On the other hand, the current in the second wave increases, dependent on the concentration of both species. Interestingly, the slope value of peak current-concentration curve of ClO<sup>-</sup> is approximately two times the one of first wave for HClO (Fig. 4c) while it is known that two electrons are involved in electrochemical reduction of both species [15]. It indicates that two electrons are involved in the second wave for reduction of ClO<sup>-</sup>, and one electron is involved in the first wave for HClO reduction. In addition, the second wave for HClO reduction also implies two electron reduction mechanism as well as ClO<sup>-</sup> because of higher slope value than first wave.

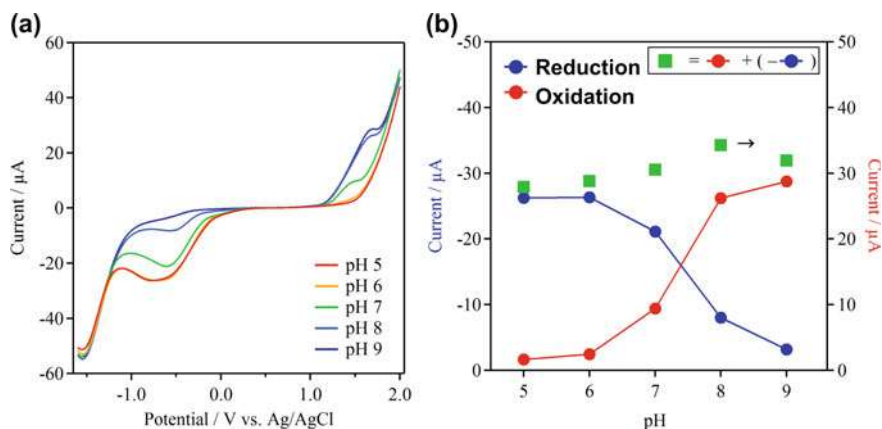


**Fig. 4** LSVs for different concentration of free chlorine in 0.1 M NaClO<sub>4</sub> with pH **a** around pH 6 and **b** pH 8–9 at scan rates of 20 mV/s using a BDD electrode. **c** Their peak current values versus concentration of HClO or ClO<sup>-</sup>. Reprinted from [14], Copyright 2016, with permission from Elsevier

## 4 For Practical Application of Chlorine Sensor

### 4.1 Concentration of Free Chlorine: Sum of Current Values (Oxidation and Reduction)

Based on the results on oxidation and reduction of free chlorine (shown in 11–2 and 11–3), it is possible to estimate the total free chlorine concentration that does not depend on the pH (Fig. 5). Furthermore, the pH of the solution can be measured by calculating the abundance ratio.



**Fig. 5** **a** Cyclic voltammograms for 100 ppm NaClO in 0.1 M NaClO<sub>4</sub> with different pH using BDD electrode. **b** The pH dependence of peak current at -0.4 V (vs. Ag/AgCl) (blue), at +1.6 V (vs. Ag/AgCl) (red), and the sum of both current values (green)

## 4.2 Design of Prototype for Practical Sensor

We have developed a prototype for free chlorine sensor based on the results shown in this chapter. Figure 6 is an external view of the designed prototype sensor. The electrode had a three-electrode configuration of a working electrode, a counter electrode,

**Fig. 6** Designed prototype sensor



**Fig. 7** Commercial product for free chlorine sensor produced by HORIBA Advanced Techno, Co., Ltd



and a reference electrode, and a BDD electrode was used as the working electrode and the counter electrode. A silver rod is used as the reference electrode.

In October 2017, apparatus for producing hypochlorous acid water was established as “JIS (Japanese Industrial Standard) B 8701” [16]. Then, HORIBA Advanced Techno, Co., Ltd. prepared the commercial product for free chlorine sensor by modifying our first prototype (Fig. 7). This example can open the future potential of BDD electrochemical sensors.

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# Modified Boron-Doped Diamond Electrodes for Sensors and Electroanalysis



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**Abstract** Boron-doped diamond (BDD) has been established as a superior electrode among other conventional solid electrodes due to its unique properties, such as very low background current, wide potential window, and high physical and chemical stability. However, in compare to metal electrodes, BDD has much lower kinetic activity in some important chemical reactions, which causes limitation for sensor and biosensor applications. Modification of BDD surface with redox-active particles/compounds was reported to facilitate electron transfer between the BDD substrate and analytes with a significant reduction in activation overpotential; therefore, the catalytic activity and sensitivity are improved. In addition, the modification can increase the selectivity in some reactions. On the other hand, to have a stable modified surface of BDD electrode is not easy, since the main composition of BDD is carbon atoms with  $sp^3$  configuration, which is very compact and stable, and actually one of the advance characteristics of BDD electrodes. However, the stability of the BDD surface causes the modified surface to be easily detached or dissolved after several usages. In this chapter, preparations and applications of the modified BDD for electrochemical sensors and biosensors are described and compared.

**Keywords** Surface modification · Physical · Electrochemical · Photochemical · Sensors · Biosensors

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## 1 Introduction

Boron-doped diamond (BDD) electrodes have been widely studied because of its excellent properties. BDD electrodes provide excellent physical and chemical stability, which is the intrinsic property of diamond substrate due to its  $sp^3$  configuration [1–7]. Low background currents and wide potential windows are also generally observed as the results of the less adsorption process on the BDD surface [1–7]. In addition to that high stability of BDD provides a high biocompatibility for bio-applications [8, 9]. These unique properties established BDD as the superior electrode among other conventional metal and carbon-based electrodes for many applications, including sensors and biosensors, [10–12] electroanalysis, [13, 14] electrocatalytic, and electrosynthesis, [15–22] as well as energy conversion and energy storages [23, 24]. There have been also several reviews related to the study of BDD electrode including its applications [25–31].

However, BDD is mainly composed of carbon atoms. Therefore, like other carbon-based electrodes, its low kinetic activity is often found in some chemical reactions [8, 14, 32–34]. For example, BDD is not electroactive in reduction reactions of oxygen and peroxide as well as in oxidation of glucose, [8, 35, 36] which are often utilized as the basic reactions to develop enzymatic sensors and biosensors [8, 37–39]. BDD is also found to be not electroactive for oxidation and reduction of arsenic [40, 41]. Modification with redox-active particles/compounds was reported to improve the electrochemical activity of BDD surface by facilitating the electron transfer between the BDD substrate and the analytes [8, 14, 35, 36, 40]. Furthermore, modification of the BDD surface with metals is the most popular and has been used for many electroanalysis and electrocatalytic applications [8, 14, 35, 36, 40]. In addition to the increase of catalytic activity of the BDD surface, modification with metal particles also increases the ratio of signal to noise because the deposited metal on the BDD surface acts as a microelectrode array, which can promote higher sensitivity to the analytes with low background current and low current noises [8, 14, 35, 36, 40]. Modification can also increase selectivity of the reaction [8, 14, 35, 36, 40]. In particular condition, a specific metal catalyst could be not only sensitive to detect the analytes that is not observed by the unmodified BDD electrode, but also can detect the analytes with better limit of detection (LOD) than its related metal bulk electrode [8, 14, 35, 36, 40]. Ni and Cu particles are often modified on surface of BDD electrode for glucose sensors as BDD is inactive for the oxidations of glucose and other carbohydrates, [36, 42, 43] while Pt and Au are often utilized in oxygen and peroxide sensors as well as biosensors [8, 35].

On the other hand, to modify the BDD surface is not very easy due to the  $sp^3$  configuration of the BDD surface, which limits the adsorption process as well as chemical bond formations on the BDD surface [4, 6]. Physical adsorption is considered as the simplest techniques to modify BDD. However, by using these techniques, a lack of chemical interaction between the modifying particles/compounds with the surface of BDD results in the detached/dissolution of the modified surface after several usages [14, 41]. Electrochemical techniques have a similar problem to the physical



adsorption. Moreover, it was reported that conductivity of the BDD surface is not homogeneous and affects the distribution of the modifying particles/compounds [44]. On the other hand, ion implantation technique was reported to immobilize the modifying particles/compounds into the subsurface of BDD; therefore, a better stability of the modified surface could be achieved. However, special instrumentations with many procedural steps as well as the skillful technicians to conduct the procedures are necessary, causes this technique to be less practicable [35, 42]. Furthermore, modification with photochemical technique was reported to provide active sites for better attachment of the modifying particles/compounds, and good stability of the modifying particles/metals was achieved [8, 9, 45].

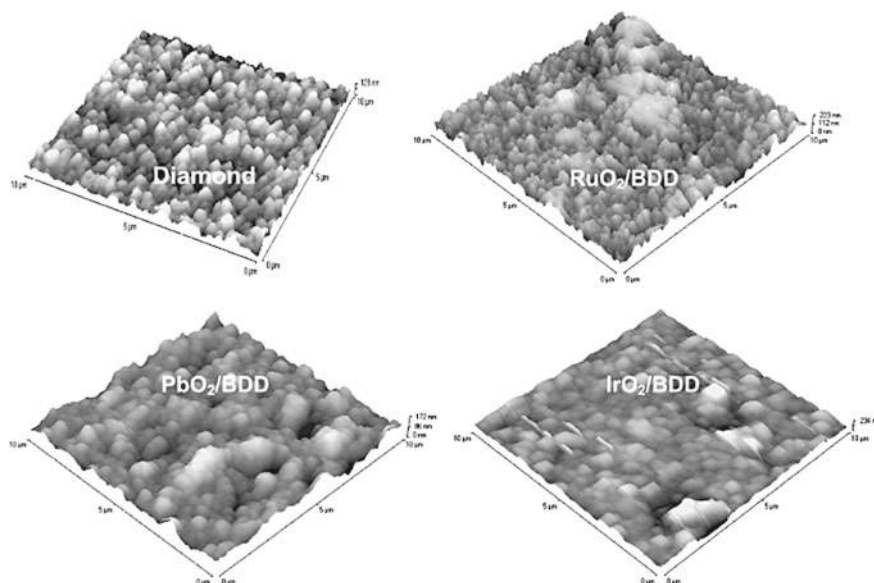
In this chapter, preparation of modified BDD electrode for sensor and biosensor applications is discussed and compared thoroughly.

## 2 Physical Adsorption

A simple deposition method with less time-consuming and the requirement of many preparation steps, but stable enough to be used in many applications is desired by many researchers. The physical adsorption technique is the simplest one. The deposition is often carried out by painting or dropping the prepared solution onto the surface of BDD electrode, or by immersing a piece of BDD electrode into the prepared solution of the modifying compounds or their precursors. Uchikado et al. (2001) have reported the modification of BDD surface by dropping nickel and copper ion solutions onto the BDD surface and dried [36]. Applications of these electrodes for glucose detections showed good limits of detection with stable responses for around one week by using flow injection analysis.

The sol–gel reaction on the surface of BDD was also reported to be able to modify the stainless steel substrate by metal oxide particles [46]. Salazar-Banda et al. (2005) have applied this method to modify BDD surface with platinum oxide particles in combination with cathodic pre-treatment on BDD surface and Nafion® coating after the deposition to inhibit the detachment of the deposited metal particles [47]. They reported that the increase of stability for electrochemical applications in acid medium, in which the modified particles can be retained 91.6%, after 1000 voltammetric cycles performed [47]. This method was claimed to be easy and cheap. Similar method was then used for deposition of other metal particles, including Pb, Ru, and Ir as shown in AFM images in Fig. 1 [48, 49].

However, in fact, without any chemical bond or absorption force between metal particles as well as Nafion® with the compact  $sp^3$  surface of BDD, the interactions between them are also weak, resulted in the instability of the modifying particles/films. This adsorption method might be more suitable for porous materials, such as graphite, which can absorb and trap the modifying particles/compounds inside the porous.



**Fig. 1** Deposited metal oxide particles of  $\text{RuO}_2$ ,  $\text{PbO}_2$ , and  $\text{IrO}_2$  on surface of BDD electrode, studied by AFM technique. Reprinted with permission from [48]

### 3 Electrochemical Deposition

Electrochemical deposition method is considered as a better technique than physical adsorption process. This method has been widely used to modify the surface of BDD electrode due to its simplicity and reproducibility. This method is also controllable, in which the process could be performed by maintaining the applied potentials or currents. In addition, this method is also convenient as the process is possible to be carried out at room temperature without any requirement of expensive instrumentations. Numerous reports about electrodeposition techniques have been published for wide-range electrochemical applications [50–52]. Electrochemical deposition method is generally carried out in a cell containing of three electrodes and an electrolyte. Amperometry is a common technique used to deposit the metal particles although the use of cyclic voltammetry (CV) technique was also reported. These techniques are basically performed by reduction reactions of metal ions to be metal particles at the surface of the working electrodes, in this case the BDD electrode.

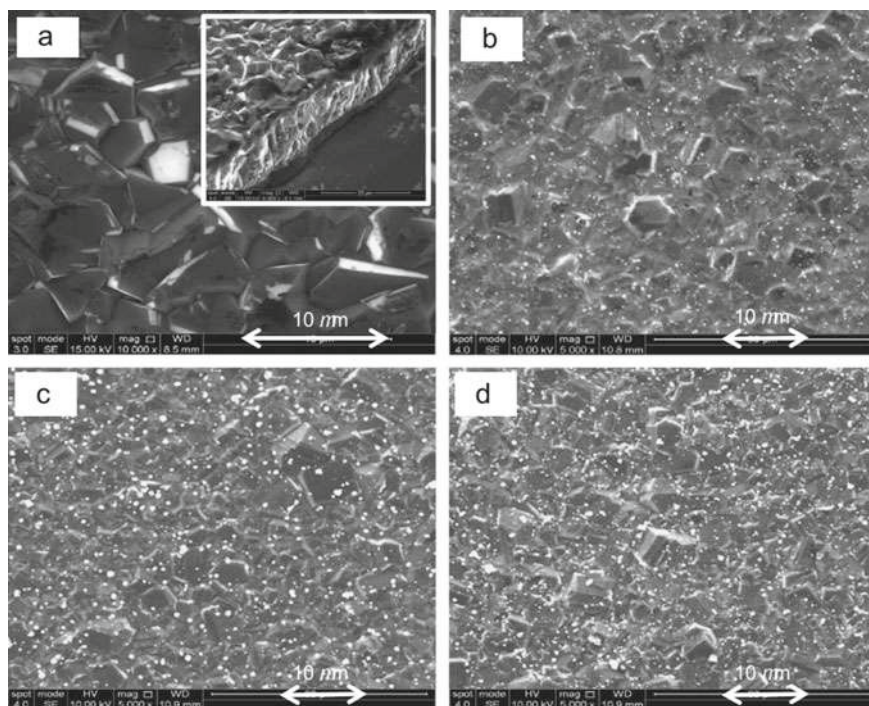
Amperometric technique is carried out by applying a constant potential at or higher than the reduction potential of the related metal ions. This process supplies electrons to the working electrode; therefore, the negative charges at the working electrode will attract the positive charges of metal ions to its surface, followed by electron transfer from electrode to the metal ions. On the other hand, supplying electrons process involves the conductivity of the working electrode, in which reduction process is easier to be performed on the surface with higher conductivity. Meanwhile, the

conductivity of the polycrystalline of the BDD films is quite heterogeneous; the nonuniform doping of boron in diamond crystals causes surface conductivity to be inhomogeneous [44]. As a result, the dispersion and the size of metal deposits depend on the diamond microcrystals on the surface. The difference of conductivity was observed at different crystal facets [53] and in the crystal boundary of BDD polycrystalline, which generally containing some amount of  $sp^2$  graphite fraction with higher conductivity than BDD crystal [44].

Moreover, the polycrystalline BDD is synthesized by using chemical vapor deposition technique in a hydrogen atmosphere; therefore, the surface of as-deposited polycrystalline BDD films is initially terminated by hydrogen functional sites [54]. The roughness of the as-deposited BDD surface was also generally very high due to very small BDD crystals deposited on the surface. These two reasons make the as-deposited BDD has hydrophobic properties, which inhibits the interaction of the metal ions to the surface of BDD electrodes [55].

To increase the affinity of the metal ions toward the BDD surface, hydrogen-terminated BDD (H-terminated BDD) can be oxidized to create oxygen-terminated surface (O-terminated BDD). As it is well known, oxygen has higher affinity to metal ions than hydrogen. Various methods to change H-terminated to O-terminated BDD have been reported, including anodic treatment at high positive potential, [5] treatment in oxygen plasma, [57] boiling in strong acid, [58] or long-term exposure to air [55]. These methods generally oxidize the surface of diamond. As the results, oxidizing the surface is reported not only to change the oxygen to carbon ratio (O/C ratio) but also increase the hydrophilic properties of the BDD surface as well as clean  $sp^2$  fractions from the electrode [45, 55]. Therefore, the increasing coverage of metal particles was observed. X-ray photoelectron spectroscopic (XPS) measurements observed that the O/C ratio of the BDD surface could be increased from around 0.03 to around 0.3 after oxidation process, [54, 56] while the deconvolution of the XPS spectra indicated that C-O, C=O, and C-O-C bonds were formed after the oxidation process [53]. Other advantages of O-BDD than H-BDD have been discussed in another chapter [59–61]. Furthermore, O-BDD can be converted back to H-BDD by hydrogen-flame, hydrogen-plasma treatment, and by reduction reactions at a very negative potential [62–64].

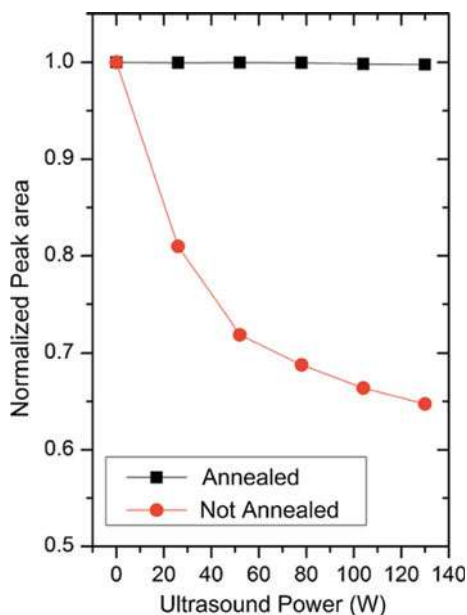
Beside the amperometric technique, CV technique can deposit particles on the BDD surface with smaller particles sizes and better distributions than amperometry as this technique is carried out by potential scanning from negative to positive potential, and vice versa, for several cycles. Therefore, the unstable particles were expected to be removed, leaving the stable particles on the surface only. However, it is necessary to carefully consider the potential range to suppress the metal lost caused by hydrogen evolution or metal oxidation. Rismetov et al. [65] have reported that by applying various number of scan cycles (20, 40, and 80 cycles) at a potential range of -0.5 V to +0.8 V, Pt particles could be deposited at around 330–722 particles/100  $\mu\text{m}^2$  surface area with particle size around 30–122 nm (Fig. 2). The increase of the deposited metal particles was observed with the number of voltammetric cycles.



**Fig. 2** SEM image of the unmodified BDD electrode (a) and Pt-modified BDD electrode prepared by CV method with 20 (b), 40 (c), and 80 (d) number of scan cycles. Reprinted from [65] copyright 2014, with permission from Elsevier

In order to increase the stability of the deposited metal on the BDD surface, combination method with electrochemical deposition method was also reported. Spilevaya et al. combine the electrochemical deposition method of Pt with annealing in hydrogen and oxygen plasma [66]. Combining amperometry with physical adsorption method was also reported [67]. Gao et al. deposited Pt, Ni, Au, and Cu nanoparticles on the BDD surface by dropping the related metal ions solution on the BDD surface followed by reduction reaction using  $\text{NaBH}_4$  to form the metal seeds [67]. Then, applying the potential step application (amperometry) at  $-1.2$  V was performed. The metal seeds will act as the active site of BDD surface for the deposition process. Afterward, annealing at high temperature ( $700^\circ\text{C}$ ) and activation method were applied. The modified BDD provided a very stable particles attached on the surface of BDD. A test conducted using ultrasound removal at 130 W demonstrated that less than 1% of metal particles with annealing was removed compared to 35% of metal particles removal from the electrode without annealing (Fig. 3). Besides, Gao et al. suggested that H-terminated BDD is more favorable for the seeding technique than O-terminated BDD due to stronger adsorption of  $\text{NaBH}_4$  on H-terminated BDD. This method was then also applied to prepare Pt-modified BDD and Ir-modified BDD for hemoglobin-based acrylamide and arsenic sensors, respectively [68, 69].

**Fig. 3** Stability check of deposited metal particles with and without annealing treatment. The electrode was Pt particles modified on BDD. The ultrasound power was 130 h. Reprinted from [67] Copyright 2013, with permission from Elsevier

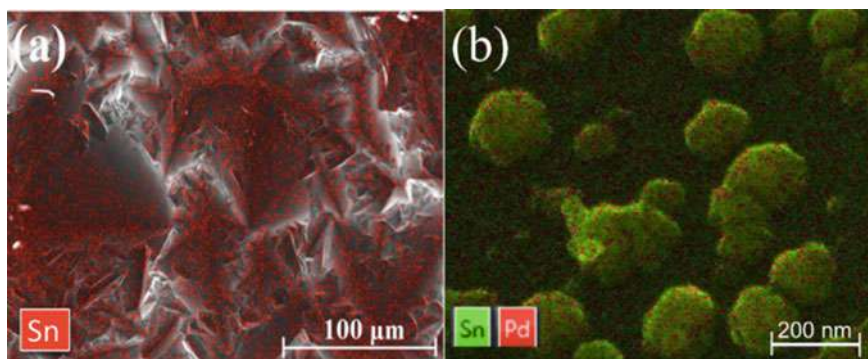


Stability check of deposited metal particles with and without annealing treatment. The electrode was Pt particles modified on BDD. The ultrasound power was 130 h. Reprinted from [67]. Copyright 2013, with permission from Elsevier

The deposition of two or more metal particles can also be performed with amperometric technique by applying the highest reduction potential of the related metals ions [70]. However, the reduction potential of each metal could be very different, thus allowing one metal to be deposited more than the others. To overcome this problem, applying a combination of two potentiostatic methods in a row was reported [71, 72]. Mavrokefalos et. al. reported the deposition of bimetallic Pd-Sn through two steps [71]. The first step was to deposit Pd particles on the surface BDD electrode by using amperometry at potential -0.15 V. Then, Sn was deposited on the modified Pd-BDD surface by applying LSV at potential range of -0.6 V to + 0.0 V at 0.01 V/s for one cycle. Sn particles were found to be deposited at both Pd metal site and BDD surface (Fig. 4). Similar method to deposit bimetal particles Pt-Cu was also reported [72].

Another reported combination method was recorded by using combination between amperometric step and physical adsorption to deposit bimetallic Pt-Ni and composite Pt- $\text{PrO}_{2-x}$  at the BDD surface [73, 74]. The first step was to deposit Pt by using amperometry, then, followed by monodispersing of metal or metal oxide nanoparticles on the Pt-modified BDD surface due to the electrostatic interaction between the modified BDD surface and the nanoparticles. The bimetallic Pd-M nanoparticles showed higher mass activity than the similar systems from literature as well as stability when operated in alkaline media. However, to the best of our knowledge, there is no report of this preparation method was found in literatures for sensor applications. Table 1 shows a summary of the reported publications of





**Fig. 4** SEM–EDX images of Sn (a) and Pd-Sn (b) deposited on surface of BDD electrode. Reprinted from [71] copyright 2017, with permission from Elsevier

**Table 1** Recent updates of various metal depositions with different electrochemical method

Method	Metal	Parameters	Applications/Ref
CV	Ir	$E = -0.2 \text{ V to } +1.2 \text{ V}$ at scan rate $50 \text{ mV/s}$ , 15 cycles	Arsen (III) sensor [40]
	Pt	$E = -0.5 \text{ V to } +0.8 \text{ V}$ at $100 \text{ mV/s}$ , 80 cycles	Peroxide detection for melamine sensor [65]
Amperometric	Au	$E = -0.2 \text{ V}$ , 100 s	Neuraminidase sensors [75, 76]
	Au	$E = -0.4 \text{ V}$ , 30 s	Arsen(III) sensor and selenium sensors [77, 78]
	Pt-Au	$E = -0.5 \text{ V}$ , 180 s	Glucose detection [70]
	Ni	$E = -1.3 \text{ V}$ , 300 s under stirring	Glucose detection [43]
	Ni	$E = -1.3 \text{ V}$ , time deposition was varied	Primer alcohol detection [79]
	Ag	Deposition potential, time, and metal electrolyte concentration were varied	Peroxide detection for cholesterol sensor [37]
	Ag	$E = -0.1 \text{ V}$ , 100 s	Peroxide detection [80]
	Ag/graphene	$E = -1.2 \text{ V}$ , 30 s	Carbaryl and paraquat detection [81]
	Bi	$E = -1.2 \text{ V}$ , 120 s with stirring followed by a 15 s equilibration time without stirring	Cadmium and lead detection [82]
	Bi	$E = -0.95 \text{ V}$ , 45 s under string, at Nafion®-modified BDD	Caffeine and paracetamol [83]

electrochemical modification on BDD surface with various methods together with their applications for sensors.

## 4 Ion Implantation

Ion implantation is performed by acceleration of one element into the material to change the chemical, physical, and electrical properties of the material. Technology of ion implantation was developed from 1940s for nuclear research and isotope separation, but the major development was achieved in 1960s when ion implantation applied for semiconductor material [84]. Since then, many reports were using ion implantation technique to insert the impurities into the material. In 1989, Takeuchi et al. successfully implanted  $\text{Mg}^+$  ion into GaAs [85]. Moreover, numerous articles [86–89] and reviews [84, 90–92] were also reported, whether implantation was performed for insulator, semiconductor, or metallic material.

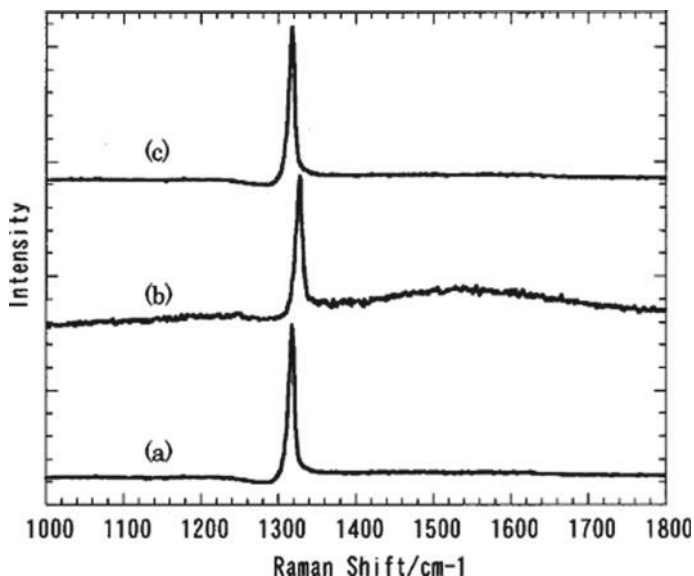
Modification of BDD using ion implantation was firstly reported by Fujishima group to develop nickel implanted for glucose sensors, [93] and continued by Einaga group, who developed copper, iridium, and platinum-implanted BDD for arsenic and peroxide sensors, respectively [35, 41, 94]. Furthermore, in 2005–2006, publications about Ni implanted for glucose and tetracycline sensors and Au-implanted BDD electrodes for dopamine and uric acid sensors were also reported [95–97].

Applying high energy of ion implantation (around 400–800 keV) placed the metal to be buried at the subsurface of BDD. However, during the ion implantation process, the damages of some  $\text{sp}^3$  bonds in diamond structure occurred due to ion the impacts to form broken  $\text{sp}^3$  bonds in a transition stages between diamond and graphite. An annealing process was reported to recover the damaged diamond back to  $\text{sp}^3$  structure by supplying sufficient energy to allow recombination of interstitials and vacancies to occur [41, 98]. Figure 5 shows the Raman spectra of the as-deposited BDD electrode, the BDD after ion implantation, and after annealing. A typical peak of  $\text{sp}^3$  carbon at  $1333\text{ cm}^{-1}$  was observed in all spectra. However, a peak at around  $1500\text{ cm}^{-1}$  related to  $\text{sp}^2$  carbon is shown at the spectra of BDD after ion implantation, while it is not observed at the spectra of as-deposited BDD. The annealing step relieved the strained diamond film as shown by the absence of the peak at around  $1500\text{ cm}^{-1}$  in the spectrum after annealing.

However, a critical damage level in diamond needs to be considered as it is reported that the disrupted diamond structure below the level can be annealed back to diamond, while the damage densities beyond the level cannot be restored upon annealing but rather collapsed into graphite [98]. Accordingly, the implantation dose as well as the energy level have to be carefully calculated, resulted in limitation of the modification at the BDD surface.

The metal ion-implanted BDD electrode was developed from 2002 to 2011; since then, no further investigation was carried out. Ion implantation method requires ion bombardment with high power and special design instruments, which unfortunately





**Fig. 5** Raman spectra of as-deposited BDD (a), ion implanted (b), and after annealing at 850 °C from 10 min (c). Reprinted from [93] with the permission of IOP Publishing

consumes a high-cost operation. The reports about ion implantation modification and its applications are summarized in Table 2.

**Table 2** Ion implantation conditions of some ions to the surface of BDD electrode and its electroanalysis applications

Metal	Metal Ion implanted	Implanting dose	Energy (keV)	Annealing temperature and time	Application	Ref
Ni	Ni <sup>2+</sup>	$5 \times 10^{14} \text{ cm}^{-2}$	750	850 °C, 10 min	Glucose and tetracycline sensor	[93–96]
Cu	Cu <sup>2+</sup>	$5 \times 10^{14} \text{ cm}^{-2}$	750	850 °C, 10 min	Glucose oxidation	[94]
Pt	Pt <sup>2+</sup>	$5 \times 10^{14} \text{ cm}^{-2}$	750	850 °C, 45 min	hydrogen peroxide sensor	[35]
Ir	Ir <sup>+</sup>	$5 \times 10^{14} \text{ cm}^{-2}$	800	850 °C, 45 min	Arsenic (III) sensor	[94]
Au	14% Au <sup>+</sup> 75% Au <sup>2+</sup> 11% Au <sup>3+</sup>	$5 \times 10^{17} \text{ ions.cm}^{-2}$	20	-	Dopamine oxidation	[75]

## 5 Photochemical Reaction

From the previous discussion, it is clear that pre-treatment of BDD surface before modification is necessary. So far, electrochemical treatments to clean the surface and to change the H-termination surface to be O-terminated one are the most favorable method. A remarkable result was reported in 2005 for a preparation of N-terminated BDD through photochemistry reaction between BDD surface and allylamine to modify BDD surface with gold nanoparticles [45]. It has been discovered that nitrogen-modified BDD has a better affinity for gold nanoparticles than the BDD modified with oxygen or hydrogen. Preparation of nitrogen-terminated BDD was performed by a photochemistry reaction under UV light irradiation ( $\beta = 254$  nm) in concentrated allylamine. Then, the N-terminated BDD was immersed in colloidal nanoparticles to provide interaction with gold nanoparticles. XPS results indicated that the double bonds in the allylamine were destroyed to form new covalent bonds between the C ions in allylamine and the C ions in BDD. Figure 6 shows the comparison among the XPS spectrum of the surface of as-deposited BDD, the BDD surface after photochemistry reaction with allylamine, and after modification with gold nanoparticles [8]. It was concluded from the spectrum that new chemical bonds between N-terminated BDD and gold nanoparticles were formed.

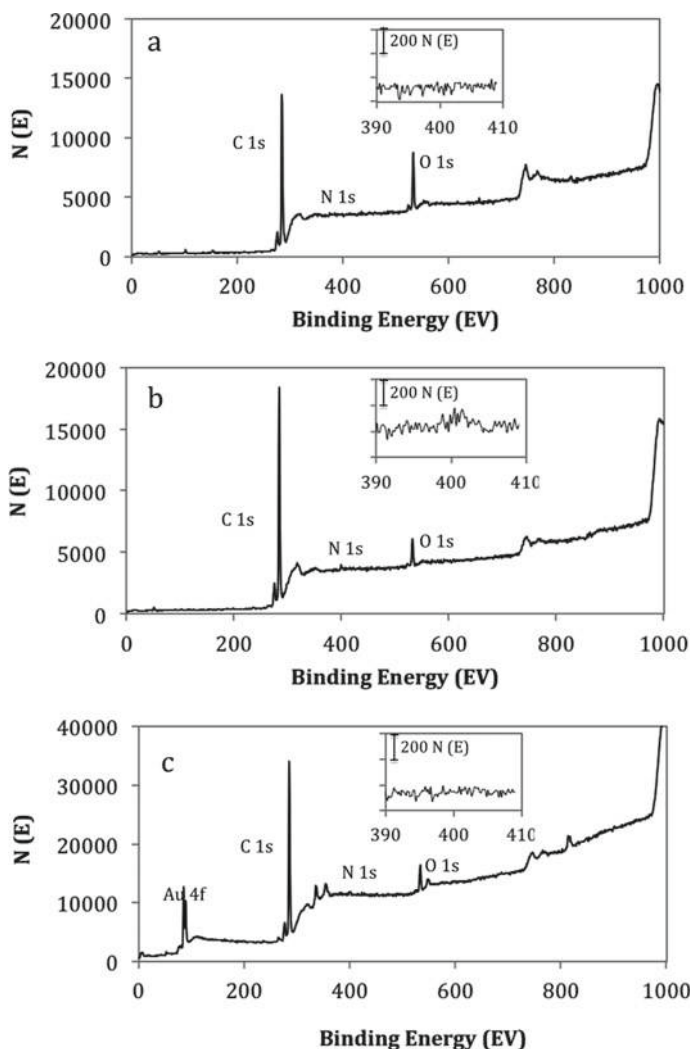
This method was then applied to develop a biochemical oxygen demand sensors using gold nanoparticles-modified BDD electrodes [8, 9]. Furthermore, although the specific steps are required to prepare the modified BDD, a better stability than conventional electrochemical pre-treatment can be achieved.

## 6 Electroanalysis Applications

Numerous applications of the modified BDD electrode have been reported for sensor and biosensors. The use of metal-modified BDD electrode is growing year by year and has been used for various applications. In this section, the modified BDD electrodes for various applications are limited into metal modifications.

### 6.1 Electrochemical Sensors

High and specific catalytic activity of metals are very useful to develop sensors and biosensors. The metal-deposited on BDD surface not only increase the sensitivity but also provide better limits of detection than the related bulk metal due to its lower background current. A metal particle sometimes gives a very specific performance toward one compound that could not be shown by other metals. However, a compound could also be detected by different metals, for example, Cu, Au, and Pt could be used to develop an oxygen sensor. Nevertheless, each metal will promote different



**Fig. 6** XPS spectrum of **a** as deposited, **b** allylamine modified, and **c** gold-modified BDD. The insets show magnifications of the N 1s peaks for each BDD. Reprinted with permission from [8] Copyright 2012 American Chemical Society

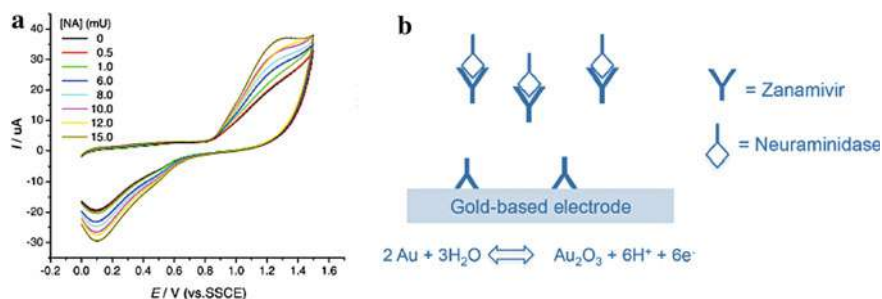
properties, due to the intrinsic properties mentioned above and also significance of the preparation process that could affect the particle size, surface morphology, and other behaviors. Table 3 summarizes some metal particles deposited on BDD surface for various sensor applications, such as sensor for gas, heavy metals, organic compound, and others.

**Table 3** Various metal particle deposited on BDD surface for sensor applications

Metal	Sensor application/ref	Metal	Sensor applications/ref
Ag	Cholesterol [47]	Cu	Nitrate [103]
Ag	Hydrogen peroxide [80]	Cu-Au	Oxygen [104]
Ag	Carbaryl and paraquat [81]	Ir	Arsenic [40, 41]
Au	Arsine gas [77]	Ir	Hydrogen peroxide [35, 105]
Au	Neuraminidase [75, 76]	Ni	Glucose [43, 93, 106]
Au	Selenium (IV) and (VI) [14]	Ni	Methanol [107]
Au	BOD [8, 9]	Pt	Arsenite [108]
Au	Arsenic and Arsenate [96]	Pt	Neuraminidase [109]
Au	Melamine [97]	Pt	Hydrogen peroxide [65]
Au	Dopamine [98]	Pt	Oxygen [110]
Au	Proton [99]	Pt-Au	Glucose [37]
Au	17 $\beta$ -estradiol (aptasensor) [100]	Sb	Cd and Pb [82]
Au-Ni	Glucose [101]	Bi	Caffeine and paracetamol [83]
Au-Pd	Oxygen [102]	Cu	Glucose [111, 112]

### 6.1.1 Gold

Among many metals, gold is one of the metals that widely known to be employed especially for biochemical sensor and often be used as a marker detector. It has been reported that gold nanoparticles modified on interdigitated finger electrodes sensor could help to stabilize the immobilization of biomolecules; besides, it has a high surface-to-volume ratio, high surface energy, and could decrease the distance between protein and metal particles [113]. Not only for biochemical sensor, Au is employed for inorganic sensor, such as for heavy metal sensor, [14, 96] and also known to be very active for CO oxidation even at low temperature [114]. Wahyuni et al. (2015) reported the electrochemical sensor of neuraminidase (NA) through zanamivir detection as NA inhibitor [75, 76]. The detection was performed by the decrease of zanamivir redox currents at gold electrode in the presence of NA. This method was also successfully applied under the mucin interference. A comparable LODs of 0.25 mU could be achieved with and without mucin interference, showing an excellent sensor that could work under the presence of interference (Fig. 7). Similar



**Fig. 7** **a** Cyclic voltammograms of  $1.5 \times 10^{-5}$  M zanamivir with different concentrations of NA in 0.1 M PBS (pH 5.5) containing 0.33 mg/mL of mucin bovine submaxillary glands type I-S M3895 and **b** The scheme of NA inhibition by zanamivir on gold-modified BDD electrode (**b**). Reprinted from [75] with the permission of Japan Electrochemical Society and from [76] copyright 2016, with the permission of Elsevier

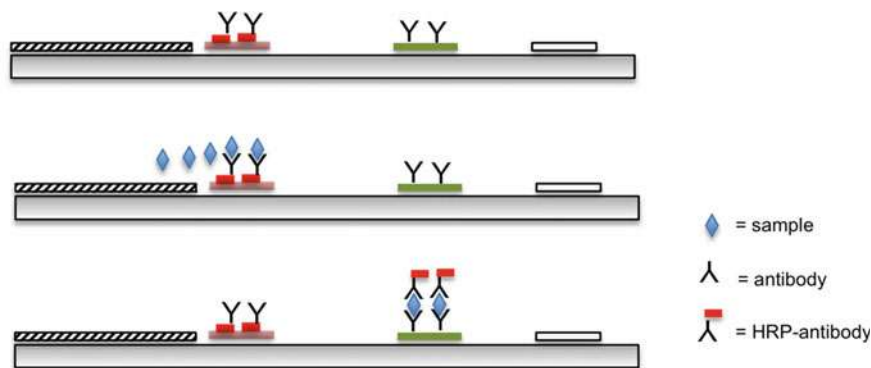
method was also reported by using Pt-modified BDD electrode with a comparable result to the gold-modified one [109].

### 6.1.2 Platinum

Beside gold, platinum is also well-known sensor applications. Platinum has been deposited on BDD surface and applied for numerous applications such as sensor of hydrogen peroxide, oxygen, neuraminidase, as well as arsenite. Hutton et al. (2009) used Pt nanoparticles modified at polycrystalline BDD disk electrode to detect oxygen [110]. Excellent responses for both cyclic voltammetry and amperometric detection were reported as it is possible to detect dissolved oxygen lower than  $\sim 1$  ppb under a wide range of pH solutions. Later, Rismetov et. al. (2014) reported the development of hydrogen peroxide sensor using Pt-modified BDD electrode to be applied in melamine strip tests. This sensor was developed by applying antibody of melamine as the sensing agent and horseradish peroxidase as the label of the antibody as shown in Fig. 8. A linear calibration curve ( $R^2 = 0.99$ ) of the current responses in the melamine concentration range of 5–100  $\mu\text{g/ml}$  could be achieved with LOD of 0.51  $\mu\text{g/ml}$  [65].

### 6.1.3 Silver

Silver is also one of the popular metals used to be modified on BDD surface for sensor/biosensor applications. An example of the utilization of silver-modified BDD electrode was conducted by Nantaphol et al. (2015) to detect cholesterol with LOD of about 6.5  $\mu\text{M}$ , showing a better performance compared with another metal or carbon-based material [47, 70]. Another report was the electrochemical sensor of



**Fig. 8** Scheme of melamine strip test employing melamine antibody as the sensing agent, horseradish peroxidase as the antibody label, and Pt-BDD as the detector. Reprinted from [65] copyright 2014, with permission from Elsevier

hydrogen peroxide using silver nanoparticles modified at BDD and hemoglobin-silver nanoparticles modified BDD with LOD of 1.5 and 4.3  $\mu\text{M}$ , respectively [80]. A strong synergic effect of silver particle and hemoglobin electrode was observed as silver enables the binding of hemoglobin to the electrode in an active form, leads to a significantly increase electrode response of  $\text{H}_2\text{O}_2$ . The utilization of silver along with graphene on BDD electrode was also reported for selective detection of carbaryl and paraquat from water using differential pulse voltammetry [81].

#### 6.1.4 Copper

Copper is also known as one of metals that has a good catalytic activity in electrochemical applications. Welch et al. (2005) used copper nanoparticle that has been deposited onto BDD with in situ technique to detect nitrate, [103] while Sim et al. (2012) used  $\text{Cu}(\text{OH})_2$  nanoflower electrode covered at boron-doped nanocrystalline diamond layer to detect glucose [111]. They also reported the synergistic combination of  $\text{Cu}(\text{OH})_2$  nanoflowers and boron-doped nanocrystalline diamond provides both a significant increase to the electroactive surface area and efficiency of the direct electron transfer. Another glucose sensor developed by Mavrokefalos et al. (2015) reported the selectivity of the copper-modified BDD toward glucose in KOH [72].

#### 6.1.5 Other Metals

Several examples of other metal-modified BDD electrodes were also reported in sensor applications. Toghill et al. in 2010 reported the determination of methanol using an electrolytically nickel microparticle-modified BDD [107]. This electrode was sensitive to methanol concentrations over a linear range for 0.5 to 500 mM,

with a limit of detection calculated as 0.28 mM and a sensitivity of 110 nA/mM of methanol. Besides, combination between nickel and gold on BDD electrode was studied by Zheng et al. in 2019 to detect glucose, resulted in good electrocatalytic performance with high sensitivity and stability [101].

Complexes compound with BDD can also be used to analyze some compounds. In 2014, Culkova et al. reported indirect voltmmetric sensing platforms for fluoride detection on BDD electrode mediated via  $[\text{FeF}_6]^{3-}$  and  $[\text{CeF}_6]^{2-}$  complexes formation [115]. The proposed procedure is based on the formation of electroinactive complexes  $[\text{FeF}_6]^{3-}$  and  $[\text{CeF}_6]^{2-}$ . Meanwhile, bismuth-modified BDD has a good performance to be used in simultaneous and individual voltammetric assays of paracetamol and caffeine [83]. In most cases, the obtained detection limits are lower or comparable to the ones obtained at other voltammetric sensors proposed for the simultaneous detection of paracetamol and caffeine. Other application reported by Sochr (2016) has employed the bismuth-modified BDD to determine the concentration of heavy metal cations ( $\text{Zn}^{2+}$ ,  $\text{Cd}^{2+}$ , and  $\text{Pb}^{2+}$ ) [116]. Later, Marton et al. also reported simultaneous determination of Zn, Cd, and Pb ions by using square wave anodic stripping voltammetry using bismuth-modified BDD [117].

Beside modification with bismuth, modification with antimony BDD also provided a good electrocatalytic activity to be used in electrochemical sensor of Cd(II) and Pb(II) [82]. An enhance of the electroanalytical ability of the BDD could be achieved by depositing a small amount of Sb as shown by a simultaneous detection of the Cd and Pb with two well-separated stripping peaks, in which it was not observed at the unmodified BDD.

In addition, noble metal such as palladium, iridium, rhodium, and ruthenium also has a good conductivity to be used in sensor application. However, cost issue and rarity are main disadvantages in using noble metal. Salimi et al. (2003) modified BDD electrodes with iridium and used it for the electrocatalytic oxidation of arsenic over a wide pH range [40]. In the same year, Terashima et al. modified BDD with iridium oxide (IrOx) and indicated that IrOx/BDD electrodes are appropriate for miniaturized sensor devices of  $\text{H}_2\text{O}_2$  and pH sensors [105].

Combination between noble metals (bimetallics) has attracted many researchers. Luhur et al. (2018) used palladium nanoparticle to combine with gold in the form of core-shell nanoparticles to detect dissolved oxygen in water [102]. Previously, Belghiti et al. (2016) reported the detection of pesticides, including imidacloprid and paraoxon, in tap water using platinum and iridium nano-dots modified BDD, [118] while Zribi et al. (2019) reported the utilization of noble metal bimetallics such as Pt-Ir, Pt-Au, and Au-Ru in a broadly selective electrode array configuration to detect electroactive species in coffee samples [119].

## 7 Summary and Outlook

Modification of metal particles on the surface of BDD electrode has attracted significant attention to be employed for electroanalysis and electrocatalysis applications.



BDD electrode has been noted as an electrode which has low background current, that is, very beneficial for sensor application to suppress the signal-to-background, thus providing lower detection limit. On the other hand, BDD surface is less sensitive, in which, modifying BDD surface with metal particles will improve the catalytic, selectivity, and sensitivity of electrode.

As it is known that inert surface of BDD resulted less stability of the metal modification on BDD. Nevertheless, in most cases, avoiding the applications at extremely high potential can maintain the stability of deposited metal particles and thus maintain the analysis quality. Numerous studies have been reported to modify BDD surface with high stability, such as modification of physical or electrochemical deposition and also permanent modification by ion implantation. Among all techniques, ion implantation is the only technique that could produce a stable metal modification, despite of its high cost operation. As a result, physical or electrochemical modification is widely chosen due to its fast and simple procedure. Besides, high mechanical stability property of BDD electrode allows the repeated deposition without decreasing the performance of BDD electrode itself.

Finally, applications of various metal-modified BDD electrodes have been studied. Nonetheless, more exploration on various metal particles to be deposited on BDD surface still offers a big potential because each metal has specific properties. In addition, the unstable deposited metal particles on BDD surface remains a challenging issue. Annealing technique was proposed as one of the treatments to stabilize deposited metal particles; however, in actual practice, activation to the modified electrode is priorly required before the application. Thus, a new strategy of metal deposition technique is highly desired, with an easy and low-cost preparation.

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# In Vivo Real-Time Measurement of Drugs



Genki Ogata, Seishiro Sawamura, Kai Asai, Hiroyuki Kusuhara, Yasuaki Einaga, and Hiroshi Hibino

**Abstract** Drugs play a key role in the treatment of patients with various diseases. A compound, when administered systemically, shows differential spatial and temporal distribution patterns not only in the body but also within each organ. In response to an increase or decrease in local concentrations in the organ, the activity of the cell population expressing the drug's target protein(s) changes over time. Therefore, real time, simultaneous detection of kinetics of the drug and its pharmacological effects in in vivo microenvironments is essential for evaluating the efficacy of medicines. Although such challenging dual-mode measurement has not yet been addressed by any conventional methods, it has been successfully achieved via a microsensing system that we recently developed. The system consists of two different sensors: a needle-type boron-doped diamond microelectrode for monitoring the drug and a glass microelectrode for tracking electrophysiological activity of the target cells. This state-of-the-art approach is applicable to various drugs in terms of "local" pharmacokinetic and pharmacodynamic assays in vivo and may contribute to the development of next-generation therapeutic interventions.

**Keywords** Pharmacokinetics · Pharmacodynamics · Needle-type boron-doped diamond microelectrode · Pharmacotherapy · Real-time measurement

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# 1 Introduction

In humans, drugs that are administered via an oral, intravenous, intraperitoneal, intramuscular, or subcutaneous route enter the systemic circulation and are distributed to the majority of organs. An organ is made up of different cell population types, each of which can play distinct physiological roles. Most diseases are likely to be triggered by a disorder or pathological perturbation of a cell population that is small: sometimes less than 1 mm. Accordingly, it is crucial for pharmacological studies to analyze whether a drug reaches the target cell population in an organ and how the compound behaves in such a microenvironment over time [8, 18]. The other key issue is tracking the change in the target cell function. Such dual-mode measurement of “pharmacokinetics” and “pharmacodynamics” is necessary for further elucidation of the mechanisms underlying beneficial, adverse, and toxic effects of drugs. Addressing this challenging issue, *in vivo* requires a microsensory system that can measure multiple parameters for the drug in real time.

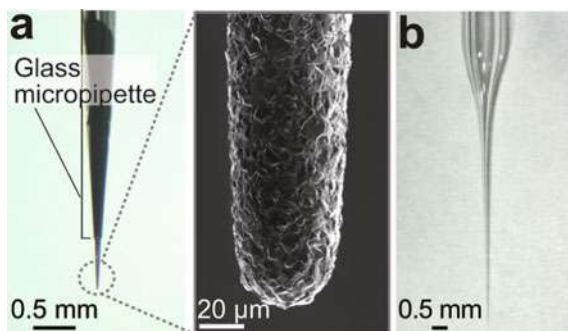
Representative methods currently available for determination of drug concentrations *in vitro* and/or *in vivo* are as follows: (1) high-performance liquid chromatography (HPLC), (2) liquid chromatography coupled with tandem mass spectrometry (LC–MS/MS), (3) positron emission tomography (PET), and (4) optical imaging techniques. LC–MS/MS and HPLC require collection of samples from body fluids, organs, or tissues, and therefore, they have relatively low temporal resolution for *in vivo* assays (Table 1). A combination of microdialysis with the abovementioned separation analytical methods should allow to continuously obtain the samples from environments of the target cell population, but the sampling period is usually limited to a few minutes [4]. Not only this issue but also relatively low spatial resolution seems to be the case for PET, which requires labeling of compounds with a positron-emitting isotope (Table 1) [6, 16]. Optical methods based on bioluminescence or fluorescence probes are capable of detecting compounds within an interval of a few seconds at a minimum, nevertheless, the spatial resolution is limited to several millimeters (Table 1) [6, 16]. Moreover, it is a hurdle to combine most of these conventional methodologies to biosensors engaged in longitudinal recording of the functionality of target cells.

**Table 1** Comparison of conventional methods for analysis of pharmacokinetics

Measurement device	Time resolution	Spatial resolution	Quantitativity
LC-MS/MS • HPLC	>5 minutes	—	Quantitative
Microdialysis <sup>a</sup>	2–5 minutes	2–5 mm	Quantitative
PET	1–30 minutes	1–2 mm	Quantitative
Optical imaging	10 s to 1 minute	2–5 mm	Semiquantitative
BDD	~5 s	~500 $\mu$ m	Semiquantitative <sup>b</sup>

<sup>a</sup>A method for collecting biological samples; the samples are analyzed by LC-MS/MS or HPLC.

<sup>b</sup>Confirmed by LC-MS/MS. References: Massoud and Gambhir 2003; Dufort et al. 2010; Ogata et al. 2017



**Fig. 1** A needle-type diamond microelectrode and a glass microelectrode. **a.** A needle-type boron-doped diamond (BDD) microelectrode. As shown in left panel, the BDD needle that was  $\sim 40\ \mu\text{m}$  in diameter was encased with a prepulled glass capillary tube, such that the needle projected from the capillary tip. The tip of the BDD microelectrode is magnified by means of a scanning electron microscope and is displayed in the right-hand panel. **b.** A glass microelectrode. Adapted with permission from Springer Nature: Nature Biomedical Engineering [17], Copyright 2017

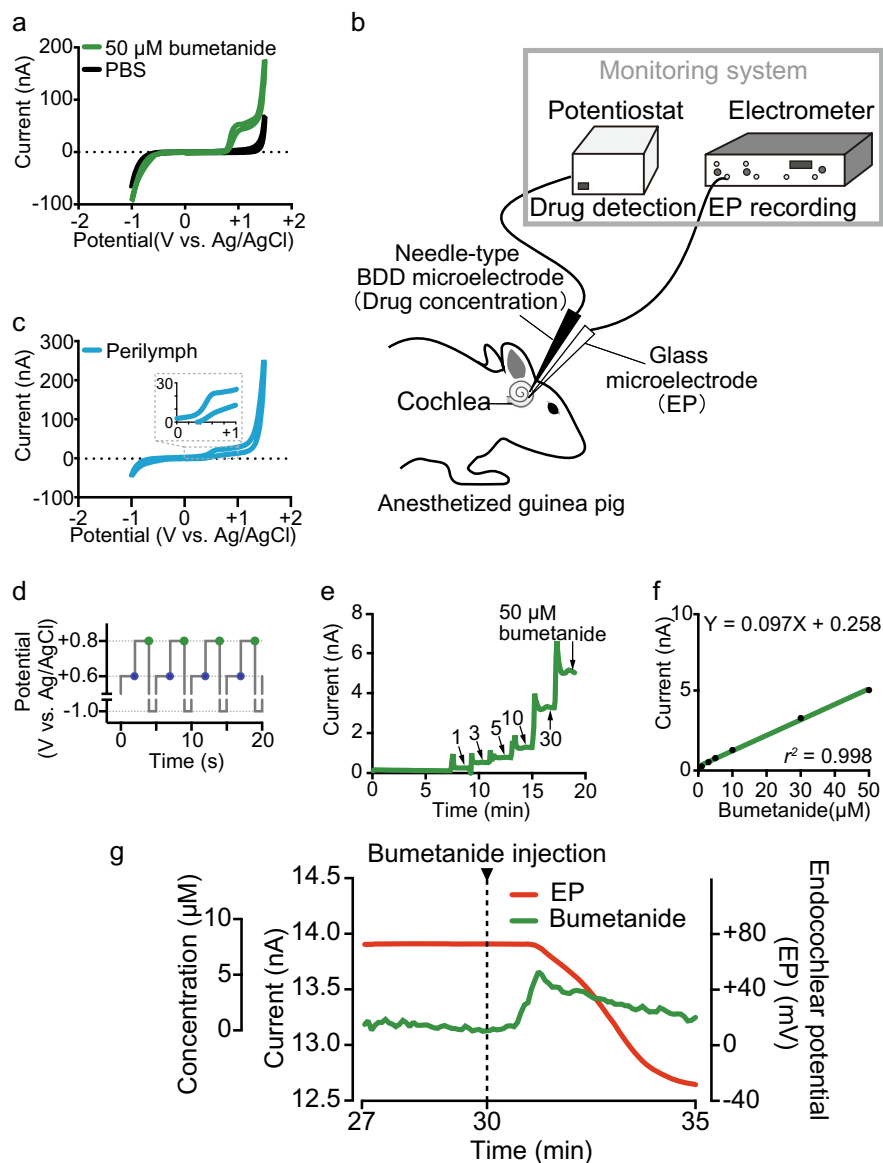
On the basis of this background, we recently described a drug-monitoring system [17] that involves two different sensors. One is a needle-type conductive boron-doped diamond (BDD) microelectrode with a tip diameter of  $40\ \mu\text{m}$  (Fig. 1a) [20], which can electrochemically detect drugs and their concentrations. In addition to a wide potential window for water stability, i.e., a property that may enable such assays for a number of drugs, the high speed of the response and longitudinal stability of the reaction are advantages of BDD microelectrodes for *in vivo* pharmacological studies [7, 10]. The other sensor is a classic glass microelectrode with a tip of a  $1\ \mu\text{m}$  diameter (Fig. 1b), which measures electrical activity: a key factor underlying cellular function. This advanced microsensing system can monitor local pharmacokinetics and pharmacodynamics of different drugs in live animals.

## 2 In Vivo Measurement of a Loop Diuretic in the Cochlea of the Inner Ear

In our previous study [17], we initially selected a loop diuretic, bumetanide, as a model drug for the microsensing system that we developed. This compound is used for a broad array of health problems including hypertension, edema, premenstrual syndrome, and disseminated cancer but causes hearing loss [13]. Dysfunction of the cochlea in the inner ear is at the root of this adverse effect, therefore, this organ in a guinea pig was examined by our analytical system.

Before the animal experiments, the electrochemical properties of bumetanide were evaluated *in vitro* with cyclic voltammetry by means of a needle-type BDD microelectrode. As compared to the effect of phosphate-buffered saline (PBS) without any chemical compound, in PBS containing bumetanide at  $50\ \mu\text{M}$ , an obvious anodic





current was detected that began at 0.7 V (versus Ag/AgCl) and plateaued at 0.95 V (Fig. 2a).

The cochlea, which is the auditory peripheral organ that has snail-like structure and transduces mechanical energy of sounds into an electrical signal, is very small (approximately 3 mm in diameter), and the internal tissues and cells are encased

◀**Fig. 2** In vitro and in vivo detection of bumetanide. **a** An in vitro cyclic voltammogram of 50  $\mu\text{M}$  bumetanide was dissolved in phosphate-buffered saline (PBS). The evoked current is shown in green. The black curve reflects the data on PBS alone. The voltage potential protocol was as follows: sweep rate, 100  $\text{mV s}^{-1}$ ; potential window,  $-1.0$  to  $1.5$  V (versus  $\text{Ag}/\text{AgCl}$ ); and initial potential,  $0$  V. **b** A schematic diagram of the in vivo experimental setup involving the cochlea of an anesthetized guinea pig. The tips of the needle-type BDD microelectrode and glass microelectrode were placed at close proximity. **c** A cyclic voltammogram of native perilymph of the cochlea (light blue curve). Scans were started from  $0$  V in the positive scan direction. The voltage potential was the same as that in **a**. **d** The potential step protocol for in vivo measurement [ $0.6$  V for  $2$  s,  $0.8$  V for  $2$  s, and  $-1.0$  V for  $1$  s (versus  $\text{Ag}/\text{AgCl}$ )]. Bumetanide was analyzed in each potentials' combination through a difference in the current between  $0.6$  V (blue points) and a green point ( $0.8$  V; "subtraction current;" see the text for details). **e** and **f**. Calibration of a needle-type BDD microelectrode. In **e**, bumetanide at  $1$ – $50$   $\mu\text{M}$  in PBS was assayed sequentially, whereas the potential step protocol presented in **d** was applied to the electrode continuously. The measured subtraction currents are shown in the panel. These data were employed to construct the calibration curve in **f**; the slope and coefficient of determination ( $r^2$ ) of the regression line are indicated. **g**. In vivo measurement of the bumetanide response in the cochlea. Bumetanide at  $30$   $\text{mg kg}^{-1}$  of body weight was injected intravenously at the time point indicated by the arrowhead. Displayed in the panel is the subtraction current detected by the BDD microelectrode in perilymph (green, sampling frequency:  $5$  Hz) and the endocochlear potential (EP) measured with the glass microelectrode (red, sampling frequency:  $1$  kHz). The concentration scale on the vertical axis is based on the calibration curve (**f**). Adapted with permission from Springer Nature: Nature Biomedical Engineering [17], Copyright 2017

by a bony wall. This organ has multiple chambers; one of them is filled with a unique extracellular fluid called "endolymph," which is always at  $+80$  mV relative to perilymph, i.e., a regular extracellular fluid in different chambers [2, 3, 12]. The highly positive potential in endolymph is referred to as endocochlear potential (EP) and is crucial for high sensitivity of sensory hair cells. A reduction of the EP by a pharmacological or pathological perturbation results in hearing impairment. The microsensing system harbors a potentiostat for the needle-type BDD electrode and an electrometer for the glass electrode; the signals in these two modes are simultaneously monitored on a computer in real time (Fig. 2b). In the in vivo experiment, a live guinea pig was anesthetized, and both the needle-type diamond sensor and glass microelectrode were inserted into perilymph and endolymph, respectively, through a small hole made on the cochlear bony wall (Fig. 2b).

Next, native perilymph in the cochlea was examined in vivo by cyclic voltammetry using the BDD microelectrode. This experiment showed a considerable anodic current evoked at potentials from  $0.3$  to  $1.5$  V (versus  $\text{Ag}/\text{AgCl}$ ; Fig. 2c). This background current may be caused by endogenous proteins and other factors in cochlear perilymph [19, 21], these factors can reduce the accuracy of drug measurements. Careful observation revealed that the anodic current was relatively stable at potentials between  $0.6$  and  $0.8$  V (versus  $\text{Ag}/\text{AgCl}$ ; see the *inset* in Fig. 2c). On the basis of these observations, for in vivo measurements of bumetanide concentration, we designed a chronoamperometry protocol that clamped a potential at  $0.6$  V for  $2$  s, stepped to  $0.8$  V for  $2$  s, and then stepped to  $-1.0$  V (versus  $\text{Ag}/\text{AgCl}$ ) to remove any polymeric film that might form on the surface of the electrode (Fig. 2d). With this protocol, the difference in the currents evoked between  $0.6$  and  $0.8$  V (versus

Ag/AgCl), which is referred to as a “subtraction current,” was subjected to extraction of bumetanide signals [17]. The subtraction currents were measured *in vitro* in PBS containing bumetanide at varying concentrations (Fig. 2e), and the results served as a calibration curve for *in vivo* experiments (Fig. 2f).

Under the arrangements mentioned above, the bumetanide concentration and the EP in the cochlea of the anesthetized live guinea pig were simultaneously measured by the needle-type BDD microelectrode and the glass microelectrode, respectively (Fig. 2g). Under control conditions, the EP was approximately +70 mV: a hallmark of normal hearing. The bumetanide concentration began to increase 45 s after intravenous injection of the drug and then reached a peak of 5.3  $\mu\text{M}$  in 30 s. The EP manifested completely different behavior. This potential started to decrease when the bumetanide concentration reached its maximum. Thereafter, the EP gradually changed and finally reached a negative value that mirrors the induction of severe hearing loss. These results indicate that the behavior of bumetanide was clearly different from the kinetics of the cochlear function.

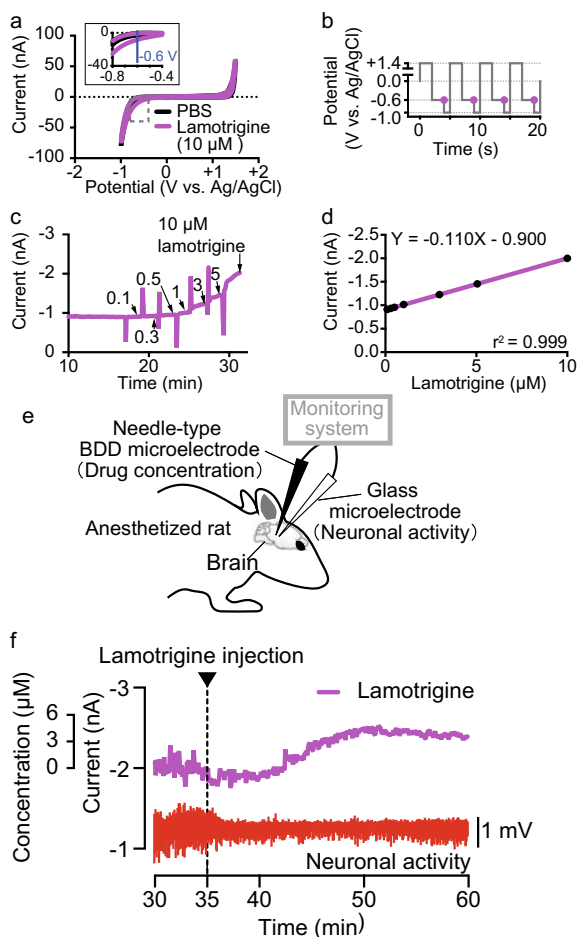
In different guinea pigs, aliquots of perilymph were collected from the cochleae immediately after the *in vivo* measurements, and then, the samples' bumetanide concentrations were quantified by LC–MS/MS [17]. The results were in good agreement with the concentrations estimated by means of needle-type BDD microelectrodes. The limit of detection of these sensors was 0.4  $\mu\text{M}$ , which falls into the range of clinically relevant concentrations, 0.5–4.0  $\mu\text{M}$  [5, 9, 15].

### 3 In Vivo Measurement of an Antiepileptic in the Brain

We next applied our microsensing system to a different drug type, an antiepileptic [17]. Epilepsy, which is characterized by seizures, convulsions, and/or unconsciousness, is caused by hyperactivity of neurons in the brain. As the test drug, we chose lamotrigine, which blocks  $\text{Na}^+$  channels. When this agent is administered to animals, neuronal activity in the brain is suppressed [14, 22].

During *in vitro* cyclic voltammetry with lamotrigine (10  $\mu\text{M}$ ) dissolved in PBS, the needle-type BDD microelectrode detected a significant cathodic current evoked at negative potentials of  $-0.4$  to  $-1.0$  V (versus Ag/AgCl; Fig. 3a). In accordance with this observation, we designed a chronoamperometry protocol that clamped a potential at 1.4 V for 1 s, stepped to  $-0.6$  V for 2 s, and then stepped to  $-1$  V (versus Ag/AgCl) for 1 s (Fig. 3b) [11, 17]. In this protocol, the current evoked at  $-0.6$  V was extracted for determination of lamotrigine concentrations. Then, lamotrigine at varying concentrations in PBS was analyzed via the BDD microelectrode (Fig. 3c), and the measurement results were used to obtain a calibration curve subjected to *in vivo* assays (Fig. 3d).

In an anesthetized rat, the needle-type BDD microelectrode for detection of lamotrigine and the glass microelectrode for the tracking of local field potentials that mirror neuronal activity was placed close to each other on the surface of the brain (Fig. 3e). After the drug was administered intravenously at 10 mg  $\text{kg}^{-1}$  of body weight, its



**Fig. 3** In vitro and in vivo assays of lamotrigine. **a** The cyclic voltammogram of phosphate-buffered saline (PBS) either containing (magenta) or devoid of (black) lamotrigine (10  $\mu\text{M}$ ). The potential was provided from 0 toward 1.5 V and then was shifted to  $-1$  V (versus Ag/AgCl) at a sweep rate of  $100 \text{ mV s}^{-1}$ . **b** The potential step protocol for lamotrigine detection in vivo. A potential step (1.4 V for 2 s,  $-0.6$  V for 2 s, and  $-1.0$  V for 1 s) was repeated multiple times for actual measurements. Lamotrigine was assayed via the current at the endpoints of  $-0.6$  V steps. **c** and **d**. Calibration of lamotrigine with a needle-type BDD microelectrode in vitro. In **c**, the drug dissolved at  $0.1$ – $10 \mu\text{M}$  in PBS was sequentially analyzed with the microelectrode. The steady-state current for each lamotrigine concentration was analyzed in **d** to determine a current–concentration relation. In the panel, the slope and coefficient of determination ( $r^2$ ) of the regression line are indicated. **e**. A schematic diagram of the in vivo experimental setup. Both the needle-type BDD microelectrode and glass microelectrode were inserted into the brain of an anesthetized rat. **f**. In vivo measurement of lamotrigine. The current at the endpoints of  $-0.6$  V (magenta) and local field potentials that represent neuronal activity (red) were simultaneously and continuously monitored by our microsensing system. Lamotrigine at  $10 \text{ mg kg}^{-1}$  was injected into the lateral tail vein at the time point indicated by the arrowhead. The concentration was estimated from the calibration curve (**d**). Adapted with permission from Springer Nature: Nature Biomedical Engineering [17], Copyright 2017

concentration gradually increased and reached a peak at 15 min (maximum concentration: 4.7  $\mu\text{M}$ ; Fig. 3f). These kinetics were slower than the behavior of bumetanide in the cochlea (see Fig. 2g). The amplitude of the local field potentials significantly diminished as soon as the lamotrigine concentration began to rise (Fig. 3f). This suppressive effect continued for some time.

#### 4 Generality, Limitations, and Prospects of the Proposed Microsensing System

The microsensing system described here is effective at simultaneous tracking of the anticancer drug doxorubicin and the EP in the guinea pig cochlea (Table 2) [17]. Moreover, the results of in vitro experiments with BDD plate electrodes suggest that several types of antibiotics and antidepressants can be analyzed in vivo by this system (Table 2) [17]. As for limitations, the BDD electrodes do not respond to at least several agents such as acetazolamide, a phenobarbital sodium salt, a valproic acid sodium salt, and ouabain at pH 7.4 [17]. In general, an electrochemical method detects compounds with the help of redox currents evoked at particular potentials. This approach limits the selectivity of BDD microelectrodes. For example, if a drug and its metabolite(s) react at similar potentials, then separation of individual fractions may be difficult. This shortcoming can be overcome by modification of the surface of the diamond microelectrode. Indeed, a DNA aptamer that was designed to capture a specific compound type was immobilized on the BDD electrode surface, and this sensor could specifically detect an anticancer drug [1].

In conclusion, the “pharmacokinetics” and “pharmacodynamics” of drugs at local sites of the cochlea and brain are simultaneously and longitudinally measured at high temporal resolution by our in vivo microsensing system. It should be emphasized that the measurement of bumetanide concentration in the cochlea is semiquantitative. These issues have not been addressed by conventional approaches. It seems that the system can also be applied to organs other than the cochlea and brain. Further, improvement and application of the system may contribute to the development of drugs and therapeutic regimens that maximize the therapeutic index, to the design of strategies for drug repositioning, and to advances in personalized medicine.

**Table 2** Examples of drugs detectable by the BDD plate electrode

Drug class	Chemical name	Concentration ( $\mu\text{M}$ )	Potential for reaction onset (V, vs Ag/AgCl)	Potential at peak current (V, vs Ag/AgCl)	$\Delta$ peak current <sup>#</sup> ( $\mu\text{A}$ )
Anticancer drug	Doxorubicin <sup>a</sup>	10	−0.30	−0.51	−55.91
Antidepressant	Nortriptyline	50	−0.30	−0.8	−6.24
			0.90	1.24	15.57
Antibiotics	Gentamicin	50	0.77	0.99	10.53
	Ceftriaxone	50	−0.48	−0.67	−5.50
			0.50, 0.99	0.92, 1.07	6.97, 13.24
	Teicoplanin	50	−0.55	−0.79	−5.00
			0.62	0.82	4.70

All the data were obtained by cyclic voltammetry [sweep rate,  $100 \text{ mV s}^{-1}$ ; potential window, −1.0 to 1.5 V; and initial potential, 0 V (versus Ag/AgCl)]. <sup>a</sup>Doxorubicin was detected *in vivo* (Ogata et al. 2017.). The other drugs were confirmed by *in vitro* measurements. <sup>#</sup>A PBS-evoked background current was subtracted

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**Abstract** The rapid diagnosis of patients in clinical practice is important for anti-influenza therapy. However, since the sensitivity of conventional rapid diagnostic test kits is low, false-negative results are often produced. Therefore, simple and convenient test kits with high sensitivity are needed in clinical practice. In this chapter, we describe the construction of a boron-doped diamond (BDD) electrode that terminates with a receptor (sialic acid-containing oligosaccharide chain)-mimicking peptide as well as its performance in the electrochemical detection of human and avian influenza viruses (IFVs).

**Keywords** Influenza virus · Boron-doped diamond electrode · Sialic acid-mimic peptide · Electrochemical impedance spectroscopy · Click chemistry

## 1 Introduction: Influenza

### 1.1 Influenza Virus

Influenza is an infectious disease caused by influenza A virus (IFV) and circulates every winter in the north hemisphere.[1, 2] The diameter of IFV is approximately 100 nm, and the structure of its envelope comprises two major antigenic proteins, hemagglutinin (HA) and neuraminidase (NA) (Fig. 1) [3]. Influenza subtypes are distinguished based on differences in the antigenicities of these proteins, and 18 HA and 11 NA types (such as H1N1 and H3N2) have been identified to date [1]. The notation of a virus strain involves the region initially isolated, the lineage number, and year of isolation (e.g., A/Puerto Rico/8/34 (H1N1)).

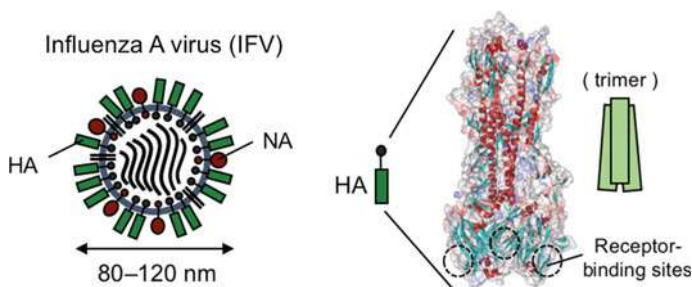
Recent studies reported that human infections with avian H5N1 and H7N9 viruses induced severe diseases with high mortality rates of 53.5% (483/903 cases) [4] and 33.8% (47/139 cases), [5] respectively. Therefore, the rapid detection of novel avian

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**Fig. 1** Schematic diagram of influenza A virus. HA and NA are present on the surface envelope of the virus. Its diameter is approximately 100 nm. The HA trimer is shown (PDB entry ID: 1HGG)

viruses that may cause pandemics is crucial for preventing the circulation of avian virus infections in humans.

## 1.2 Anti-Influenza Therapy and Diagnosis

Influenza vaccination is the first strategy employed to prevent its transition to a more severe disease in high-risk groups, including infants, the elderly, and those with an underlying chronic condition. However, since the production of influenza vaccines is time-consuming, vaccines made from seasonal viruses are not capable of responding to outbreaks of new influenza strains.

The anti-influenza drugs, oseltamivir, and zanamivir were administered during the 2009 swine flu pandemic, and their efficacy was demonstrated. [6, 7] In the past two decades, several anti-influenza drugs that function as neuraminidase inhibitors (oseltamivir, zanamivir, peramivir, and laninamivir) have been developed [8]. A new type of anti-influenza drug, baloxavir marboxil, which inhibits the cap-dependent endonuclease of IFV, was recently released onto the market [9]. These anti-influenza drugs are established treatments in clinical practice.

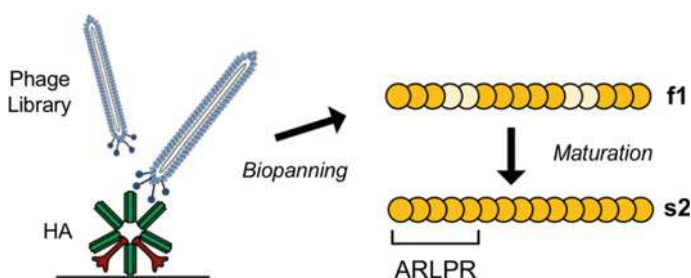
Rapid IFV detection in specimens collected from patients with influenza-like symptoms in clinical practice is useful for subsequent treatment with anti-influenza drugs [10, 11]. Some anti-influenza drugs, such as neuraminidase inhibitors, including oseltamivir and zanamivir, are only effective up to 36 (or 48) hours after onset [8]. However, due to low sensitivity, the rapid diagnostic test (RDT) kits that are currently used do not detect IFV until approximately one day after onset. Furthermore, since these kits are based on immunochromatography, their sensitivity depends on the matching of antibodies in the kit to the antigenicity of IFV in the specimen. The polymerase chain reaction (PCR) method is highly sensitive, but requires 1–2 days to provide results, and its accuracy also depends on the skill of the operator [12]. Therefore, rapid and highly sensitive test kits that are easily available in clinical practice are needed.

## 2 Design of IFV-Binding Peptides

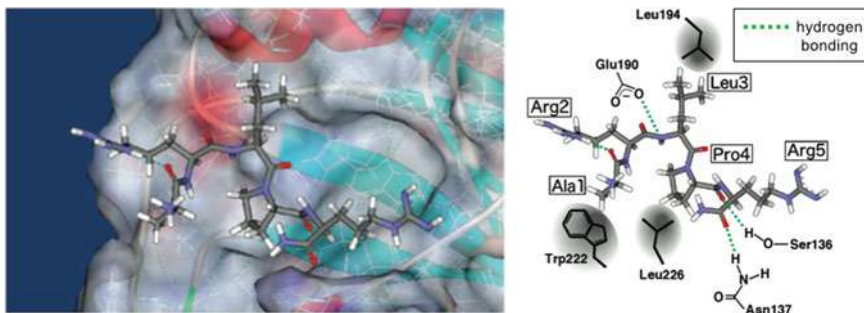
### 2.1 Identification of Receptor-Mimicking Peptides by Phage Display Technology

Antibodies are generally regarded as ideal candidate molecules for capturing a target virus and have been used in numerous biological experiments and detections. Their affinity is very high, and this specific feature is employed to confirm the presence of a target. However, the molecular mass of an antibody is approximately 150 kDa for IgG, and mass production is expensive and time-consuming. If other inexpensive materials, such as chemical receptors, exhibit affinity for targets, they may become a more desirable alternative to antibodies.

G. P. Smith, one of the winners of the Nobel prize in chemistry in 2018, proposed phage display technology to obtain target-binding peptides and proteins using affinity selection from random peptide/protein libraries [13]. We used this phage display system to identify pentadecapeptide sequences that are recognized by the receptor-binding site of HA instead of sialyloligosaccharide receptors (Fig. 2) [14–16]. One of the pentadecapeptides was matured using further randomization and biopanning processes, and the s2 peptide, ARLPRTMVHPKPAQP, was ultimately identified. The five N-terminal amino acids of s2, ARLPR, were employed for IFV detection in this chapter. This peptide is considered to mimic the sialic acid portion of sialyloligosaccharide, and a docking simulation of the s2 (1–5) peptide with the HA pocket supports this interaction (Fig. 3). This simulation suggests that the side chains of Ser136, Asn137, and Glu190 for HA form hydrogen bonding interactions with Leu3, Pro4, and Arg5 and these three residues in HA are involved in sialic acid recognition [14]. Furthermore, hydrophobic interactions of Leu194, Trp222, and Leu226 for HA with Ala1, Leu3, and Pro4 for the peptide were also suggested.



**Fig. 2** Phage display selection (biopanning) for the identification of sialic acid-mimic peptides (f1 and s2). s2 (1–5) is the five N-terminal amino acids, ARLPR



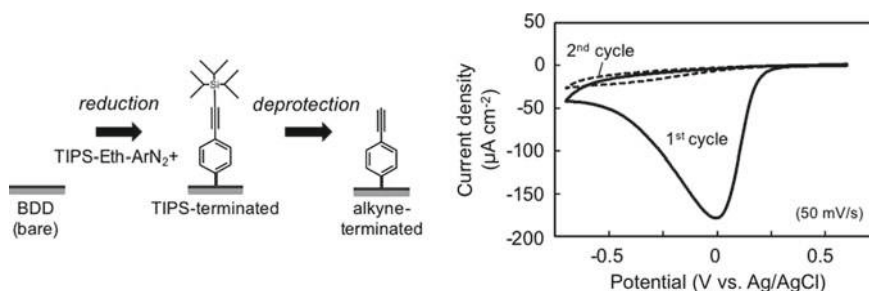
**Fig. 3** Docking simulation between H3HA (PDB entry 1HGG) and the APRLR peptide. The suggested hydrogen bonds between HA and the peptide were drawn as green dotted lines. Hydrophobic interactions of Leu194, Trp222, and Leu226 for HA with Ala1, Leu3, and Pro4 for the peptide were also suggested. Adapted with permission from [14]. Copyright 2010 American Chemical Society

### 3 Preparation of a Peptide-Terminated BDD Electrode

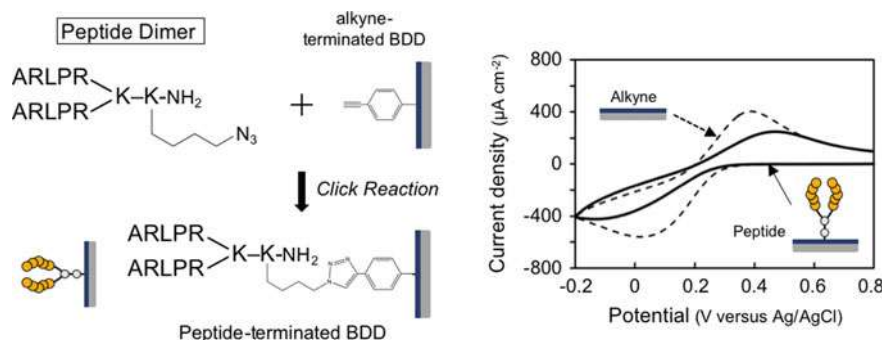
#### 3.1 Alkyne Termination on a BDD Electrode

The features of BDD electrodes include a wide potential window, low background current, chemical inertness, and mechanical durability [17, 18]. In recent years, electrochemical applications of conductive diamond electrodes have been reported for the detection of various biological materials. The weak adsorption of biomolecules is important in the development of biosensors.

In order to immobilize the peptide on BDD, the covalent modification of the carbon surface described by Leroux et al. was performed [19, 20]. The BDD surface was modified with the triisopropylsilyl-protected ethynyl aryl group (TIPS-Eth-Ar) by electroreduction (Fig. 4) [21, 22]. This reduction was confirmed by the presence of



**Fig. 4** Preparation of alkyne-terminated BDD. The immobilization of a TIPS-protected diazonium salt (TIPS-Eth-ArN<sub>2</sub><sup>+</sup>) is shown using a voltammogram of the second cycle (right). Adapted with permission from [22]. Copyright 2020 American Chemical Society



**Fig. 5** Preparation of a peptide-terminated BDD electrode. Adapted with permission from [22]. Copyright 2020 American Chemical Society

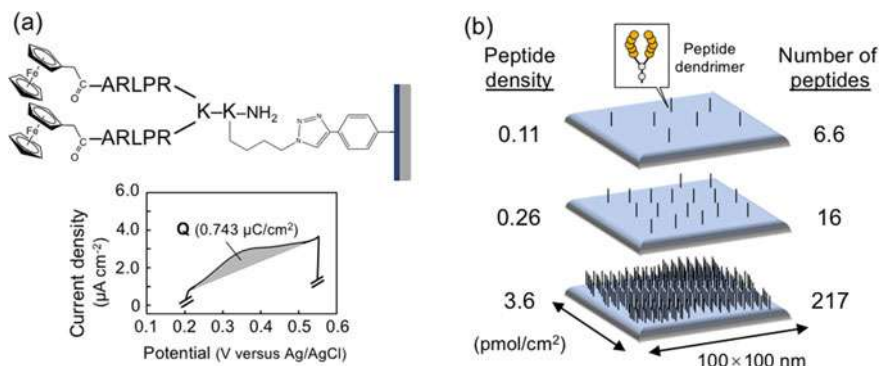
a reduction peak at approximately 0 V in the first cycle of the cyclic voltammogram (CV). This peak disappeared in the second cycle due to the blockade of electric charge transfer between BDD and a bulky TIPS-Eth-Ar group. The TIPS group was then removed using tetrabutylammonium fluoride (TBAF), which exposed the ethynyl group (alkyne-terminated BDD).

### 3.2 Peptide Termination on a BDD Electrode

The alkyne-terminated electrode was incubated with an azide-containing peptide in the presence of  $\text{Cu}^{2+}$ , ascorbic acid, and a stabilizing ligand for the click reaction (Fig. 5) [23, 24]. The divalent peptide dendrimer (dimer) with a branched lysine (Lys) core was prepared for this immobilization [25]. Based on the CVs of  $[\text{Fe}(\text{CN})_6]^{3-/4-}$  using the peptide-terminated BDD electrode, the peak heights of the peptide-terminated BDD electrode decreased. In addition, peak potential separation between the oxidation and reduction reactions was greater than that of the alkyne-terminated BDD electrode. These results indicated the completion of peptide termination.

### 3.3 Estimation of Peptide Density on a BDD Electrode

To estimate peptide density immobilized on the BDD electrode, a ferrocene-containing peptide dimer was prepared and terminated (Fig. 6a) [26]. Since the ferrocenyl group was attached to the N terminus of the peptide dimer, the oxidation current of CV was integrated to estimate surface coverage. The amount of ferrocenyl peptide on the BDD electrode was estimated based on the area of the oxidation peak between +0.21 and +0.52 V. For example, when the surface coverage of the peptide



**Fig. 6** Peptide density assessed using a ferrocene-linked peptide. **a** Cyclic voltammogram of a ferrocenyl peptide-terminated BDD electrode. The amount of ferrocenyl peptide immobilized on the electrode ( $3.85 \text{ pmol/cm}^2$ ) was estimated from the area of the oxidation peak ( $0.743 \text{ } \mu\text{C/cm}^2$ ) between  $+0.21$  and  $+0.52 \text{ V}$ . **b** BDD electrodes with various peptide densities ( $0.11$ – $3.6 \text{ pmol/cm}^2$ ). The number of peptides was calculated to be  $6.6$ – $217$  molecules in an area of  $100 \times 100 \text{ nm}$ . Adapted with permission from [22]. Copyright 2020 American Chemical Society

immobilized on the BDD electrode was  $3.6 \text{ pmol/cm}^2$ , the density of the peptide was calculated as  $217$  molecules per  $10,000$  square nanometers ( $100 \times 100 \text{ nm}$ ) (Fig. 6b).

The peptide-terminated BDD electrode with various densities was prepared by changing the conditions of the click reaction (Table 1). The peptide density termination depends on the peptide concentration and types of stabilizing ligand tris(benzyltriazolylmethyl)amine (TBTA) or water-soluble tris(3-hydroxypropyltriazolylmethyl)amine (THPTA). We obtained a peptide-terminated BDD electrode with a peptide density in the range of  $0.11$  to  $5.6 \text{ pmol/cm}^2$ .

**Table 1** Various peptide densities and corresponding conditions for the click reaction. <sup>a</sup> The data are average values  $\pm$  standard deviations ( $n = 3$ ). Adapted with permission from [22]. Copyright 2020 American Chemical Society

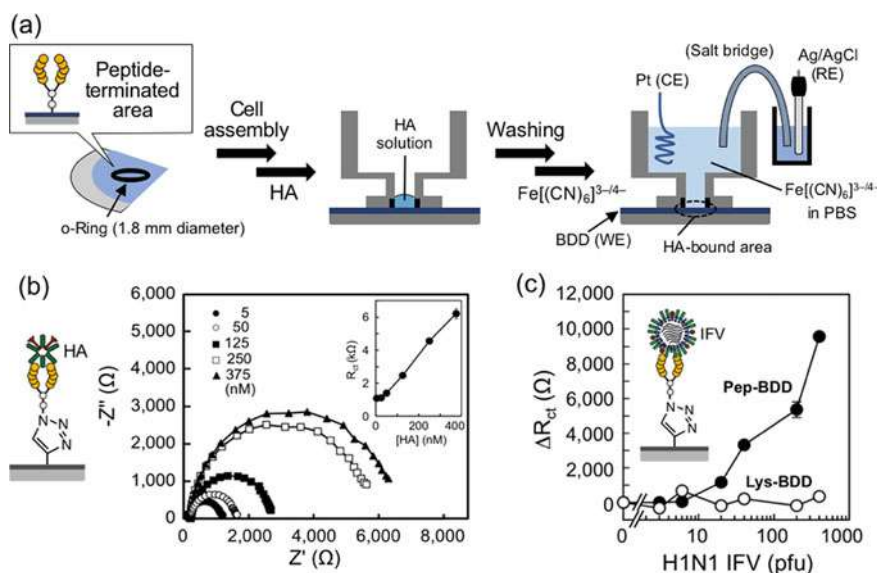
Code	[Peptide dimer] ( $\mu\text{M}$ )	Ligand	Solvent	Peptide density ( $\text{pmol/cm}^2$ ) <sup>a</sup>	Estimated peptides in a 100-nm square
TBTA-1	1	TBTA	50% MeOH	$0.11 \pm 0.01$	6.6
TBTA-26	26	TBTA	50% MeOH	$0.26 \pm 0.01$	16
TBTA-100	100	TBTA	50% MeOH	$2.1 \pm 0.6$	127
THPTA-1	1	THPTA	Water	$3.6 \pm 0.4$	217
THPTA-26	26	THPTA	Water	$5.6 \pm 0.1$	337

## 4 Detection of IFVs with the Peptide-Terminated BDD Electrode

### 4.1 Human HA and IFV Detection with the Peptide Dimer-Terminated BDD Electrode

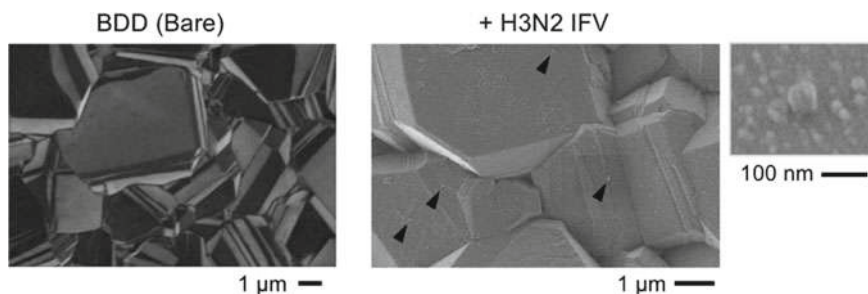
Prior to IFV detection, human HA was measured with the peptide dimer-terminated BDD electrode with a standard three-electrode configuration (Fig. 7a). The BDD surface was incubated with HA in PBS for 15 min, and electrochemical impedance spectroscopy (EIS) was performed using 5 mM  $[\text{Fe}(\text{CN})_6]^{3-/4-}$  in PBS as a redox probe. As the concentration of HA increased in the range of 5 to 375 nM, the diameter of the Nyquist plots became larger (Fig. 7b). Since the diameter of the semicircle in the Nyquist plots represents charge transfer resistance ( $R_{\text{ct}}$ ) on the electrode surface, the HA-bound layer decreased charge transfer for the redox probe.

Human H1N1 influenza A virus (A/Puerto Rico/8/34) is one of the major seasonal H1 and H3 subtypes. H1N1 IFV-containing PBS (1–400 pfu in 50  $\mu\text{L}$ ) was incubated



**Fig. 7** Detection of HA and IFV using peptide dimer-terminated BDD electrodes. **a** Schematic representation of the measurement of H1 HA. The peptide dimer-terminated BDD electrode was assembled with electrochemical cell parts, and HA solution was incubated with the BDD surface. After washing, EIS was measured using  $[\text{Fe}(\text{CN})_6]^{3-/4-}$  in PBS. WE, working electrode; CE, counter electrode; RE, reference electrode. Adapted with permission from [22]. Copyright 2020 American Chemical Society. **b** Nyquist plots for HA measurements by EIS. The  $R_{\text{ct}}$  value was plotted as a function of HA concentrations (*inset*) [21]. **c** Dependence of changes in  $R_{\text{ct}}$  ( $\Delta R_{\text{ct}}$ ) on the number of H1N1 IFVs in PBS [21]. Pep-BDD, peptide-terminated BDD; Lys-BDD, Lys-terminated BDD. Data are average values  $\pm$  standard deviations ( $n = 3$ )





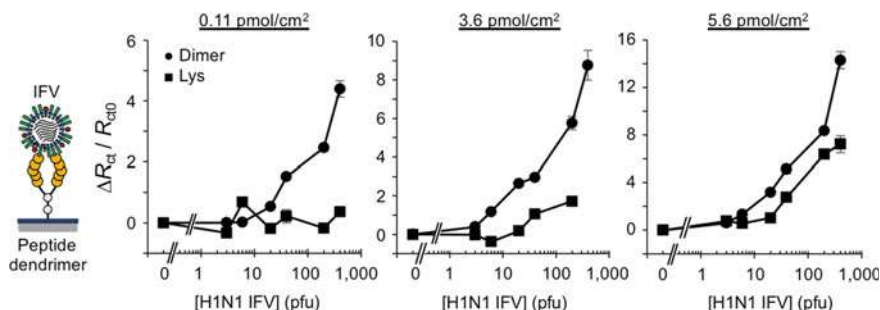
**Fig. 8** Scanning electron micrographs of surfaces of a bare BDD and peptide dimer-terminated BDD ( $3.6 \text{ pmol/cm}^2$ ) after an IFV incubation (H3N2 IFV of 400 pfu). Arrowheads indicate IFV particles measuring approximately 100 nm in size. Adapted with permission from [22]. Copyright 2020 American Chemical Society

with the peptide dimer-terminated BDD electrode, and EIS was then performed. In comparisons with the results obtained using the Lys-terminated BDD electrode, the  $R_{ct}$  value of the Nyquist plots increased in the range of 20 to 400 pfu (Fig. 7c). The peptide dimer-terminated BDD electrode detected human H1N1 and H3N2 IFVs at 20–400 pfu. Since more than 1,000 pfu are required for detection with RDT kits, the performance of our peptide-terminated electrode was high for human IFV detection [10].

To confirm IFV binding on the BDD surface, scanning electron microscopy (SEM) of a surface of peptide-terminated BDD after the IFV incubation was performed. After the IFV incubation, particles of approximately 100 nm in size were observed on the surface of the electrode (Fig. 8). Small particles (approximately 10 – 20 nm) may represent debris from viruses and/or other types of albumins.

## 4.2 Optimization of Peptide Termination: Peptide Density and Dendrimer Generations

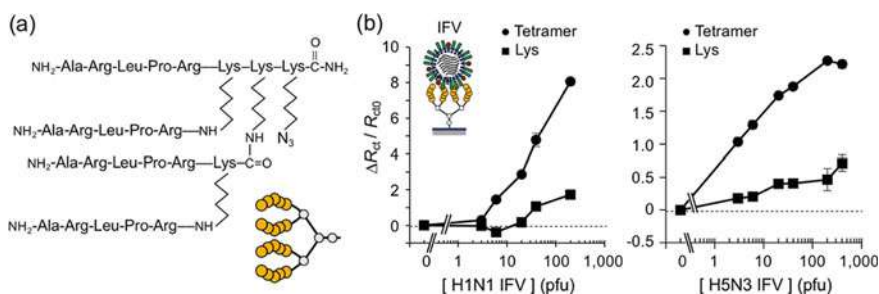
To enhance the sensitivity of the electrode, several electrodes with different peptide densities of  $0.11 - 5.6 \text{ pmol/cm}^2$  were subjected to H1N1 IFV detection. Increases in the  $\Delta R_{ct}/R_{ct0}$  value corresponding to the numbers of IFV were observed in all cases, but the nonspecific binding of IFV to the Lys-terminated electrode with peptide densities of  $5.6 \text{ pmol/cm}^2$  was also observed (Fig. 9). The peptide-terminated BDD electrode with a peptide density of  $3.6 \text{ pmol/cm}^2$  was selected for further investigations.



**Fig. 9** IFV detection using peptide dimer-terminated BDD electrodes with three peptide densities. The IFV solution contained 0, 3, 6, 20, 40, 200, and 400 pfu in 50  $\mu$ L PBS. Adapted with permission from [22]. Copyright 2020 American Chemical Society

### 4.3 Avian IFV Detection with the Peptide Tetramer-Terminated BDD Electrode

Avian H5N3 IFVs were not detected using the peptide dimer-terminated BDD electrode at a peptide density of 3.6 pmol/cm<sup>2</sup> (data not shown). Therefore, the peptide tetramer was designed and immobilized onto the BDD electrode at a density of 3.6 pmol/cm<sup>2</sup> (Fig. 10a). After an incubation with avian H5N3, H7N1, and H9N2 IFVs, the binding of IFV was detected by EIS. The  $\Delta R_{ct}/R_{ct0}$  value was lower than that of human IFVs; however, more than 3 pfu avian IFVs were clearly detected (Fig. 10b). In addition, the tetramer-terminated BDD electrode showed excellent limit-of-detections (LODs) in the range of 0.13–6.7 pfu for avian IFV detection (Table 2). These results indicated that the peptide tetramer-terminated BDD electrode with a density of 3.6 pmol/cm<sup>2</sup> is applicable to the detection of both human and avian IFVs.



**Fig. 10** Avian IFV detection using peptide tetramer-terminated BDD electrodes. **a** Chemical structure of the azide-containing peptide tetramer. **b** Avian IFV detection using peptide tetramer-terminated BDD electrodes. The peptide density of the peptide tetramer-terminated BDD was 3.6 pmol/cm<sup>2</sup>. Adapted with permission from [22]. Copyright 2020 American Chemical Society

**Table 2** Limit and range of IFV detection

Peptide dendrimer	Peptide density (pmol/cm <sup>2</sup> )	Viral subtype <sup>a</sup>	Solution	$R_{ct0}$ ( $\Omega$ )	Limit of detection (pfu)	Range of detection (pfu) <sup>b</sup>
2 mer	0.11	Human H1N1	PBS	$2180 \pm 3$	12.5	20–400 <sup>29</sup>
2 mer	3.6	Human H1N1	PBS	$156 \pm 2$	3.3	6–400
2 mer	3.6	Human H3N2	PBS	$98 \pm 4$	0.91	6–400
4 mer	0.11	Human H1N1	PBS	$1066 \pm 98$	15	20–400
4 mer	3.6	Human H1N1	PBS	$267 \pm 6$	3.0	6–200
4 mer	3.6	Human H1N1	BSA/PBS	$68 \pm 6$	0.33	3–400
4 mer	3.6	Human H1N1	HSA/PBS	$74 \pm 2$	0.60	3–400
4 mer	3.6	Duck H5N3	PBS	$48 \pm 1$	0.13	3–400
4 mer	3.6	Duck H7N1	PBS	$126 \pm 5$	0.38	3–400
4 mer	3.6	Duck H9N2	PBS	$63 \pm 1$	6.7	3–400

<sup>a</sup> A/Puerto Rico/8/34 (H1N1); A/Aichi/2/68 (H3N2); A/duck/Hong Kong/820/1980 (H5N3); A/duck/Hong Kong/301/1978 (H7N1); A/duck/Hong Kong/448/1978 (H9N2).

<sup>b</sup> Detection was significantly better than with the Lys-terminated BDD electrode (0 pmol/cm<sup>2</sup>).

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## 5 Conclusion

In this chapter, we described the detection of IFV in the range of 3–400 pfu using the combination of a sialic acid-mimic peptide and BDD electrode. This sensitivity is approximately 300-fold higher than that of the RDT kit. We may perform a diagnosis at any onset time using our peptide-terminated electrode where necessary for the administration of anti-influenza drugs. The sugar chain-mimic peptide used to capture the virus may bind to the virus independent of the subtypes; therefore, this electrode will be useful for the emergence of new strains. IFV detection based on this methodology may be applicable to clinical practical in the future.

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